



**CALL NO. 200**

**CONTRACT ID. 141056**

**CARROLL - BOONE - HENRY - OLDHAM COUNTIES**

**FED/STATE PROJECT NUMBER 121GR14D056-HSIP**

**DESCRIPTION I-71**

**WORK TYPE GUARDRAIL**

**PRIMARY COMPLETION DATE 8/15/2015**

**LETTING DATE: October 24,2014**

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME October 24,2014. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

**NO PLANS ASSOCIATED WITH THIS PROJECT.**

**DBE CERTIFICATION REQUIRED - 0%**

**REQUIRED BID PROPOSAL GUARANTY:** Not less than 5% of the total bid.

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# **PART I**

## **SCOPE OF WORK**

ADMINISTRATIVE DISTRICT - 06

CONTRACT ID - 141056  
121GR14D056-HSIP  
COUNTY - BOONE  
PCN - DE00800711456  
HSIP 0713 (060)

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN BOONE COUNTY BEGINNING AT GALLATIN COUNTY LINE(MP 69.9) TO 0.37 MILE NE OF I-71/I-75 OVERPASS(MP 77.0).GUARDRAIL SYP NO. 06-09007.00.  
GEOGRAPHIC COORDINATES LATITUDE 38:58:00.00 LONGITUDE 84:45:00.00

COUNTY - CARROLL  
PCN - DE02100711456  
HSIP 0712 (074)

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN CARROLL COUNTY BEGINNING AT THE TRIMBLE COUNTY LINE(MP 38.808) TO 0.151 MILE NE OF GHENT-EAGLE ROAD(MP 50.8).GUARDRAIL SYP NO. 06-09008.00.  
GEOGRAPHIC COORDINATES LATITUDE 38:37:00.00 LONGITUDE 85:07:00.00

COUNTY - HENRY  
PCN - DE05200711456  
HSIP 0711 (113)

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN HENRY COUNTY BEGINNING AT OLDHAM COUNTY LINE(MP 24.727) TO 0.33 MILE NE OF KY-153(MP 28.0).GUARDRAIL SYP NO. 05-09004.00.  
GEOGRAPHIC COORDINATES LATITUDE 38:27:00.00 LONGITUDE 85:06:00.00

COUNTY - OLDHAM  
PCN - DE09300711456  
HSIP 0711 (113)

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN OLDHAM COUNTY FROM 0.722 MILE NEW OF KY-53(MP 22.55) TO THE HENRY COUNTY LINE(MP 24.727).GUARDRAIL SYP NO. 05-09005.00.  
GEOGRAPHIC COORDINATES LATITUDE 38:22:00.00 LONGITUDE 85:25:00.00

COMPLETION DATE(S):  
COMPLETED BY 08/15/2015                      APPLIES TO ENTIRE CONTRACT



## **CONTRACT NOTES**

### **PROPOSAL ADDENDA**

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

### **BID SUBMITTAL**

Bidder must use the Department's Expedite Bidding Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. ([www.transportation.ky.gov/construction-procurement](http://www.transportation.ky.gov/construction-procurement))

The Bidder must download the bid file located on the Bid Express website ([www.bidx.com](http://www.bidx.com)) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

### **JOINT VENTURE BIDDING**

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

### **UNDERGROUND FACILITY DAMAGE PROTECTION**

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

### **SPECIAL NOTE FOR PIPE INSPECTION**

Contrary to Section 701.03.08 of the 2012 Standard Specifications for Road and Bridge Construction and Kentucky Method 64-114, certification by the Kentucky Transportation Center for prequalified Contractors to perform laser/video inspection is not required on this contract. It will continue to be a requirement for the Contractor performing any laser/video pipe inspection to be prequalified for this specialized item with the Kentucky Transportation Cabinet-Division of Construction Procurement.

### **SPECIAL NOTE FOR COMPOSITE OFFSET BLOCKS**

Contrary to the Standard Drawings (2012 edition) the Cabinet will allow 6" composite offset blocks in lieu of wooden offset blocks, except as specified on proprietary end treatments and crash cushions. The composite blocks shall be selected from the Cabinet's List of Approved Materials.

### **REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY**

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by [KRS 14A.9-010](#) to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under [KRS 14A.9-030](#) unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in [KRS 14A.9-010](#), the foreign entity should identify the applicable exception. Foreign entity is defined within [KRS 14A.1-070](#).

**For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.**

Businesses can register with the Secretary of State at <https://secure.kentucky.gov/sos/ftbr/welcome.aspx>.

### **SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT**

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to [kytc.projectquestions@ky.gov](mailto:kytc.projectquestions@ky.gov). The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading "Questions & Answers" on the Construction Procurement website ([www.transportation.ky.gov/contract](http://www.transportation.ky.gov/contract)). The answers provided shall be considered part of

this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

### **HARDWOOD REMOVAL RESTRICTIONS**

The US Department of Agriculture has imposed a quarantine in Kentucky and several surrounding states, to prevent the spread of an invasive insect, the emerald ash borer. Hardwood cut in conjunction with the project may not be removed from the state. Chipping or burning on site is the preferred method of disposal.

### **INSTRUCTIONS FOR EXCESS MATERIAL SITES AND BORROW SITES**

Identification of excess material sites and borrow sites shall be the responsibility of the Contractor. The Contractor shall be responsible for compliance with all applicable state and federal laws and may wish to consult with the US Fish and Wildlife Service to seek protection under Section 10 of the Endangered Species Act for these activities.

### **ACCESS TO RECORDS**

The contractor, as defined in KRS 45A.030 (9) agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this contract for the purpose of financial audit or program review. Records and other prequalification information confidentially disclosed as part of the bid process shall not be deemed as directly pertinent to the contract and shall be exempt from disclosure as provided in KRS 61.878(1)(c). The contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884.

In the event of a dispute between the contractor and the contracting agency, Attorney General, or the Auditor of Public Accounts over documents that are eligible for production and review, the Finance and Administration Cabinet shall review the dispute and issue a determination, in accordance with Secretary's Order 11-004. (See attachment)

10/29/12



**Steven L. Beshear**  
Governor

Commonwealth of Kentucky  
Finance and Administration Cabinet  
**OFFICE OF THE SECRETARY**  
Room 383, Capitol Annex  
702 Capital Avenue  
Frankfort, KY 40601-3462  
(502) 564-4240  
Fax (502) 564-6785

**Lori H. Flanery**  
Secretary

## **SECRETARY'S ORDER 11-004**

### **FINANCE AND ADMINISTRATION CABINET**

#### **Vendor Document Disclosure**

**WHEREAS**, in order to promote accountability and transparency in governmental operations, the Finance and Administration Cabinet believes that a mechanism should be created which would provide for review and assistance to an Executive Branch agency if said agency cannot obtain access to documents that it deems necessary to conduct a review of the records of a private vendor that holds a contract to provide goods and/or services to the Commonwealth; and

**WHEREAS**, in order to promote accountability and transparency in governmental operations, the Finance and Administration Cabinet believes that a mechanism should be created which would provide for review and assistance to an Executive Branch agency if said agency cannot obtain access to documents that it deems necessary during the course of an audit, investigation or any other inquiry by an Executive Branch agency that involves the review of documents; and

**WHEREAS**, KRS 42.014 and KRS 12.270 authorizes the Secretary of the Finance and Administration Cabinet to establish the internal organization and assignment of functions which are not established by statute relating to the Finance and Administration Cabinet; further, KRS Chapter 45A.050 and 45A.230 authorizes the Secretary of the Finance and Administration Cabinet to procure, manage and control all supplies and services that are procured by the Commonwealth and to intervene in controversies among vendors and state agencies; and

**NOW, THEREFORE**, pursuant to the authority vested in me by KRS 42.014, KRS 12.270, KRS 45A.050, and 45A.230, I, Lori H. Flanery, Secretary of the Finance and Administration Cabinet, do hereby order and direct the following:

- I. Upon the request of an Executive Branch agency, the Finance and Administration Cabinet ("FAC") shall formally review any dispute arising where the agency has requested documents from a private vendor that holds a state contract and the vendor has refused access to said documents under a claim that said documents are not directly pertinent or relevant to the agency's inquiry upon which the document request was predicated.
- II. Upon the request of an Executive Branch agency, the FAC shall formally review any situation where the agency has requested documents that the agency deems necessary to

conduct audits, investigations or any other formal inquiry where a dispute has arisen as to what documents are necessary to conclude the inquiry.

- III. Upon receipt of a request by a state agency pursuant to Sections I & II, the FAC shall consider the request from the Executive Branch agency and the position of the vendor or party opposing the disclosure of the documents, applying any and all relevant law to the facts and circumstances of the matter in controversy. After FAC's review is complete, FAC shall issue a Determination which sets out FAC's position as to what documents and/or records, if any, should be disclosed to the requesting agency. The Determination shall be issued within 30 days of receipt of the request from the agency. This time period may be extended for good cause.
- IV. If the Determination concludes that documents are being wrongfully withheld by the private vendor or other party opposing the disclosure from the state agency, the private vendor shall immediately comply with the FAC's Determination. Should the private vendor or other party refuse to comply with FAC's Determination, then the FAC, in concert with the requesting agency, shall effectuate any and all options that it possesses to obtain the documents in question, including, but not limited to, jointly initiating an action in the appropriate court for relief.
- V. Any provisions of any prior Order that conflicts with the provisions of this Order shall be deemed null and void.

### **FEDERAL CONTRACT NOTES**

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

102.02 Current Capacity Rating 102.10 Delivery of Proposals  
102.08 Irregular Proposals 102.14 Disqualification of Bidders  
102.09 Proposal Guaranty

### **CIVIL RIGHTS ACT OF 1964**

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

### **NOTICE TO ALL BIDDERS**

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free “hotline” Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the “hotline” to report such activities.

The “hotline” is part of the DOT’s continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

### **SECOND TIER SUBCONTRACTS**

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE’s, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

### **DISADVANTAGED BUSINESS ENTERPRISE PROGRAM**

It is the policy of the Kentucky Transportation Cabinet (“the Cabinet”) that Disadvantaged Business Enterprises (“DBE”) shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

### **DBE GOAL**

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

### **OBLIGATION OF CONTRACTORS**

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

### **CERTIFICATION OF CONTRACT GOAL**

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of \_\_\_\_ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

**The certification statement is located in the electronic bid file. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.**

### **DBE PARTICIPATION PLAN**

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 63-35 DBE, within 10 days of the letting. This is necessary before the Awards Committee will review and make a recommendation. **The project will not be considered for award prior to submission and approval of the apparent low bidder’s DBE Plan/Subcontractor Request.**

The DBE Participation Plan shall include the following:

- 1 Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
- 2 Description of the work each is to perform including the work item , unit, quantity, unit price and total amount of the work to be performed by the individual DBE. The Project Code Number (PCN), Category Number, and the Project Line Number can be found in the “material listing” on the Construction Procurement website under the specific letting;
- 3 The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows; a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
  - The entire expenditure paid to a DBE manufacturer;
  - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to



- the public, maintain an inventory and own and operate distribution equipment;  
and
- The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
- c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4 Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
- 5 Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

#### **UPON AWARD AND BEFORE A WORK ORDER WILL BE ISSUED**

Contractors must submit the signed subcontract between the contractor and the DBE contractor, the DBE's certificate of insurance, and an affidavit for bidders, offerors, and contractors from the DBE to the Division of Construction Procurement. The affidavit can be found on the Construction Procurement website. If the DBE is a supplier of materials for the project, a signed purchase order and an affidavit for bidders, offerors, and contractors must be submitted to the Division of Construction Procurement.

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

#### **CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS**

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set and nine (9) copies of this information must be received in the

office of the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

- 1 Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
- 2 Whether the bidder provided solicitations through all reasonable and available means;
- 3 Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
- 4 Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the DBE Liaison in the Office of Minority Affairs to give notification of the bidder's inability to get DBE quotes;
- 5 Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
- 6 Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
- 7 Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
- 8 Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
- 9 Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
- 10 Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the

work requirements of the bid proposal; and

11 Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

### **FAILURE TO MEET GOOD FAITH REQUIREMENT**

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

### **SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT**

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

### **PROMPT PAYMENT**

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

### **CONTRACTOR REPORTING**

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal. These reports must be submitted within 14 days of payment made to the DBE contractor.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

The Prime Contractor should supply the payment information at the time the DBE is compensated for their work. Form to use is located at:

<http://transportation.ky.gov/Construction/Pages/Subcontracts.aspx>

The prime contractor should notify the KYTC Office of Civil Rights and Small Business Development seven (7) days prior to DBE contractors commencing work on the project. The contact is Melvin Bynes and the telephone number is (502) 564-3601.

Photocopied payments and completed form to be submitted to: Office of Civil Rights and Small Business Development 6<sup>th</sup> Floor West 200 Mero Street Frankfort, KY 40622

### **DEFAULT OR DECERTIFICATION OF THE DBE**

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

06/20/2014

### **DGA BASE**

Unless otherwise noted, the Department estimates the rate of application for DGA Base to be 115 lbs/sy per inch of depth.

### **DGA BASE FOR SHOULDERS**

Unless otherwise noted, the Department estimates the rate of application for DGA Base for Shoulders to be 115 lbs/sy per inch of depth. The Department will not measure necessary grading and/or shaping of existing shoulders prior to placing of DGA Base, but shall be incidental to the Contract unit price per ton for DGA Base.

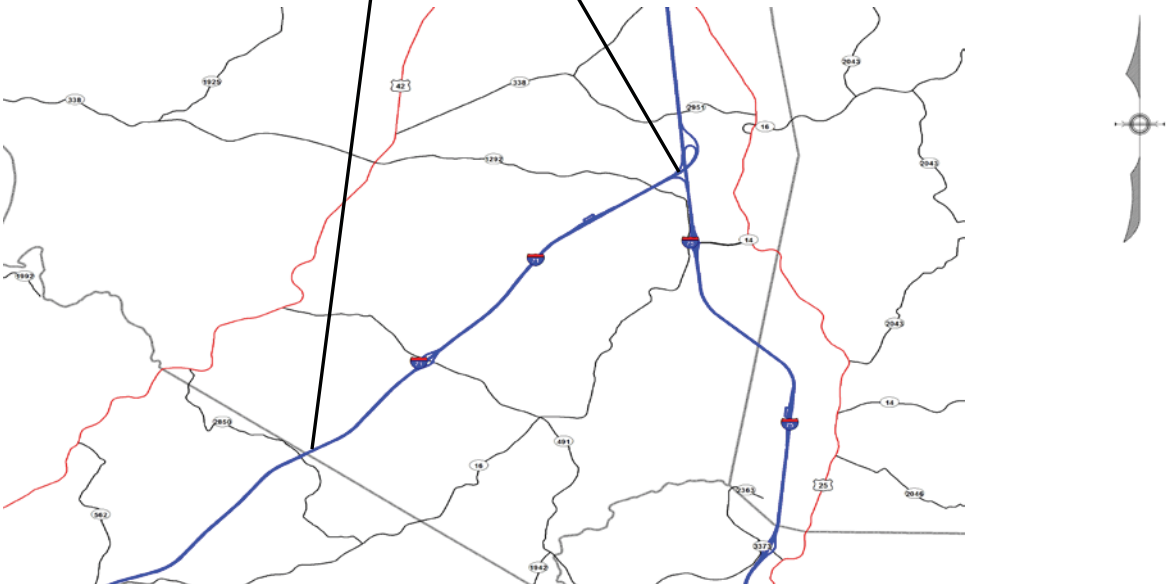
Accept payment at the Contract unit price per ton as full compensation for all labor, materials, equipment, and incidentals for grading and/or shaping of existing shoulders and furnishing, placing, and compacting the DGA Base.

BEGIN HTC MEDIAN BARRIER

CONSTRUCTION I-71 MILEPOINT 69.9

END HTC MEDIAN BARRIER

CONSTRUCTION I-71 MILEPOINT 76.6



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RECOMMENDED BY:		
_____	DATE: _____	
PROJECT MANAGER		
PLAN APPROVED BY:		
_____	DATE: _____	
STATE HIGHWAY ENGINEER		

PROPOSAL BY	PROPOSED HTC MEDIAN BARRIER	
	ROUTE: I-71	BOONE COUNTY
	ITEM NO: 06-9007.00	
	MILEPOINT: 69.9 TO 76.6	LENGTH: 6.7 MILES
KENTUCKY TRANSPORTATION CABINET		
DEPARTMENT OF HIGHWAYS		

PROJECT DESCRIPTION

Boone County  
HTC Median Barrier on I-71 from (MP 69.9) to I-71 (MP 76.6)

Item No. 06-9007.00

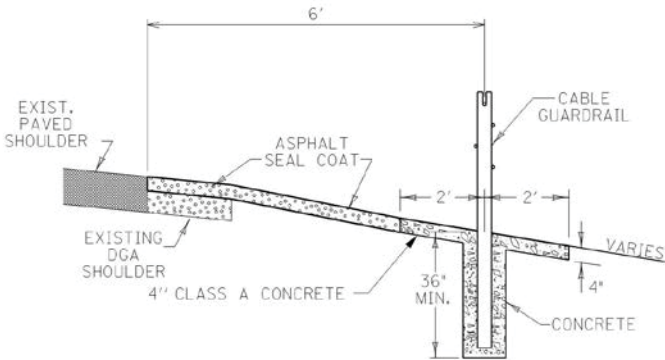
The purpose of this project is to install HTC Median Barrier along I-71 in Boone County beginning at MP 69.9 (Gallatin/Boone County line) to MP 76.6 (I-71 & I-75 Interchange).

The Manufacturer will assist the Contractor with the layout and location of the HTC Median Barrier installation. The Contractor will create schematic layout sheets for the HTC Median Barrier system and, prior to construction, the proposed layout and location of the HTC Median Barrier will be approved by the Department. The installed barrier shall be 6' from the edge of the paved shoulder, measured from the center of the concrete mow strip (See Detail A). Installations shall be on the Southbound and Northbound side of the median.

Cut a 4-foot wide and 4-inch deep trench where the HTC system is to run and place Class A Concrete in the trench (See Detail A).

The contractor shall place DGA and an asphalt seal coat from the paved shoulder to the concrete mow strip through the length of the project.

Geotechnical information has been collected at representative locations along the project corridor. This information may be found in the appendix of this proposal. The Manufacturer is responsible for the design of the line post and terminal foundations and shall use the geotechnical information to develop these project-specific foundation designs. The Contractor shall be responsible for obtaining any additional geotechnical information required by the Manufacturer to complete the design of their system's anchoring.



Detail A

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9007.00	
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HTC END LOCATIONS BOONE COUNTY	
MILEPOINTS	LENGTH
NB 69.90	8,448'
NB 71.50	
NB 71.52	5,966.4'
NB 72.65	
SB 72.67	17,529.6'
SB 75.99	
NB 75.98	3,220.8'
NB 76.59	
TOTAL:	35,164.8'

**NOTE:**  
These locations have been assumed for the purpose of quantifying the project. Exact locations are to be determined by the Vendor and the Contractor and are to be documented in the HTC Median Barrier System Layout Plans.

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GENERAL SUMMARY			
ITEM	DESCRIPTION	UNIT	PROJECT TOTALS
23147EN	HIGH TENSION CABLE-ROPE (1) (4) (6) (7)	LF	35164.8
23148EN	END ANCHOR (2) (4) (6) (7)	EACH	8
22415EN	CONCRETE CLASS A FOR PAD (5)	SQ. YD.	15628.8
06427	TRENCHING (3)	LF	35164.8
00001	DGA (9)	TONS	2696
00100	ASPHALT SEAL AGGREGATE (8) (9)	TONS	313
00103	ASPHALT SEAL COAT (8) (9)	TONS	38
02569	DEMOBILIZATION	LS	1
02562	SIGNS	SF	500
02650	MAINTAIN & CONTROL TRAFFIC	LS	1
02671	PORTABLE CHANGEABLE MESSAGE SIGN	EACH	4
02726	STAKING	LS	1
02775	ARROW PANEL	EACH	2
20411ED	LAW ENFORCEMENT OFFICER	HOURL	450
24560EN	EROSION CONTROL BLANKET – SHORT TERM (10)	SQ YD	46866
02705	SILT TRAP TYPE C	EACH	65

**NOTES:**

- (1) The HTC Median Barrier system includes all hardware, post, cables, labor, and incidentals within the End Anchors.
- (2) The HTC Median Barrier End Anchors includes all hardware, post, cables, labor, and incidentals.
- (3) The bid item "Trenching" is for the trenching and disposal of the material removed for the Concrete Class A Pad under the HTC Median Barrier system. Provided this material meets geotechnical requirements it may be used where median fill is needed. Waste area will be pre-approved by the Engineer.
- (4) Excavation for the posts and anchors is incidental to the HTC Median Barrier. This material may also be used where median fill is needed provided that requirements listed in note (3) above are followed.
- (5) Construct per the Section 505 of the *Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.
- (6) The Contractor shall select and install only one manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer.
- (7) Geotechnical work has been completed for the project. All Geotechnical Information has been included in this proposal so that the manufacturers may design the anchors and the post line footings.
- (8) Two applications.
- (9) For placement between the edge of paved shoulder and the concrete mow strip.
- (10) See Special Note for Permanent Seeding and Protection.

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**SPECIAL NOTES FOR**  
**HTC MEDIAN BARRIER INSTALLATION AND LAYOUT**

**PAGE 1 OF 2**

The HTC Median Barrier will meet or exceed the specifications documented in the ***SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER***. The Contractor may choose any manufacturer of high tension cable-rope so long as their system meets or exceeds specifications documented in the ***SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER*** and is on KYTC's **LIST OF APPROVED MATERIALS** (<http://transportation.ky.gov/Materials/Documents/LAM.PDF>). The Contractor shall select and install only one manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer. The Contractor shall provide the following documentation to the Engineer a minimum of 14 days prior to installation of the system:

- a) A copy of the appropriate FHWA Acceptance Letters (from NCHRP Report 350 testing) for the HTC system, including one for TL-4 on 6H:1V slopes, TL-3 on 4H:1V, and TL-3 for the terminals/end anchorages.
- b) Two copies of the manufacturer's product brochure, specifications, and installation and maintenance manuals.
- c) Certification signed and stamped by a Professional Engineer licensed in the Commonwealth of Kentucky stating that the final design of the system meets the requirements of the contract documents.
- d) Five copies of the proposed system layout plans clearly depicting installation details, including existing planimetric features (guardrail, safety terminals, edges of pavement/shoulder, ditch line, structures, etc.) and proposed HTC system features (safety terminals, intermediate line posts, and cable-rope location).
- e) One copy of the design drawings and calculations for the safety terminal and intermediate line post foundations for the soil conditions on the project. Design drawings and calculations shall be stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.

Review and acceptance of the proposed design (as shown in the documentation listed above) must occur before the Contractor proceeds with installation. The review will be completed in 14 days.

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**SPECIAL NOTES FOR**  
**HTC MEDIAN BARRIER INSTALLATION AND LAYOUT**

**PAGE 2 OF 2**

When developing the proposed system layout, the Contractor and Manufacturer will adhere to the following guidance:

- a) Maintain a minimum of 10’ between the HTC system and the edge of traveled way. Allowances will be made to the offset when the barrier passes by a permanent structure such as a bridge pier or sign truss pedestal. The Engineer will approve any variances to the 10’ offset.
- b) The HTC system must remain a minimum of 10’ up from the median ditch line.
- c) Legal median u-turn crossovers should remain open.
- d) Where possible, shield anchors behind existing roadside safety hardware (i.e. guardrail end treatments, bridge-ends, etc.)

Contrary to Section 111 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* no Value Engineering or proposal to modify the specifications of the high tension cable median barrier will be accepted on this project.

The concrete pad mow strip will be constructed per the Section 505 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.

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**SPECIAL NOTE FOR**  
**INSTALLATION AND MAINTENANCE TRAINING**

1. Provide installation training by the manufacturer of the system during construction.
- A. During the installation of the proposed cable barrier system, provide on-site field instruction on installation procedures covering all aspects of the system installation, including grading, line post installation, wire rope or cable installation and tensioning, and terminal or anchor installation. The scheduling and location of this training shall be approved by the Engineer.

B. Provide the training for a maximum of 10 participants, to include the following as may apply:
  - Contractor (prime)
  - Installation Contractor (sub)
  - KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
2. The installation contractor must have personnel on site at all times during the installation of the system that have been trained by the manufacturer.
3. Provide maintenance training by the manufacturer of the system prior to the closing out of the project.
- A. Provide a minimum of two (2) hours of classroom instruction on the maintenance and repair of the system. This training shall be provided in a location central to the project and the local KYTC district office. The scheduling and location of this training shall be approved by the Engineer.

B. Provide a minimum of two (2) hours of on-site field instruction on the maintenance and repair of the system.

C. Provide the training as required for a maximum of 30 participants, to include the following:
  - KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
  - FHWA representative when system installed on federal aid projects
  - Those invited by the KYTC, which may include law enforcement agencies and emergency response representatives
4. The required training will be **incidental to the contract**.

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**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 1 of 4

**DESCRIPTION** This work shall consist of furnishing and installing a high tension cable-rope HTC median barrier with terminals/end anchorages as recommended by the Manufacturer, as directed by the Engineer, and in accordance with the requirements of this special note.

**GENERAL REQUIREMENTS** The HTC median barrier system shall be a four cable-rope system that meets the National Cooperative Highway Research Program (NCHRP) Report 350, Test Level 4 testing for 6H:1V slopes and be accepted by FHWA as such. HTC installed on front slope grades steeper than 6H:1V but 4H:1V or flatter shall be Test Level 3 tested and accepted as such. Each of the four cable-ropes shall be independently anchored to a concrete end-anchor. The terminals/end anchorages shall be tested and accepted under NCHRP Report 350 Test Level 3. Geotechnical information of the project area shall be used by the Manufacturer to design the sizes and depths of the anchors and footings. Intermediate line posts shall be socketed with sleeves set in concrete. The maximum post spacing for the HTC System shall be 10.5 feet, center to center.

**MATERIALS** Samples for testing shall be provided as directed by the Physical Section of the Division of Materials. Contractors shall contact the Physical Section of the Division of Materials at 502-564-3160 for department specific sampling and testing procedures prior to bid. Section references are from the *Kentucky Standard Specifications for Road and Bridge Construction (current edition)*.

Concrete, Class A	Section 601
Steel Reinforcement (Minimum Grade 40 steel)	Section 811
Anchor Bolts and Nuts	Section 813
Galvanizing (Bolts, Nuts & Washers)	AASHTO M 232
Fittings (Steel) Hardware	AASHTO M 30
Reflective Sheeting	Section 830

**Cable-rope** The cable-rope shall be a galvanized ¾ inch diameter, 3x7 wire rope construction meeting AASHTO M30 Type I Class A coating. The wire rope shall be pre-stretched during manufacturing to exhibit a minimum modulus of elasticity of 11,805,090 pounds/inch<sup>2</sup> after pre-stretching. If cable rope or fittings of higher strength were used at the time of NCHRP 350 evaluation, use the higher strength materials.

**Posts** Posts shall be the socketed versions with caps, placed in metal or plastic sleeves installed in a concrete foundation. All posts shall be fabricated from materials meeting ASTM A-36 or greater steel and galvanized after fabrication to A-123. The required welding shall be performed by a certified welder in accordance with AWS D1.1. Posts shall be domestic hot-rolled mild steel, or cold-formed from hot-rolled mild steel. A fitting gasket, profiled to fit tightly around each post, shall be provided to prevent debris from entering the socket.

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**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 2 of 4

**Fittings** Only swaged fittings shall be provided. Field-installed, galvanized-steel fittings (i.e., turnbuckles and splices) shall be one-inch diameter. Smaller fittings may be allowed with written permission from the Division of Design, Division of Construction, and the Division of Materials. Factory applied or stainless steel fittings shall meet AASHTO M30 Type I Class A. Threaded terminals shall be right hand or left hand threaded M24 X 3 pitch to ANSI B 1.13M. The body of the threaded terminal shall provide a minimum of 6 inches wire rope engagement depth. Threaded terminals shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**Turnbuckles** Turnbuckles (i.e. Rigging Screws) shall be threaded to accept the fitting described above. Turnbuckles may be either the open or closed body type (with two inspection holes to determine threaded rope terminal penetration). The turnbuckles shall allow for a minimum of 6 inches of penetration from each end. Turnbuckles shall meet AASHTO M30 Type I Class A and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**Mechanical Anchor Fittings** Fittings shall be provided at the anchor termination of each cable-rope and shall be of the same type as used in the connection to the turnbuckles. The fittings shall meet AASHTO M30 Type I Class A yielding, shall be capable of release and reuse, and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**End Terminals** End Terminals placed within the clear zone, as defined by AASHTO Roadside Design Guide, shall be NCHRP Report 350 compliant, meeting Test Level 3 (TL-3) requirements, and having an FHWA letter of acceptance. Other terminals may be used in locations where impacts are unlikely or if properly shielded by impact attenuator, if approved by the Engineer. Each of the four cable-ropes of the system shall have separate anchor connections to the terminal end section. End anchors shall be fabricated from materials meeting ASTM A-36 and galvanized after fabrication to A-123. All welding shall be performed by a certified welder in accordance with AWS D1.1.

**CONSTRUCTION** The Contractor shall install high tension cable-rope barrier system according to the manufacturer’s design and recommendation. Prior to construction, the proposed layout and location of the HTC System will be approved by the Department. The posts shall be installed plumb and in accordance with the proposed layout, spacing, and location shown in the HTC System layout plans as approved by the Department.

Turnbuckles shall be included to allow for tensioning of the cable-ropes. For installations greater than 1,000 feet in length, at least one Turnbuckle per 1,000 feet shall be included per length of cable-rope. For installations less than 1,000 feet in length, one Turnbuckle per length of cable-rope shall be included near the center of the installation.

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**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 3 of 4

Extreme care shall be taken in ensuring proper cable-rope height. The area shall be relatively smooth, without edge drop-offs, holes, other depressions or abrupt slope changes between the edge of the traveled way and the cable-rope barrier system.

The HTC System shall be placed and tensioned immediately after initial installation per the manufacturer's recommendations. Tension shall be rechecked approximately two (2) to three (3) weeks after initial tensioning and adjusted, if necessary. A tension log form shall be completed showing the time, date, location, ambient temperature, and final tension reading, signed by the person performing the tension reading. This log shall be furnished to the Engineer upon completion of work. This form shall also include the manufacturer's recommended tension chart.

Line post shall be socketed with sleeves set in concrete. The minimum diameter for the line post foundations shall be 12 inches. Minimum installation depth for the concrete line posts footings shall be 36-inches for non-rock installation. Greater depths may be required for non-rock installation due to manufacturer's recommendations based on soil information as shown in this proposal. Depths and requirements for installations in rock shall be based on manufacturer's recommendations.

The HTC System shall be delineated with retro-reflective sheeting. The delineation shall be applied to the last five posts at each end of an installation and throughout the remainder of the installation at a maximum spacing of 50 feet. The delineation shall provide a minimum of seven square inches of area when viewed on a line parallel to the roadway centerline. For median installations, the sheeting shall be applied to both sides of the post. The delineation shall be attached near the top of the posts as recommended by the manufacturer. The sheeting shall be yellow or white and shall be the same color as the adjacent edge line.

Contractor shall not allow traffic to be exposed to trenching and/or excavated post anchor holes for longer than one working shift, as directed by the Engineer.

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**SPECIAL NOTE FOR**

**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

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**MEASUREMENT**

**High Tension Cable-Rope Barrier** will be measured by the linear foot. Any costs associated with the cable-rope, intermediate line posts, line post foundations, cable-rope tensioning, reflective sheeting, and all necessary incidentals shall be included in the price bid for this item.

**End Anchors** will be measured by each unit. The Contractor's proposed layout and location plans will specify the type and number of end terminals required. Any costs associated with the excavation, reinforcing steel, concrete, and other incidentals shall be included in the price bid for this item. End anchor pay limits vary by manufacturer. See manufacturers shop drawings for details.

**PAYMENT**

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23147EN	HIGH TENSION CABLE-ROPE BARRIER	LINEAR FOOT
23148EN	END ANCHORS	EACH

Such payment shall be full compensation for furnishing all materials, equipment, labor, and incidentals to complete the work as specified.

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**Special Note for Erosion Prevention and Sediment Control**  
**Boone County / Item No 06-9007.00**

KYTC has pre-filed the (KPDES) KYR10 permit Notice of Intent (NOI) with the Kentucky Division of Water (DOW). The NOI shall name KYTC as the Facility Operator and include the KYTC Contract ID Number (CID) for reference.

The Contractor shall perform all temporary erosion/sediment control functions including: providing a Best Management Practice (BMP) Plan, conducting required inspections, modifying the BMP plan documents as construction progresses and documenting the installation and maintenance of BMPs in conformance with the KPDES KYR10 permit dated September 30, 2003 or a permit re-issued to replace the KYR10 permit. This work shall be conducted in conformance with the requirements of Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

Contrary to Section 213.03.03, paragraph 2, the Engineer shall conduct inspections as needed to verify compliance with Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*. The Engineer's inspections shall be performed a minimum of once per month and within seven days after a storm of ½ inch or greater. Copies of the Engineer's inspections shall not be provided to the contractor unless improvements to the BMP's are required. The contractor shall initiate corrective action within 24 hours of any reported deficiency and complete the work within 5 days. The Engineer shall use Form TC 63-61 A for this report. Inspections performed by the Engineer do not relieve the Contractor of any responsibility for compliance with the KPDES permit.

The Contractor shall be responsible for filing the KPDES permit Notice of Termination (NOT) with the Kentucky DOW and any local MS4 program that has jurisdiction. The NOT shall be filed after the Engineer agrees that the project is stabilized or the project has been formally accepted.

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**Special Note for Erosion Control Blanket-Short Term**  
**Boone County / Item No. 06-9007.00**

**1.0 DESCRIPTION.** Install erosion control blanket-short term at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

**2.0 MATERIALS.**

**2.1 Erosion Control Blanket-Short Term (ECB-ST).** Use an ECB-ST that is machine constructed with two-sided netting filled with curled wood fiber mat, straw, or a straw and coconut fiber combination. Ensure the blanket is smolder resistant without the use of chemical additives.

**A) Dimensions.** Furnish in strips with a minimum width of 4 feet and length of 50 feet.

**B) Weight.**

1) Curled Wood Fiber. Ensure a minimum mass per unit area of 7.25 ounces per square yard according to ASTM D 6475.

2) Straw. Ensure a minimum mass per unit area of 7.5 ounces per square yard according to ASTM D 6475.

3) Straw/Coconut Fiber. Ensure a minimum mass per unit area of 6.75 ounces per square yard according to ASTM D 6475.

**C) Fill.** Ensure the fill is evenly distributed throughout the blanket.

1) Curled Wood Fiber. Use curled wood fiber of consistent thickness with at least 80 percent of its fibers 6 inches or longer in length.

2) Straw. Use only weed free agricultural straw.

2) Straw/Coconut Fiber. Conform to the straw requirements above and ensure the coconut fiber is evenly distributed throughout the blanket and accounts for 30% or more of the fill.

**D) Netting.** Use photodegradable extruded plastic mesh or netting, with a maximum spacing width of one inch square, on both sides of the blanket. Use a netting with a functional longevity of less than or equal to 90 days. Secure the netting by stitching or other method to ensure the blanket retains its integrity.

**E) Staples.** Use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch, and a minimum length of 6 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils. Provide staples with colored tops when requested by the Engineer.

**F) Performance.**

1) C-Factor. Ensure the ratio of soil loss from protected slope to ratio of soil loss from unprotected is  $\leq 0.15$  for a slope of 3:1 when tested according to ASTM D 7101 (2-inch/hour for 30 minutes).

2) Shear Stress. Ensure the blanket can sustain a minimum shear stress of 1.75 pounds per square foot without physical damage or excess

**2.2 Quality Assurance Sampling, Testing, and Acceptance.** Provide a Letter of Certification from the Manufacturer stating the product name, manufacturer, the AASHTO NTPEP Test Report showing the ECB-ST meets Department criteria, and the product data sheet or specification indicating the product netting has a functional longevity of less than or equal to 90 days. A certification letter is required for each product supplied on a project.

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**Special Note for Erosion Control Blanket-Short Term (cont.)**  
**Boone County / Item No. 06-9007.00**

**3.0 CONSTRUCTION.** Contrary to specification 212.03.03 E), Install ECB-ST only at locations specified in the Contract or as the Engineer directs. All other instructions for the installation of the ECB-ST shall be in accordance to specification 212.03.03 E).

**4.0 MEASUREMENT.** The Department will measure the quantity of ECB-ST by the square yard of surface covered. The Department will not measure seeding for payment and will consider it incidental to the ECB-ST. The Department will not measure any reworking of slopes, channels, or ditches for payment as it is considered corrective work and incidental to the ECB-ST.

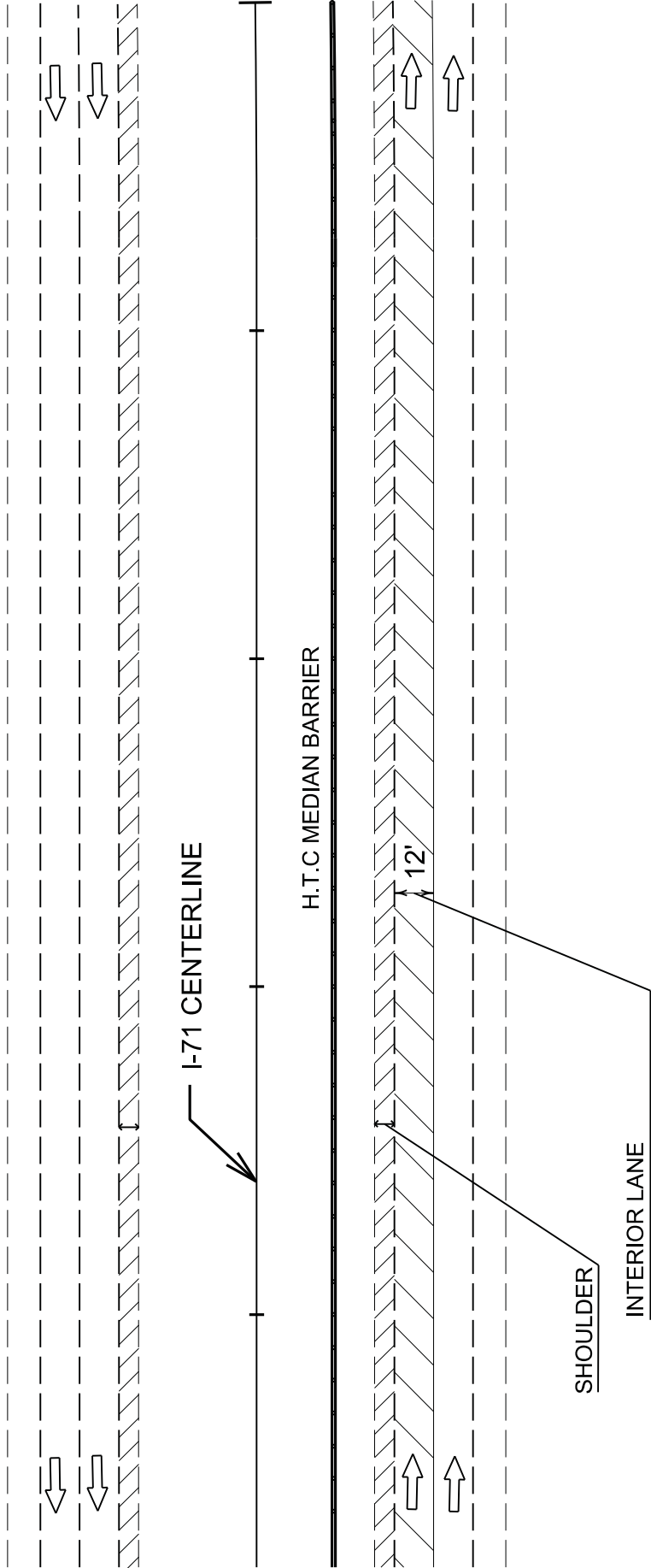
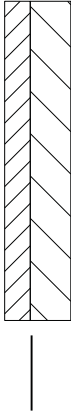
**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24560EN	Erosion Control Blanket-Short Term	Square Yard

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TRAFFIC CONTROL PLAN  
(LANE CLOSURE)  
PAGE 1 OF 6

- 1) SHOULDER  
THE INTERIOR SHOULDER MAY BE CLOSED AT ANY TIME  
THROUGHOUT THE PROJECT (EXCEPT ON THE "NO CLOSURE" DATES.)
- 2) INTERIOR LANE AND SHOULDER MAY BE CLOSED THE  
FOLLOWING TIMES:  
MONDAY BEGINNING AT 8:00 PM UNTIL TUESDAY AT 5:00 AM  
TUESDAY BEGINNING AT 8:00 PM UNTIL WEDNESDAY AT 5:00 AM  
WEDNESDAY BEGINNING AT 8:00 PM UNTIL THURSDAY AT 5:00 AM  
THURSDAY BEGINNING AT 8:00 PM UNTIL FRIDAY AT 5:00 AM  
NIGHTLY LANE CLOSURES ONLY



WORKING HOURS  
SCHEME

**TRAFFIC CONTROL PLAN**

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**THIS PROJECT IS A FULLY  
CONTROLLED ACCESS HIGHWAY**

**TRAFFIC CONTROL GENERAL**

Except as provided herein, maintain and control traffic in accordance with the KYTC Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), and the Standard Drawings (current edition). Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic will be paid at the lump sum bid price to “Maintain and Control Traffic”.

Contrary to Section 106.01, traffic control devices used on this project may be new, or used in like new condition, at the beginning of the work and maintained in like new condition until completion of the work.

The speed limit in work areas will be reduced by 15 M.P.H. from the posted speed and double fines for work zone speeding violations may be established. The extent of these areas within the project limits will be restricted to the proximity of actual work areas as determined by the Engineer. Double fine zones will be in place only when workers are present.

Until the Department makes written acceptance of the work, the Contractor shall rebuild, repair, and restore any portion of the HTC median barrier system damaged by any cause, including regular traffic impact. The Contractor shall bear the expense of these repairs. Partial acceptance for completed sections of HTC median barrier system shall be allowed at the end of the Construction season.

**PROJECT PHASING & CONSTRUCTION PROCEDURES**

The following closures will be allowed for I-71:

When work is being conducted in the median, the Contractor must have an interior shoulder closure in both directions at a minimum. Only minor operations which will cause no disruption to traffic flow (e.g. system layout, site preparation, etc.) may be allowed, at the Engineer’s discretion, during shoulder closures. All other work must be conducted during the closure of the interior lane and shoulder. No equipment or material deliveries will be allowed under the shoulder closure scheme. The shoulder closure may not remain in place during non-working hours. The Contractor shall close only the interior lane adjacent to the placement of the HTC median barrier.

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**TRAFFIC CONTROL PLAN**

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**The Contractor cannot begin the construction of a section of HTC barrier, as defined by a beginning and ending mile point in this proposal, before April 15, 2015 without the permission of the Engineer.**

The interior lane and shoulders may be closed the following times:

Monday beginning at 8:00 PM until Tuesday at 5:00 AM  
Tuesday beginning at 8:00 PM until Wednesday at 5:00 AM  
Wednesday beginning at 8:00 PM until Thursday at 5:00 AM  
Thursday beginning at 8:00 PM until Friday at 5:00 AM

No lane or shoulder closures will be allowed on the following days:

Easter	April 3 – 5, 2015
Memorial Day	May 22 – 25, 2015
Independence Day	July 3 – 5, 2015

NO LANE CLOSURES WILL BE ALLOWED DURING THE WEEK  
LEADING UP TO THE NASCAR SPRINT CUP RACE AT THE  
KENTUCKY SPEEDWAY.

During lane closures, the clear lane width shall be 12 feet; however, make provisions for passage of vehicles up to 16 feet in width.

**ALL TRAFFIC CONTROL DEVICES MUST BE MOVED FROM THE PAVED SURFACE BY THE TIMES SPECIFIED FOR LANE CLOSURES.**

**THE CONTRACTOR SHALL MAINTAIN POSITIVE DRAINAGE IN THE MEDIAN AT ALL TIMES ON THE PROJECT.**

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TRAFFIC CONTROL PLAN

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LANE CLOSURES

Do not leave lane closures in place during prohibited periods. Do not leave lane closures in place during nonworking hours. Leaving lane closures up during these times will cost the Contractor \$1,000 per lane per hour or fraction of an hour. Multiple lane closures may occur along the length of the project, but should not occur within 3 miles of each other and shall be limited to no more than 2 miles each in length. No long term lane closures will be allowed; therefore, contrary to Section 112, lane closures will not be measured for payment. For information on Lane Closure set up, please refer to Standard Drawing TTC-115 "Lane Closure Multi-Lane Highway Case I".

LIQUIDATED DAMAGES

This project has a fixed completion date of August 15, 2015. Contrary to Section 108.09 of the Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), a \$10,000.00 per day penalty will be charged for days exceeding this amount.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9007.00
BOONE COUNTY	ROUTE: I-71	MILEPOINT: 69.9 TO 76.6

TRAFFIC CONTROL PLAN

Page 5 of 6

**SIGNS**

The Engineer may require additional traffic control signs in addition to normal lane closure signing detailed on the Standard Drawings. Additional signs needed may include, but are not limited to, dual mounted LEFT LANE CLOSED 1 MILE, LEFT LANE CLOSED 2 MILE, LEFT LANE CLOSED 3 MILE, SLOWED/STOPPED TRAFFIC AHEAD, KEEP RIGHT, etc.

Individual signs will be measured only once for payment, under the Bid Item “Signs” regardless of how many times they are set, reset, removed, and relocated during the duration of the project. Replacements for damaged signs directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment.

**PORTABLE CHANGEABLE MESSAGE SIGNS**

Provide a minimum of two Portable Changeable Message Signs in advance of or on the project at locations designated by the Engineer. The Engineer will designate the messages to be provided. The locations and messages designated may vary as the work progresses. The Portable Changeable Message Signs shall be in operation at all times. In the event of damage or mechanical/electrical failure, immediately repair or replace the Portable Changeable Message Sign. Replacements for damaged Portable Changeable Message Signs directed by the Engineer to be replaced due to poor condition or legibility will not be measured for payment.  
Refer to; “Special Note For Portable Changeable Message Signs (1I)”  
Paid under Bid Item “02671” Portable Changeable Message Signs.

**BARRELS**

Barrels are to be used for channelization or delineation and will be incidental to “MAINTAIN AND CONTROL TRAFFIC” according to Section 112.04.01. Replacements for damaged barrels directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment. Barrels will be used to delineate the closed/active lane lines and tapers.

**ARROW PANEL**

Arrow panels will be paid for once, no matter how many times they are moved or relocated. The Department **WILL NOT** take possession of the arrow panels upon completion of the work.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9007.00
BOONE COUNTY	ROUTE: I-71	MILEPOINT: 69.9 TO 76.6



TRAFFIC CONTROL PLAN

Page 6 of 6

**PROJECT TRAFFIC COORDINATOR**

The Contractor shall supply a Project Traffic Coordinator (PTC) to monitor traffic control devices 24 hours a day throughout the duration of the project. The Project Traffic Coordinator must be equipped with a cellular phone and have the authority to immediately maintain and make changes in the traffic control as traffic conditions merit. The Contractor will be penalized one thousand dollars (\$1000) liquidated damages per day for any incidence that the Project Traffic Coordinator is not on the project. This project shall be classified as “significant”, and thus will require the PTC to also be qualified as a work zone traffic control supervisor.

**LAW ENFORCEMENT OFFICER**

In accordance with Section 112.04 of the Standard Specifications for Road and Bridge Construction (current edition) a Law Enforcement Officer shall be on duty in the work zone during working hours for the duration of the project.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9007.00
BOONE COUNTY	ROUTE: I-71	MILEPOINT: 69.9 TO 76.6

APPENDIX  
GEOTECHNICAL REPORT  
SHEETS


PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9007.00	
BOONE COUNTY	ROUTE: I-71	MILEPOINT: 69.9 TO 76.6	

**(R-051-2014)**

**MEMORANDUM**

**TO: Kevin Martin, PE**  
**Office of Project Development**  
**Division of Highway Design**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: September 4, 2014**

**SUBJECT: Boone County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 69.9 to 77.00**  
**Mars # 8917001D**  
**Item # 6-9007.00**  
**Geotechnical Testing and Driller Logs**

Drilling activities were completed in August 2014. The summary of soil conditions represents soils within the stated project limits. Boring locations were located at provided anchor points and drilled 8 feet from shoulder. The boring plan is attached. At each hole SPT samples were taken and the associated blow counts were recorded. The Driller's Subsurface Logs contain the depth of the hole, SPT values, soil description and depth to refusal (if encountered). All testing is attached.

**Mile Points on the logs are listed as stations, i.e. 25+80 is mile point 25.80**

**The average Frost Depth for Kentucky is 2.0 feet.**

If there are any questions, please contact the Geotechnical Branch at (502) 564-2374.


**Attachments:**

**BP for R-051-2014**

**MEMORANDUM**

**TO:** Bob Yeager, PE  
TEBM Project Development  
District 6, Covington

**FROM:** Bart Asher, PE  
Geotechnical Branch Manager  
Division of Structural Design

**BY:** Jason Wright   
Geotechnical Branch

**DATE:** April 9, 2014

**SUBJECT:** Boone County  
I-71 Median-Cable Guardrail  
Mile Post 69.9 to 77.00  
Mars # 8917001D  
Item # 6-9007.00  
Subsurface Boring Locations

The following list of borings is required to complete the Geotechnical Report for this project. Stantec will be responsible for drilling, sampling, coordination of traffic control and having utilities marked for all borings. The district will be responsible for staking. Please include hole number and mile point on drilling logs. The drilling will be as follows:

We request the staking be completed as soon as possible. Please contact the Geotechnical Branch once staking is completed.

**I. Standard Penetration Test (SPT) -** A SPT shall be taken at the following depths or to top of bedded material whichever occurs first: **2', 7', 12', 15'**. **If recovery is less than 5/10th obtain a sample bag.**

**NOTE:** Please note the following on the drilling logs:

1. Boring located in a cut or fill?
2. Were boulders encountered?
3. Is area wet and what depth was water encountered

**Standard Penetration Test (SPT)**

<b><u>Hole #</u></b>	<b><u>Milepost</u></b>	<b><u>Offset (feet)</u></b>	<b><u>Northbound/Southbound</u></b>
27	69.90	8' from inside shoulder	Northbound
28	71.50	8' from inside shoulder	Northbound
29	71.52	8' from inside shoulder	Northbound
30	72.65	8' from inside shoulder	Northbound
31	72.67	8' from inside shoulder	<b>Southbound</b>
32	75.99	8' from inside shoulder	<b>Southbound</b>
33	75.98	8' from inside shoulder	Northbound
34	76.59	8' from inside shoulder	Northbound

**Bob Yeager PE (R-051-2014)**

**July 22, 2014**

**Page 2**

If you have any questions, please contact Jason Wright at 502-564-2374 ext. 302



Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	69+90 8.0' Lt.	Hole #:	27
Lab ID#:	SPT1	Depth (ft):	2-3.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	93.8	3/8"	86.6	No. 4	75.9
No. 10	58.3	No. 40	26.7	No. 200	19.5
0.002 mm	7.7				

Gravel (-3" + No. 10)	41.7	Coarse Sand (-No. 10 + No. 40)	31.6
Fine Sand (-No. 40 +No. 200)	7.2	Silts (-No. 200 + 0.002mm)	11.9
Clay (-0.002mm)	7.7	Colloids (-0.001mm)	6.7

Liquid Limit:	23	Plastic Limit:	18	Plasticity Index:	5
		Activity:	0.65	Spec. Gravity:	2.602

AASHTO Classification:	A-1-b (0)
Unified Classification:	SC-SM

D 10 (mm):	0.004
D 30 (mm):	0.499
D 50 (mm):	1.331
D 60 (mm):	2.173
D 90 (mm):	13.140
D 95 (mm):	20.028

NAT MT =	7.92
LIQ =	-2.01584

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	534.51635
Cc =	28.17542

Remarks:

Copies:

Project ID: <u>R-051-2014</u>		<u>Boone - I-71 MP 69.9-77.0</u>		Project Type: <u>Roadway</u>						
Item Number: <u>06-9007.00</u>				Project Manager: <u>Jason Wright</u>						
Hole Number <u>28</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/14/2014</u>		Hole Type <u>sample</u>				
Surface Elevation <u>  </u>		Static Water Depth <u>NA</u>		End Date <u>08/14/2014</u>		Rig_Number <u>45C3</u>				
Total Depth <u>16.5'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>						
Location <u>71+50.00 8.0' Lt.</u>				Longitude(83) <u>  </u>						
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks	
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)		
	3.5	Stiff, brown, moist, gravelly clay.		SPT1	2.0-3.5	1.1	2-4-17	SPT		
		Stiff, brown, moist, gravelly clay with boulders.		SPT2	7.0-8.5	1.4	14-9-25	SPT		
				SPT3	12.0-13.5	1.1	9-9-22	SPT		
	16.5			SPT4	15.0-16.5	1.3	45-10-11	SPT		
		(Bottom of Hole 16.5') (No Refusal)								



Soil Classification and Gradation Test Results

Project ID: <b>R-051-2014</b>	<b>Boone - I-71 MP 69.9-77.0</b>	Project Type: <b>Roadway</b>
Item Number: <b>06-9007.00</b>		Project Manager: <b>Jason Wright</b>

Location:	71+50 8.0' Lt.	Hole #:	28
Lab ID#:	SPT1	Depth (ft):	2-3.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	93.4
3/4"	92.2	3/8"	90.2	No. 4	89.5
No. 10	88.6	No. 40	86.1	No. 200	82.1
0.002 mm	33.7				

Gravel (-3" + No. 10)	11.4	Coarse Sand (-No. 10 + No. 40)	2.5
Fine Sand (-No. 40 +No. 200)	4.0	Silts (-No. 200 + 0.002mm)	48.4
Clay (-0.002mm)	33.7	Colloids (-0.001mm)	29.5

Liquid Limit:	46	Plastic Limit:	23	Plasticity Index:	23
		Activity:	0.68	Spec. Gravity:	2.798

AASHTO Classification:	A-7-6 (20)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.001
D 50 (mm):	0.007
D 60 (mm):	0.014
D 90 (mm):	7.906
D 95 (mm):	29.509

NAT MT =	22.09
LIQ =	-0.03964

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>71+50 8.0' Lt.</u>	Hole #:	<u>28</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	93.4
3/4"	92.2	3/8"	90.2	No. 4	89.5
No. 10	88.6	No. 40	86.1	No. 200	82.1
0.002 mm	33.7				

Gravel (-3" + No. 10)	11.4	Coarse Sand (-No. 10 + No. 40)	2.5
Fine Sand (-No. 40 +No. 200)	4.0	Silts (-No. 200 + 0.002mm)	48.4
Clay (-0.002mm)	33.7	Colloids (-0.001mm)	29.5

Liquid Limit:	<u>46</u>	Plastic Limit:	<u>23</u>	Plasticity Index:	<u>23</u>
		Activity:	<u>0.68</u>	Spec. Gravity:	<u>2.798</u>

AASHTO Classification:	<u>A-7-6 (20)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.001</u>
D 50 (mm):	<u>0.007</u>
D 60 (mm):	<u>0.014</u>
D 90 (mm):	<u>7.906</u>
D 95 (mm):	<u>29.509</u>

NAT MT =	<u>22.09</u>
LIQ =	<u>-0.03964</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>71+50 8.0' Lt.</u>	Hole #:	<u>28</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	96.2	3/8"	87.6	No. 4	83.9
No. 10	80.2	No. 40	74.5	No. 200	69.5
0.002 mm	24.0				

Gravel (-3" + No. 10)	19.8	Coarse Sand (-No. 10 + No. 40)	5.8
Fine Sand (-No. 40 +No. 200)	5.0	Silts (-No. 200 + 0.002mm)	45.5
Clay (-0.002mm)	24.0	Colloids (-0.001mm)	20.2

Liquid Limit:	<u>37</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>16</u>
		Activity:	<u>0.67</u>	Spec. Gravity:	<u>2.579</u>

AASHTO Classification:	<u>A-6 (10)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.003</u>
D 50 (mm):	<u>0.016</u>
D 60 (mm):	<u>0.035</u>
D 90 (mm):	<u>11.525</u>
D 95 (mm):	<u>17.285</u>

NAT MT =	<u>14.58</u>
LIQ =	<u>-0.40104</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>71+50 8.0' Lt.</u>	Hole #:	<u>28</u>
Lab ID#:	<u>SPT4</u>	Depth (ft):	<u>15-16.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>96.2</u>	3/8"	<u>87.6</u>	No. 4	<u>83.9</u>
No. 10	<u>80.2</u>	No. 40	<u>74.5</u>	No. 200	<u>69.5</u>
0.002 mm	<u>24.0</u>				

Gravel (-3" + No. 10)	<u>19.8</u>	Coarse Sand (-No. 10 + No. 40)	<u>5.8</u>
Fine Sand (-No. 40 +No. 200)	<u>5.0</u>	Silts (-No. 200 + 0.002mm)	<u>45.5</u>
Clay (-0.002mm)	<u>24.0</u>	Colloids (-0.001mm)	<u>20.2</u>

Liquid Limit:	<u>37</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>16</u>
		Activity:	<u>0.67</u>	Spec. Gravity:	<u>2.579</u>

AASHTO Classification:	<u>A-6 (10)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.003</u>
D 50 (mm):	<u>0.016</u>
D 60 (mm):	<u>0.035</u>
D 90 (mm):	<u>11.525</u>
D 95 (mm):	<u>17.285</u>

NAT MT =	<u>14.58</u>
LIQ =	<u>-0.40104</u>

Sieve Type:	<u>With Gravel</u>
Notes:	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u></u>
Cc =	<u></u>

Remarks:

Copies:

DR14D056-HSIP  
Drilling Firm: Kentucky Transportation Cabinet  
For: Division of Structural Design  
Geotechnical Branch

## DRILLER'S SUBSURFACE LOG

Printed: 9/2/14

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Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>71+52 8.0' Lt.</u>	Hole #:	<u>29</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	97.2
3/4"	89.6	3/8"	81.7	No. 4	74.7
No. 10	69.5	No. 40	61.7	No. 200	55.7
0.002 mm	23.9				

Gravel (-3" + No. 10)	30.5	Coarse Sand (-No. 10 + No. 40)	7.8
Fine Sand (-No. 40 +No. 200)	6.1	Silts (-No. 200 + 0.002mm)	31.8
Clay (-0.002mm)	23.9	Colloids (-0.001mm)	20.4

Liquid Limit:	<u>38</u>	Plastic Limit:	<u>20</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.75</u>	Spec. Gravity:	<u>2.610</u>

AASHTO Classification:	<u>A-6 (7)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.004</u>
D 50 (mm):	<u>0.039</u>
D 60 (mm):	<u>0.259</u>
D 90 (mm):	<u>19.258</u>
D 95 (mm):	<u>23.110</u>

NAT MT =	<u>22.37</u>
LIQ =	<u>0.13158</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>71+52 8.0' Lt.</u>	Hole #:	<u>29</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	97.2
3/4"	89.6	3/8"	81.7	No. 4	74.7
No. 10	69.5	No. 40	61.7	No. 200	55.7
0.002 mm	23.9				

Gravel (-3" + No. 10)	30.5	Coarse Sand (-No. 10 + No. 40)	7.8
Fine Sand (-No. 40 +No. 200)	6.1	Silts (-No. 200 + 0.002mm)	31.8
Clay (-0.002mm)	23.9	Colloids (-0.001mm)	20.4

Liquid Limit:	<u>38</u>	Plastic Limit:	<u>20</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.75</u>	Spec. Gravity:	<u>2.610</u>

AASHTO Classification:	<u>A-6 (7)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.004</u>
D 50 (mm):	<u>0.039</u>
D 60 (mm):	<u>0.259</u>
D 90 (mm):	<u>19.258</u>
D 95 (mm):	<u>23.110</u>

NAT MT =	<u>22.37</u>
LIQ =	<u>0.13158</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>71+52 8.0' Lt.</u>	Hole #:	<u>29</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	84.1
3/4"	81.6	3/8"	75.3	No. 4	70.2
No. 10	66.2	No. 40	61.8	No. 200	57.9
0.002 mm	25.4				

Gravel (-3" + No. 10)	33.8	Coarse Sand (-No. 10 + No. 40)	4.4
Fine Sand (-No. 40 +No. 200)	3.9	Silts (-No. 200 + 0.002mm)	32.5
Clay (-0.002mm)	25.4	Colloids (-0.001mm)	22.0

Liquid Limit:	<u>43</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>21</u>
		Activity:	<u>0.83</u>	Spec. Gravity:	<u>2.689</u>

AASHTO Classification:	<u>A-7-6 (10)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.003</u>
D 50 (mm):	<u>0.031</u>
D 60 (mm):	<u>0.191</u>
D 90 (mm):	<u>32.332</u>
D 95 (mm):	<u>40.207</u>

NAT MT =	<u>10.34</u>
LIQ =	<u>-0.55501</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Printed: 9/2/14

Geotechnical Branch

# Soil Classification and Gradation Test Results

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Project ID: **R-051-2014**  
Item Number: **06-9007.00**
**Boone - I-71 MP 69.9-77.0**

Project Type: **Roadway**  
Project Manager: **Jason Wright**

Location: **71+52 8.0' Lt.**  
Lab ID#: **SPT4**

Hole #: **29**  
Depth (ft): **15-16.5**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	84.1
3/4"	81.6	3/8"	75.3	No. 4	70.2
No. 10	66.2	No. 40	61.8	No. 200	57.9
0.002 mm	25.4				

Gravel (-3" + No. 10)	33.8	Coarse Sand (-No. 10 + No. 40)	4.4
Fine Sand (-No. 40 + No. 200)	3.9	Silts (-No. 200 + 0.002mm)	32.5
Clay (-0.002mm)	25.4	Colloids (-0.001mm)	22.0

Liquid Limit: **43** Plastic Limit: **22** Plasticity Index: **21**  
Activity: **0.83** Spec. Gravity: **2.689**

AASHTO Classification: **A-7-6 (10)**  
Unified Classification: **CL**

D 10 (mm):	0.000
D 30 (mm):	0.003
D 50 (mm):	0.031
D 60 (mm):	0.191
D 90 (mm):	32.332
D 95 (mm):	40.207

NAT MT = **10.34**  
LIQ = **-0.55501**

Sieve Type: **With Gravel**  
Notes:   
Silts + Clays + Colloids: **N/A**

Cu = 

Cc = 

Remarks:

Copies:

## DRILLER'S SUBSURFACE LOG

Project ID: <u>R-051-2014</u>		<u>Boone - I-71 MP 69.9-77.0</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9007.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>30</u>		Immediate Water Depth <u>NA</u>	Start Date <u>08/14/2014</u>		Hole Type <u>sample</u>				
Surface Elevation <u>'</u>		Static Water Depth <u>NA</u>	End Date <u>08/14/2014</u>		Rig Number <u>45C3</u>				
Total Depth <u>16.5'</u>		Driller <u>L. Wethington</u>	Latitude(83) <u>  </u>						
Location <u>72+65.00 8.0' Lt.</u>			Longitude(83) <u>  </u>						
Lithology		Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks	
Elevation	Depth	Description	Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)		SDI (JS)
		Medium stiff, brown, very moist, clay with boulders.							
			SPT1	2.0-3.5	1.2	3-3-6	SPT		
			SPT2	7.0-8.5	1.5	6-7-8	SPT		
			SPT3	12.0-13.5	1.4	5-9-12	SPT		
			SPT4	15.0-16.5	1.5	49-4-9	SPT		
	16.5								
		(Bottom of Hole 16.5') (No Refusal)							

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>72+65 8.0' Lt.</u>	Hole #:	<u>30</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	97.9	No. 4	95.3
No. 10	92.7	No. 40	88.6	No. 200	83.2
0.002 mm	36.9				

Gravel (-3" + No. 10)	7.3	Coarse Sand (-No. 10 + No. 40)	4.1
Fine Sand (-No. 40 +No. 200)	5.3	Silts (-No. 200 + 0.002mm)	46.3
Clay (-0.002mm)	36.9	Colloids (-0.001mm)	30.9

Liquid Limit:	<u>49</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>28</u>
		Activity:	<u>0.76</u>	Spec. Gravity:	<u>2.766</u>

AASHTO Classification:	<u>A-7-6 (24)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.006</u>
D 60 (mm):	<u>0.012</u>
D 90 (mm):	<u>0.726</u>
D 95 (mm):	<u>4.327</u>

NAT MT =	<u>11.34</u>
LIQ =	<u>-0.34488</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <b>R-051-2014</b>	<b>Boone - I-71 MP 69.9-77.0</b>	Project Type: <b>Roadway</b>
Item Number: <b>06-9007.00</b>		Project Manager: <b>Jason Wright</b>

Location:	72+65 8.0' Lt.	Hole #:	30		
Lab ID#:	SPT2	Depth (ft):	7-8.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	97.9	No. 4	95.3
No. 10	92.7	No. 40	88.6	No. 200	83.2
0.002 mm	36.9				

Gravel (-3" + No. 10)	7.3	Coarse Sand (-No. 10 + No. 40)	4.1
Fine Sand (-No. 40 +No. 200)	5.3	Silts (-No. 200 + 0.002mm)	46.3
Clay (-0.002mm)	36.9	Colloids (-0.001mm)	30.9

Liquid Limit:	49	Plastic Limit:	21	Plasticity Index:	28
		Activity:	0.76	Spec. Gravity:	2.766

AASHTO Classification:	A-7-6 (24)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.000
D 50 (mm):	0.006
D 60 (mm):	0.012
D 90 (mm):	0.726
D 95 (mm):	4.327

NAT MT =	11.34
LIQ =	-0.34488

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>72+65 8.0' Lt.</u>	Hole #:	<u>30</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	87.0
3/4"	80.2	3/8"	72.5	No. 4	64.1
No. 10	54.7	No. 40	44.0	No. 200	37.9
0.002 mm	18.1				

Gravel (-3" + No. 10)	45.3	Coarse Sand (-No. 10 + No. 40)	10.7
Fine Sand (-No. 40 +No. 200)	6.1	Silts (-No. 200 + 0.002mm)	19.8
Clay (-0.002mm)	18.1	Colloids (-0.001mm)	14.5

Liquid Limit:	<u>38</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>19</u>
		Activity:	<u>1.05</u>	Spec. Gravity:	<u>2.617</u>

AASHTO Classification:	<u>A-6 (3)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.018</u>
D 50 (mm):	<u>1.015</u>
D 60 (mm):	<u>3.262</u>
D 90 (mm):	<u>29.320</u>
D 95 (mm):	<u>38.288</u>

NAT MT =	<u>25.52</u>
LIQ =	<u>0.34320</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	72+65 8.0' Lt.	Hole #:	30		
Lab ID#:	SPT4	Depth (ft):	15-16.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	87.0
3/4"	80.2	3/8"	72.5	No. 4	64.1
No. 10	54.7	No. 40	44.0	No. 200	37.9
0.002 mm	18.1				

Gravel (-3" + No. 10)	45.3	Coarse Sand (-No. 10 + No. 40)	10.7
Fine Sand (-No. 40 +No. 200)	6.1	Silts (-No. 200 + 0.002mm)	19.8
Clay (-0.002mm)	18.1	Colloids (-0.001mm)	14.5

Liquid Limit:	38	Plastic Limit:	19	Plasticity Index:	19
		Activity:	1.05	Spec. Gravity:	2.617

AASHTO Classification:	A-6 (3)
Unified Classification:	GC

D 10 (mm):	0.000
D 30 (mm):	0.018
D 50 (mm):	1.015
D 60 (mm):	3.262
D 90 (mm):	29.320
D 95 (mm):	38.288

NAT MT =	25.52
LIQ =	0.34320

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Project ID: <u>R-051-2014</u>		<u>Boone - I-71 MP 69.9-77.0</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9007.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>31</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/14/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>  </u> '		Static Water Depth <u>NA</u>		End Date <u>08/14/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>11.7'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>					
Location <u>72+67.00 8.0' Lt.</u>				Longitude(83) <u>  </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
5	11.7	Stiff, brown, moist, clay with boulders.		SPT1	2.0-3.5	1.4	10-11-13	SPT	5
10									10
			SPT2	7.0-8.5	0.8	18-50-50/0.50	SPT		
15									15
20	(Bottom of Hole 11.7') (Refusal @ 11.7)								20
25									25
30									30
35									35
40									40
45									45
50									

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>72+67 8.0' Lt.</u>	Hole #:	<u>31</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	91.5
3/4"	84.3	3/8"	78.0	No. 4	71.7
No. 10	67.3	No. 40	58.3	No. 200	49.9
0.002 mm	16.0				

Gravel (-3" + No. 10)	32.7	Coarse Sand (-No. 10 + No. 40)	8.9
Fine Sand (-No. 40 +No. 200)	8.4	Silts (-No. 200 + 0.002mm)	34.0
Clay (-0.002mm)	16.0	Colloids (-0.001mm)	11.9

Liquid Limit:	<u>34</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.94</u>	Spec. Gravity:	<u>2.603</u>

AASHTO Classification:	<u>A-6 (4)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.009</u>
D 50 (mm):	<u>0.076</u>
D 60 (mm):	<u>0.567</u>
D 90 (mm):	<u>23.635</u>
D 95 (mm):	<u>33.327</u>

NAT MT =	<u>13.33</u>
LIQ =	<u>-0.37778</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>72+67 8.0' Lt.</u>	Hole #:	<u>31</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	91.5
3/4"	84.3	3/8"	78.0	No. 4	71.7
No. 10	67.3	No. 40	58.3	No. 200	49.9
0.002 mm	16.0				

Gravel (-3" + No. 10)	32.7	Coarse Sand (-No. 10 + No. 40)	8.9
Fine Sand (-No. 40 +No. 200)	8.4	Silts (-No. 200 + 0.002mm)	34.0
Clay (-0.002mm)	16.0	Colloids (-0.001mm)	11.9

Liquid Limit:	<u>34</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.94</u>	Spec. Gravity:	<u>2.603</u>

AASHTO Classification:	<u>A-6 (4)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.009</u>
D 50 (mm):	<u>0.076</u>
D 60 (mm):	<u>0.567</u>
D 90 (mm):	<u>23.635</u>
D 95 (mm):	<u>33.327</u>

NAT MT =	<u>13.33</u>
LIQ =	<u>-0.37778</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>75+99 8.0' Lt.</u>	Hole #:	<u>32</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-2.8</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	93.9	3/8"	80.8	No. 4	72.4
No. 10	63.6	No. 40	52.9	No. 200	47.3
0.002 mm	18.4				

Gravel (-3" + No. 10)	36.4	Coarse Sand (-No. 10 + No. 40)	10.7
Fine Sand (-No. 40 +No. 200)	5.5	Silts (-No. 200 + 0.002mm)	28.9
Clay (-0.002mm)	18.4	Colloids (-0.001mm)	13.0

Liquid Limit:	<u>37</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.98</u>	Spec. Gravity:	<u>2.656</u>

AASHTO Classification:	<u>A-6 (5)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.009</u>
D 50 (mm):	<u>0.173</u>
D 60 (mm):	<u>1.188</u>
D 90 (mm):	<u>15.438</u>
D 95 (mm):	<u>19.949</u>

NAT MT =	<u>13.93</u>
LIQ =	<u>-0.28165</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Project ID: <u>R-051-2014</u>		<u>Boone - I-71 MP 69.9-77.0</u>		Project Type: <u>Roadway</u>						
Item Number: <u>06-9007.00</u>				Project Manager: <u>Jason Wright</u>						
Hole Number <u>33</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/14/2014</u>		Hole Type <u>sample</u>				
Surface Elevation <u>  </u> '		Static Water Depth <u>NA</u>		End Date <u>08/14/2014</u>		Rig_Number <u>45C3</u>				
Total Depth <u>14.7'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>						
Location <u>75+98.00 8.0' Lt.</u>				Longitude(83) <u>  </u>						
Lithology		Overburden		Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks	
Elevation	Depth	Description		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)		SDI (JS)
		Medium soft, brown, moist, clay.								
				SPT1	2.0-3.5	1.4	3-3-5	SPT		
5	5.0	Stiff, brown, moist, clay with boulders.							5	
				SPT2	7.0-8.5	1.5	17-13-16	SPT		
10									10	
	13.2			SPT3	12.0-13.2	1.1	30-41-50/0.20	SPT		
15		(Bottom of Hole 14.7') (Refusal @ 14.7)							15	
20										20
25										25
30										30
35									35	
40										40
45										45
50										50

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>75+98 8.0' Lt.</u>	Hole #:	<u>33</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>88.3</u>	3/8"	<u>78.5</u>	No. 4	<u>70.7</u>
No. 10	<u>63.2</u>	No. 40	<u>54.0</u>	No. 200	<u>48.0</u>
0.002 mm	<u>20.7</u>				

Gravel (-3" + No. 10)	<u>36.8</u>	Coarse Sand (-No. 10 + No. 40)	<u>9.2</u>
Fine Sand (-No. 40 +No. 200)	<u>6.0</u>	Silts (-No. 200 + 0.002mm)	<u>27.4</u>
Clay (-0.002mm)	<u>20.7</u>	Colloids (-0.001mm)	<u>16.4</u>

Liquid Limit:	<u>35</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.87</u>	Spec. Gravity:	<u>2.687</u>

AASHTO Classification:	<u>A-6 (5)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.007</u>
D 50 (mm):	<u>0.133</u>
D 60 (mm):	<u>1.161</u>
D 90 (mm):	<u>19.768</u>
D 95 (mm):	<u>22.231</u>

NAT MT =	<u>18.49</u>
LIQ =	<u>0.08295</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>75+98 8.0' Lt.</u>	Hole #:	<u>33</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	98.1	No. 4	95.6
No. 10	93.4	No. 40	90.3	No. 200	86.6
0.002 mm	48.3				

Gravel (-3" + No. 10)	6.6	Coarse Sand (-No. 10 + No. 40)	3.2
Fine Sand (-No. 40 +No. 200)	3.7	Silts (-No. 200 + 0.002mm)	38.3
Clay (-0.002mm)	48.3	Colloids (-0.001mm)	41.8

Liquid Limit:	<u>63</u>	Plastic Limit:	<u>27</u>	Plasticity Index:	<u>36</u>
		Activity:	<u>0.75</u>	Spec. Gravity:	<u>2.738</u>

AASHTO Classification:	<u>A-7-6 (35)</u>
Unified Classification:	<u>CH</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.002</u>
D 60 (mm):	<u>0.006</u>
D 90 (mm):	<u>0.372</u>
D 95 (mm):	<u>3.790</u>

NAT MT =	<u>7.06</u>
LIQ =	<u>-0.55383</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Printed: 9/2/14

Geotechnical Branch

**Soil Classification and Gradation Test Results**

Page 19 of 21

Project ID: R-051-2014Boone - I-71 MP 69.9-77.0Project Type: RoadwayItem Number: 06-9007.00Project Manager: Jason WrightLocation: 75+98 8.0' Lt.Hole #: 33Lab ID#: SPT3Depth (ft): 12-13.2

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	98.1	No. 4	95.6
No. 10	93.4	No. 40	90.3	No. 200	86.6
0.002 mm	48.3				

Gravel (-3" + No. 10)	6.6	Coarse Sand (-No. 10 + No. 40)	3.2
Fine Sand (-No. 40 + No. 200)	3.7	Silts (-No. 200 + 0.002mm)	38.3
Clay (-0.002mm)	48.3	Colloids (-0.001mm)	41.8

Liquid Limit: 63 Plastic Limit: 27  
Activity: 0.75

Plasticity Index: 36  
Spec. Gravity: 2.738

AASHTO Classification: A-7-6 (35)  
Unified Classification: CH

D 10 (mm):	0.000
D 30 (mm):	0.000
D 50 (mm):	0.002
D 60 (mm):	0.006
D 90 (mm):	0.372
D 95 (mm):	3.790

NAT MT = 7.06  
LIQ = -0.55383

Sieve Type: With GravelNotes: Silts + Clays + Colloids: N/ACu = Cc = **Remarks:****Copies:**





Soil Classification and Gradation Test Results

Project ID: <b>R-051-2014</b>	<b>Boone - I-71 MP 69.9-77.0</b>	Project Type: <b>Roadway</b>
Item Number: <b>06-9007.00</b>		Project Manager: <b>Jason Wright</b>

Location:	76+59 8.0' Lt.	Hole #:	34
Lab ID#:	SPT1	Depth (ft):	2-3.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	96.6
3/4"	94.8	3/8"	81.1	No. 4	71.3
No. 10	61.1	No. 40	49.1	No. 200	43.2
0.002 mm	22.4				

Gravel (-3" + No. 10)	38.9	Coarse Sand (-No. 10 + No. 40)	11.9
Fine Sand (-No. 40 +No. 200)	5.9	Silts (-No. 200 + 0.002mm)	20.9
Clay (-0.002mm)	22.4	Colloids (-0.001mm)	16.1

Liquid Limit:	35	Plastic Limit:	21	Plasticity Index:	14
		Activity:	0.63	Spec. Gravity:	2.569

AASHTO Classification:	A-6 (3)
Unified Classification:	GC

D 10 (mm):	0.000
D 30 (mm):	0.008
D 50 (mm):	0.476
D 60 (mm):	1.745
D 90 (mm):	14.887
D 95 (mm):	19.502

NAT MT =	12.57
LIQ =	-0.60247

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-051-2014</u>	<u>Boone - I-71 MP 69.9-77.0</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9007.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>76+59 8.0' Lt.</u>	Hole #:	<u>34</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.4</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	96.6
3/4"	94.8	3/8"	81.1	No. 4	71.3
No. 10	61.1	No. 40	49.1	No. 200	43.2
0.002 mm	22.4				

Gravel (-3" + No. 10)	38.9	Coarse Sand (-No. 10 + No. 40)	11.9
Fine Sand (-No. 40 +No. 200)	5.9	Silts (-No. 200 + 0.002mm)	20.9
Clay (-0.002mm)	22.4	Colloids (-0.001mm)	16.1

Liquid Limit:	<u>35</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>14</u>
		Activity:	<u>0.63</u>	Spec. Gravity:	<u>2.569</u>

AASHTO Classification:	<u>A-6 (3)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.008</u>
D 50 (mm):	<u>0.476</u>
D 60 (mm):	<u>1.745</u>
D 90 (mm):	<u>14.887</u>
D 95 (mm):	<u>19.502</u>

NAT MT =	<u>12.57</u>
LIQ =	<u>-0.60247</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

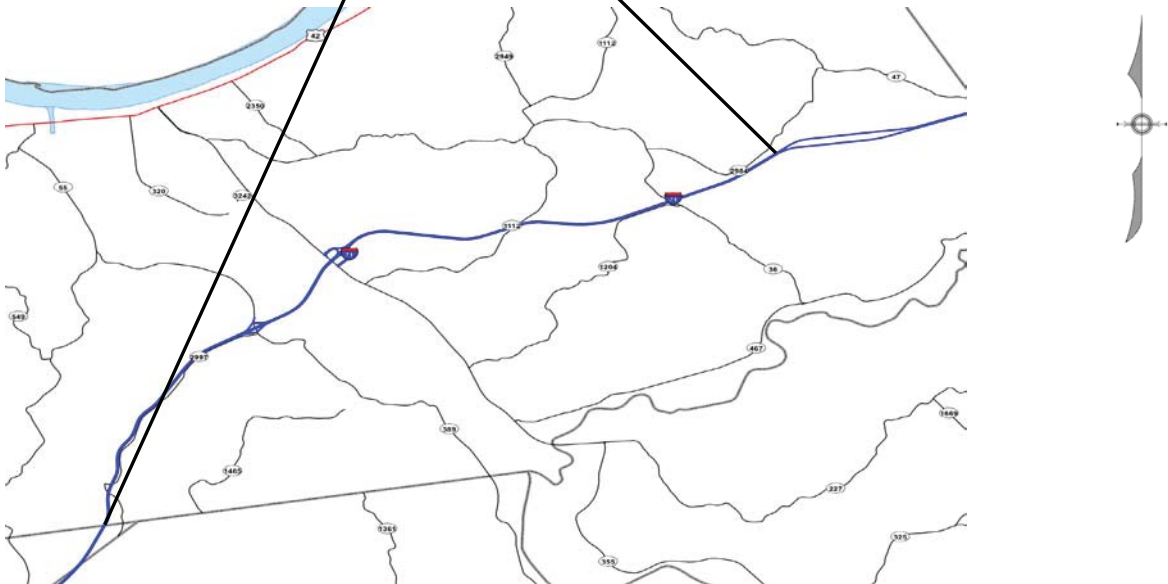
Cu =	
Cc =	

Remarks:

Copies:

BEGIN HTC MEDIAN BARRIER  
CONSTRUCTION I-71 MILEPOINT 38.8

END HTC MEDIAN BARRIER  
CONSTRUCTION I-71 MILEPOINT 50.8



PLAN APPROVED BY:  _____ FHWA	DATE: _____	<b>TABLE OF CONTENTS</b> TITLE 1. LAYOUT SHEET 2. PROJECT DESCRIPTION 3. UTILITY LOCATION SHEET 4. GENERAL SUMMARY 5. SPECIAL NOTES FOR HTC MEDIAN BARRIER INSTALLATION 6. SPECIAL NOTES FOR INSTALLATION AND MAINTENANCE TRAINING 7. SPECIAL NOTE FOR HIGH TENSION CABLE- ROPE MEDIAN BARRIER 8. TRAFFIC CONTROL PLAN 9. HTC END LOCATIONS 10. GEOTECHNICAL REPORT SHEETS
RECOMMENDED BY:  _____ PROJECT MANAGER	DATE: _____	
PLAN APPROVED BY:  _____ STATE HIGHWAY ENGINEER	DATE: _____	

PROPOSAL BY  KENTUCKY TRANSPORTATION CABINET  DEPARTMENT OF HIGHWAYS	PROPOSED HTC MEDIAN BARRIER	
	ROUTE: I-71	CARROLL COUNTY
	ITEM NO: 06-9008.00	
	MILEPOINT: 38.8 TO 50.8	LENGTH: 12.0 MILES

PROJECT DESCRIPTION

Carroll County  
HTC Median Barrier on I-71 from (MP 38.8) to I-71 (MP 50.8)

Item No. 06-9008.00

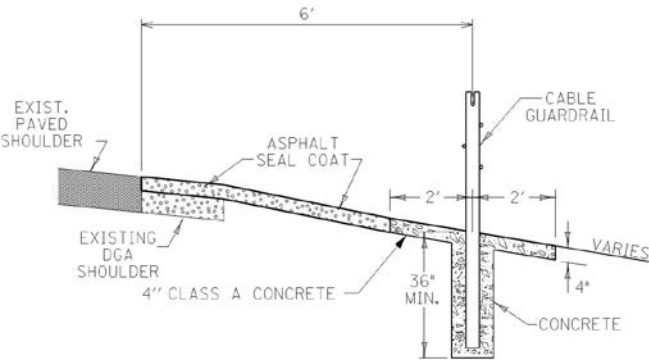
The purpose of this project is to install HTC Median Barrier along I-71 in Carroll County beginning at MP 38.8 (Henry/Carroll County line) to MP 50.8 (North of the Ghent-Eagle Station Road overpass).

The Manufacturer will assist the Contractor with the layout and location of the HTC Median Barrier installation. The Contractor will create schematic layout sheets for the HTC Median Barrier system and, prior to construction, the proposed layout and location of the HTC Median Barrier will be approved by the Department. The installed barrier shall be 6' from the edge of the paved shoulder, measured from the center of the concrete mow strip (See Detail A). Installations shall be on the Southbound and Northbound side of the median.

Cut a 4-foot wide and 4-inch deep trench where the HTC system is to run and place Class A Concrete in the trench (See Detail A).

The contractor shall place DGA and an asphalt seal coat from the paved shoulder to the concrete mow strip through the length of the project.

Geotechnical information has been collected at representative locations along the project corridor. This information may be found in the appendix of this proposal. The Manufacturer is responsible for the design of the line post and terminal foundations and shall use the geotechnical information to develop these project-specific foundation designs. The Contractor shall be responsible for obtaining any additional geotechnical information required by the Manufacturer to complete the design of their system's anchoring.



Detail A

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

HTC END LOCATIONS CARROLL COUNTY	
MILEPOINTS	LENGTH
NB 38.80	2,534.4'
NB 39.28	
NB 39.58	1,320'
NB 39.83	
NB 39.84	12,619.2'
NB 42.23	
NB 42.24	8,712'
NB 43.89	
NB 44.14	686.4'
NB 44.27	
NB 44.39	3,326.4'
NB 45.02	
NB 45.04	9,292.8'
NB 46.80	
SB 46.99	11,985.6'
SB 49.25	
NB 49.26	7,761.6'
NB 50.73	
TOTAL:	58,238.4'

**NOTE:**  
These locations have been assumed for the purpose of quantifying the project. Exact locations are to be determined by the Vendor and the Contractor and are to be documented in the HTC Median Barrier System Layout Plans.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

CARROLL COUNTY I-71  
UTILITY LOCATIONS

MILEPOINT	UTILITY DESCRIPTION
NB 43.13	Traffic Counter Loops
NB 43.89	Traffic Counter Loops

The Cabinet has a traffic count station in Carroll County described in the table above. The Contractor shall use caution in these areas as not to disturb or damage the count stations in any manner and that includes any and all associated hardware necessary for them to function. If damage should occur to these count stations during the placement of the HTC median cable barrier, the Contractor shall be responsible for replacing the damaged count station in full, as directed by the Engineer, without compensation from the Cabinet, and within the time frame of the project. An inspection by the Cabinet of these stations will take place at the end of work as assurance that they have not been disturbed.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

GENERAL SUMMARY			
ITEM	DESCRIPTION	UNIT	PROJECT TOTALS
23147EN	HIGH TENSION CABLE-ROPE (1) (4) (6) (7)	LF	58238.4
23148EN	END ANCHOR (2) (4) (6) (7)	EACH	18
22415EN	CONCRETE CLASS A FOR PAD (5)	SQ. YD.	25833.7
06427	TRENCHING (3)	LF	58238.4
00001	DGA (9)	TONS	4465
00100	ASPHALT SEAL AGGREGATE (8) (9)	TONS	518
00103	ASPHALT SEAL COAT (8) (9)	TONS	63
02569	DEMOBILIZATION	LS	1
02562	SIGNS	SF	500
02650	MAINTAIN & CONTROL TRAFFIC	LS	1
02671	PORTABLE CHANGEABLE MESSAGE SIGN	EACH	4
02726	STAKING	LS	1
02775	ARROW PANEL	EACH	2
20411ED	LAW ENFORCEMENT OFFICER	HOURL	640
24560EN	EROSION CONTROL BLANKET – SHORT TERM (10)	SQ YD	77651
02705	SILT TRAP TYPE C	EACH	83

**NOTES:**

- (1) The HTC Median Barrier system includes all hardware, post, cables, labor, and incidentals within the End Anchors.
- (2) The HTC Median Barrier End Anchors includes all hardware, post, cables, labor, and incidentals.
- (3) The bid item "Trenching" is for the trenching and disposal of the material removed for the Concrete Class A Pad under the HTC Median Barrier system. Provided this material meets geotechnical requirements it may be used where median fill is needed. Waste area will be pre-approved by the Engineer.
- (4) Excavation for the posts and anchors is incidental to the HTC Median Barrier. This material may also be used where median fill is needed provided that requirements listed in note (3) above are followed.
- (5) Construct per the Section 505 of the *Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.
- (6) The Contractor shall select and install only one manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer.
- (7) Geotechnical work has been completed for the project. All Geotechnical Information has been included in this proposal so that the manufacturers may design the anchors and the post line footings.
- (8) Two applications.
- (9) For placement between the edge of paved shoulder and the concrete mow strip.
- (10) See Special Note for Permanent Seeding and Protection.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00	
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8	

**SPECIAL NOTES FOR**  
**HTC MEDIAN BARRIER INSTALLATION AND LAYOUT**

**PAGE 1 OF 2**

The HTC Median Barrier will meet or exceed the specifications documented in the ***SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER***. The Contractor may choose any manufacturer of high tension cable-rope so long as their system meets or exceeds specifications documented in the ***SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER*** and is on KYTC's **LIST OF APPROVED MATERIALS** (<http://transportation.ky.gov/Materials/Documents/LAM.PDF>). The Contractor shall select and install only one manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer.

The Contractor shall provide the following documentation to the Engineer a minimum of 14 days prior to installation of the system:

- a) A copy of the appropriate FHWA Acceptance Letters (from NCHRP Report 350 testing) for the HTC system, including one for TL-4 on 6H:1V slopes, TL-3 on 4H:1V, and TL-3 for the terminals/end anchorages.
- b) Two copies of the manufacturer's product brochure, specifications, and installation and maintenance manuals.
- c) Certification signed and stamped by a Professional Engineer licensed in the Commonwealth of Kentucky stating that the final design of the system meets the requirements of the contract documents.
- d) Five copies of the proposed system layout plans clearly depicting installation details, including existing planimetric features (guardrail, safety terminals, edges of pavement/shoulder, ditch line, structures, etc.) and proposed HTC system features (safety terminals, intermediate line posts, and cable-rope location).
- e) One copy of the design drawings and calculations for the safety terminal and intermediate line post foundations for the soil conditions on the project. Design drawings and calculations shall be stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.

Review and acceptance of the proposed design (as shown in the documentation listed above) must occur before the Contractor proceeds with installation. The review will be completed in 14 days.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8



**SPECIAL NOTES FOR**  
**HTC MEDIAN BARRIER INSTALLATION AND LAYOUT**

**PAGE 2 OF 2**

When developing the proposed system layout, the Contractor and Manufacturer will adhere to the following guidance:

- a) Maintain a minimum of 10’ between the HTC system and the edge of traveled way. Allowances will be made to the offset when the barrier passes by a permanent structure such as a bridge pier or sign truss pedestal. The Engineer will approve any variances to the 10’ offset.
- b) The HTC system must remain a minimum of 10’ up from the median ditch line.
- c) Legal median u-turn crossovers should remain open.
- d) Where possible, shield anchors behind existing roadside safety hardware (i.e. guardrail end treatments, bridge-ends, etc.)

Contrary to Section 111 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* no Value Engineering or proposal to modify the specifications of the high tension cable median barrier will be accepted on this project.

The concrete pad mow strip will be constructed per the Section 505 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**SPECIAL NOTE FOR  
INSTALLATION AND MAINTENANCE TRAINING**

1. Provide installation training by the manufacturer of the system during construction.
- A. During the installation of the proposed cable barrier system, provide on-site field instruction on installation procedures covering all aspects of the system installation, including grading, line post installation, wire rope or cable installation and tensioning, and terminal or anchor installation. The scheduling and location of this training shall be approved by the Engineer.

B. Provide the training for a maximum of 10 participants, to include the following as may apply:
  - Contractor (prime)
  - Installation Contractor (sub)
  - KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
2. The installation contractor must have personnel on site at all times during the installation of the system that have been trained by the manufacturer.
3. Provide maintenance training by the manufacturer of the system prior to the closing out of the project.
- A. Provide a minimum of two (2) hours of classroom instruction on the maintenance and repair of the system. This training shall be provided in a location central to the project and the local KYTC district office. The scheduling and location of this training shall be approved by the Engineer.

B. Provide a minimum of two (2) hours of on-site field instruction on the maintenance and repair of the system.

C. Provide the training as required for a maximum of 30 participants, to include the following:
  - KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
  - FHWA representative when system installed on federal aid projects
  - Those invited by the KYTC, which may include law enforcement agencies and emergency response representatives
4. The required training will be **incidental to the contract**.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 1 of 4

**DESCRIPTION** This work shall consist of furnishing and installing a high tension cable-rope HTC median barrier with terminals/end anchorages as recommended by the Manufacturer, as directed by the Engineer, and in accordance with the requirements of this special note.

**GENERAL REQUIREMENTS** The HTC median barrier system shall be a four cable-rope system that meets the National Cooperative Highway Research Program (NCHRP) Report 350, Test Level 4 testing for 6H:1V slopes and be accepted by FHWA as such. HTC installed on front slope grades steeper than 6H:1V but 4H:1V or flatter shall be Test Level 3 tested and accepted as such. Each of the four cable-ropes shall be independently anchored to a concrete end-anchor. The terminals/end anchorages shall be tested and accepted under NCHRP Report 350 Test Level 3. Geotechnical information of the project area shall be used by the Manufacturer to design the sizes and depths of the anchors and footings. Intermediate line posts shall be socketed with sleeves set in concrete. The maximum post spacing for the HTC System shall be 10.5 feet, center to center.

**MATERIALS** Samples for testing shall be provided as directed by the Physical Section of the Division of Materials. Contractors shall contact the Physical Section of the Division of Materials at 502-564-3160 for department specific sampling and testing procedures prior to bid. Section references are from the *Kentucky Standard Specifications for Road and Bridge Construction (current edition)*.

Concrete, Class A	Section 601
Steel Reinforcement (Minimum Grade 40 steel)	Section 811
Anchor Bolts and Nuts	Section 813
Galvanizing (Bolts, Nuts & Washers)	AASHTO M 232
Fittings (Steel) Hardware	AASHTO M 30
Reflective Sheeting	Section 830

**Cable-rope** The cable-rope shall be a galvanized ¾ inch diameter, 3x7 wire rope construction meeting AASHTO M30 Type I Class A coating. The wire rope shall be pre-stretched during manufacturing to exhibit a minimum modulus of elasticity of 11,805,090 pounds/inch<sup>2</sup> after pre-stretching. If cable rope or fittings of higher strength were used at the time of NCHRP 350 evaluation, use the higher strength materials.

**Posts** Posts shall be the socketed versions with caps, placed in metal or plastic sleeves installed in a concrete foundation. All posts shall be fabricated from materials meeting ASTM A-36 or greater steel and galvanized after fabrication to A-123. The required welding shall be performed by a certified welder in accordance with AWS D1.1. Posts shall be domestic hot-rolled mild steel, or cold-formed from hot-rolled mild steel. A fitting gasket, profiled to fit tightly around each post, shall be provided to prevent debris from entering the socket.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**  
Sheet 2 of 4

**Fittings** Only swaged fittings shall be provided. Field-installed, galvanized-steel fittings (i.e., turnbuckles and splices) shall be one-inch diameter. Smaller fittings may be allowed with written permission from the Division of Design, Division of Construction, and the Division of Materials. Factory applied or stainless steel fittings shall meet AASHTO M30 Type I Class A. Threaded terminals shall be right hand or left hand threaded M24 X 3 pitch to ANSI B 1.13M. The body of the threaded terminal shall provide a minimum of 6 inches wire rope engagement depth. Threaded terminals shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**Turnbuckles** Turnbuckles (i.e. Rigging Screws) shall be threaded to accept the fitting described above. Turnbuckles may be either the open or closed body type (with two inspection holes to determine threaded rope terminal penetration). The turnbuckles shall allow for a minimum of 6 inches of penetration from each end. Turnbuckles shall meet AASHTO M30 Type I Class A and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**Mechanical Anchor Fittings** Fittings shall be provided at the anchor termination of each cable-rope and shall be of the same type as used in the connection to the turnbuckles. The fittings shall meet AASHTO M30 Type I Class A yielding, shall be capable of release and reuse, and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**End Terminals** End Terminals placed within the clear zone, as defined by AASHTO Roadside Design Guide, shall be NCHRP Report 350 compliant, meeting Test Level 3 (TL-3) requirements, and having an FHWA letter of acceptance. Other terminals may be used in locations where impacts are unlikely or if properly shielded by impact attenuator, if approved by the Engineer. Each of the four cable-ropes of the system shall have separate anchor connections to the terminal end section. End anchors shall be fabricated from materials meeting ASTM A-36 and galvanized after fabrication to A-123. All welding shall be performed by a certified welder in accordance with AWS D1.1.

**CONSTRUCTION** The Contractor shall install high tension cable-rope barrier system according to the manufacturer’s design and recommendation. Prior to construction, the proposed layout and location of the HTC System will be approved by the Department. The posts shall be installed plumb and in accordance with the proposed layout, spacing, and location shown in the HTC System layout plans as approved by the Department.

Turnbuckles shall be included to allow for tensioning of the cable-ropes. For installations greater than 1,000 feet in length, at least one Turnbuckle per 1,000 feet shall be included per length of cable-rope. For installations less than 1,000 feet in length, one Turnbuckle per length of cable-rope shall be included near the center of the installation.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 3 of 4

Extreme care shall be taken in ensuring proper cable-rope height. The area shall be relatively smooth, without edge drop-offs, holes, other depressions or abrupt slope changes between the edge of the traveled way and the cable-rope barrier system.

The HTC System shall be placed and tensioned immediately after initial installation per the manufacturer's recommendations. Tension shall be rechecked approximately two (2) to three (3) weeks after initial tensioning and adjusted, if necessary. A tension log form shall be completed showing the time, date, location, ambient temperature, and final tension reading, signed by the person performing the tension reading. This log shall be furnished to the Engineer upon completion of work. This form shall also include the manufacturer's recommended tension chart.

Line post shall be socketed with sleeves set in concrete. The minimum diameter for the line post foundations shall be 12 inches. Minimum installation depth for the concrete line posts footings shall be 36-inches for non-rock installation. Greater depths may be required for non-rock installation due to manufacturer's recommendations based on soil information as shown in this proposal. Depths and requirements for installations in rock shall be based on manufacturer's recommendations.

The HTC System shall be delineated with retro-reflective sheeting. The delineation shall be applied to the last five posts at each end of an installation and throughout the remainder of the installation at a maximum spacing of 50 feet. The delineation shall provide a minimum of seven square inches of area when viewed on a line parallel to the roadway centerline. For median installations, the sheeting shall be applied to both sides of the post. The delineation shall be attached near the top of the posts as recommended by the manufacturer. The sheeting shall be yellow or white and shall be the same color as the adjacent edge line.

Contractor shall not allow traffic to be exposed to trenching and/or excavated post anchor holes for longer than one working shift, as directed by the Engineer.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 4 of 4

**MEASUREMENT**

**High Tension Cable-Rope Barrier** will be measured by the linear foot. Any costs associated with the cable-rope, intermediate line posts, line post foundations, cable-rope tensioning, reflective sheeting, and all necessary incidentals shall be included in the price bid for this item.

**End Anchors** will be measured by each unit. The Contractor's proposed layout and location plans will specify the type and number of end terminals required. Any costs associated with the excavation, reinforcing steel, concrete, and other incidentals shall be included in the price bid for this item. End anchor pay limits vary by manufacturer. See manufacturers shop drawings for details.

**PAYMENT**

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23147EN	HIGH TENSION CABLE-ROPE BARRIER	LINEAR FOOT
23148EN	END ANCHORS	EACH

Such payment shall be full compensation for furnishing all materials, equipment, labor, and incidentals to complete the work as specified.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**Special Note for Erosion Prevention and Sediment Control**  
**Carroll County / Item No 06-9008.00**

KYTC has pre-filed the (KPDES) KYR10 permit Notice of Intent (NOI) with the Kentucky Division of Water (DOW). The NOI shall name KYTC as the Facility Operator and include the KYTC Contract ID Number (CID) for reference.

The Contractor shall perform all temporary erosion/sediment control functions including: providing a Best Management Practice (BMP) Plan, conducting required inspections, modifying the BMP plan documents as construction progresses and documenting the installation and maintenance of BMPs in conformance with the KPDES KYR10 permit dated September 30, 2003 or a permit re-issued to replace the KYR10 permit. This work shall be conducted in conformance with the requirements of Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

Contrary to Section 213.03.03, paragraph 2, the Engineer shall conduct inspections as needed to verify compliance with Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*. The Engineer's inspections shall be performed a minimum of once per month and within seven days after a storm of ½ inch or greater. Copies of the Engineer's inspections shall not be provided to the contractor unless improvements to the BMP's are required. The contractor shall initiate corrective action within 24 hours of any reported deficiency and complete the work within 5 days. The Engineer shall use Form TC 63-61 A for this report. Inspections performed by the Engineer do not relieve the Contractor of any responsibility for compliance with the KPDES permit.

The Contractor shall be responsible for filing the KPDES permit Notice of Termination (NOT) with the Kentucky DOW and any local MS4 program that has jurisdiction. The NOT shall be filed after the Engineer agrees that the project is stabilized or the project has been formally accepted.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8



**Special Note for Erosion Control Blanket-Short Term**  
**Carroll County / Item No. 06-9008.00**

**1.0 DESCRIPTION.** Install erosion control blanket-short term at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

**2.0 MATERIALS.**

**2.1 Erosion Control Blanket-Short Term (ECB-ST).** Use an ECB-ST that is machine constructed with two-sided netting filled with curled wood fiber mat, straw, or a straw and coconut fiber combination. Ensure the blanket is smolder resistant without the use of chemical additives.

**A) Dimensions.** Furnish in strips with a minimum width of 4 feet and length of 50 feet.

**B) Weight.**

1) Curled Wood Fiber. Ensure a minimum mass per unit area of 7.25 ounces per square yard according to ASTM D 6475.

2) Straw. Ensure a minimum mass per unit area of 7.5 ounces per square yard according to ASTM D 6475.

3) Straw/Coconut Fiber. Ensure a minimum mass per unit area of 6.75 ounces per square yard according to ASTM D 6475.

**C) Fill.** Ensure the fill is evenly distributed throughout the blanket.

1) Curled Wood Fiber. Use curled wood fiber of consistent thickness with at least 80 percent of its fibers 6 inches or longer in length.

2) Straw. Use only weed free agricultural straw.

2) Straw/Coconut Fiber. Conform to the straw requirements above and ensure the coconut fiber is evenly distributed throughout the blanket and accounts for 30% or more of the fill.

**D) Netting.** Use photodegradable extruded plastic mesh or netting, with a maximum spacing width of one inch square, on both sides of the blanket. Use a netting with a functional longevity of less than or equal to 90 days. Secure the netting by stitching or other method to ensure the blanket retains its integrity.

**E) Staples.** Use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch, and a minimum length of 6 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils. Provide staples with colored tops when requested by the Engineer.

**F) Performance.**

1) C-Factor. Ensure the ratio of soil loss from protected slope to ratio of soil loss from unprotected is  $\leq 0.15$  for a slope of 3:1 when tested according to ASTM D 7101 (2-inch/hour for 30 minutes).

2) Shear Stress. Ensure the blanket can sustain a minimum shear stress of 1.75 pounds per square foot without physical damage or excess

**2.2 Quality Assurance Sampling, Testing, and Acceptance.** Provide a Letter of Certification from the Manufacturer stating the product name, manufacturer, the AASHTO NTPEP Test Report showing the ECB-ST meets Department criteria, and the product data sheet or specification indicating the product netting has a functional longevity of less than or equal to 90 days. A certification letter is required for each product supplied on a project.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00	
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8	



**Special Note for Erosion Control Blanket-Short Term (cont.)**  
**Carroll County / Item No. 06-9008.00**

**3.0 CONSTRUCTION.** Contrary to specification 212.03.03 E), Install ECB-ST only at locations specified in the Contract or as the Engineer directs. All other instructions for the installation of the ECB-ST shall be in accordance to specification 212.03.03 E).

**4.0 MEASUREMENT.** The Department will measure the quantity of ECB-ST by the square yard of surface covered. The Department will not measure seeding for payment and will consider it incidental to the ECB-ST. The Department will not measure any reworking of slopes, channels, or ditches for payment as it is considered corrective work and incidental to the ECB-ST.

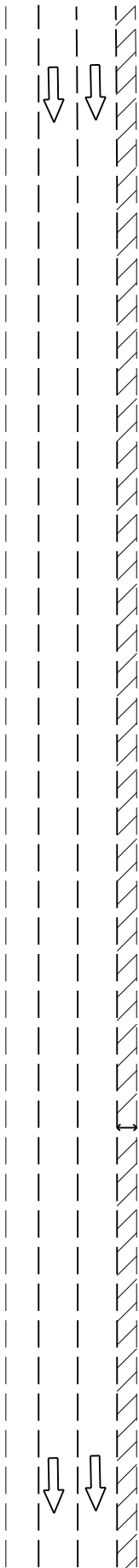
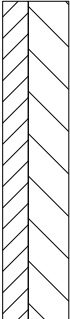
**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24560EN	Erosion Control Blanket-Short Term	Square Yard

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

TRAFFIC CONTROL PLAN  
(LANE CLOSURE)  
PAGE 1 OF 6

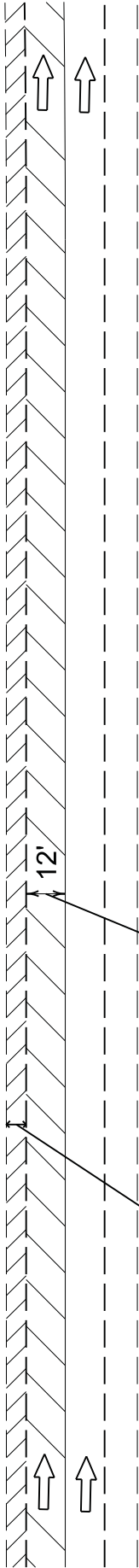
- 1) SHOULDER  
THE INTERIOR SHOULDER MAY BE CLOSED AT ANY TIME  
THROUGHOUT THE PROJECT (EXCEPT ON THE "NO CLOSURE" DATES.)
- 2) INTERIOR LANE AND SHOULDER MAY BE CLOSED THE  
FOLLOWING TIMES:  
MONDAY BEGINNING AT 8:00 PM UNTIL TUESDAY AT 5:00 AM  
TUESDAY BEGINNING AT 8:00 PM UNTIL WEDNESDAY AT 5:00 AM  
WEDNESDAY BEGINNING AT 8:00 PM UNTIL THURSDAY AT 5:00 AM  
THURSDAY BEGINNING AT 8:00 PM UNTIL FRIDAY AT 5:00 AM  
NIGHTLY LANE CLOSURES ONLY



I-71 CENTERLINE



H.T.C MEDIAN BARRIER



SHOULDER

INTERIOR LANE

WORKING HOURS  
SCHEME

**TRAFFIC CONTROL PLAN**

Page 2 of 6

**THIS PROJECT IS A FULLY  
CONTROLLED ACCESS HIGHWAY**

**TRAFFIC CONTROL GENERAL**

Except as provided herein, maintain and control traffic in accordance with the KYTC Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), and the Standard Drawings (current edition). Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic will be paid at the lump sum bid price to “Maintain and Control Traffic”.

Contrary to Section 106.01, traffic control devices used on this project may be new, or used in like new condition, at the beginning of the work and maintained in like new condition until completion of the work.

The speed limit in work areas will be reduced by 15 M.P.H. from the posted speed and double fines for work zone speeding violations may be established. The extent of these areas within the project limits will be restricted to the proximity of actual work areas as determined by the Engineer. Double fine zones will be in place only when workers are present.

Until the Department makes written acceptance of the work, the Contractor shall rebuild, repair, and restore any portion of the HTC median barrier system damaged by any cause, including regular traffic impact. The Contractor shall bear the expense of these repairs. Partial acceptance for completed sections of HTC median barrier system shall be allowed at the end of the Construction season.

**PROJECT PHASING & CONSTRUCTION PROCEDURES**

The following closures will be allowed for I-71:

When work is being conducted in the median, the Contractor must have an interior shoulder closure in both directions at a minimum. Only minor operations which will cause no disruption to traffic flow (e.g. system layout, site preparation, etc.) may be allowed, at the Engineer’s discretion, during shoulder closures. All other work must be conducted during the closure of the interior lane and shoulder. No equipment or material deliveries will be allowed under the shoulder closure scheme. The shoulder closure may not remain in place during non-working hours. The Contractor shall close only the interior lane adjacent to the placement of the HTC median barrier.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**TRAFFIC CONTROL PLAN**

Page 3 of 6

**The Contractor cannot begin the construction of a section of HTC barrier, as defined by a beginning and ending mile point in this proposal, before April 15, 2015 without the permission of the Engineer.**

The interior lane and shoulders may be closed the following times:

Monday beginning at 8:00 PM until Tuesday at 5:00 AM  
Tuesday beginning at 8:00 PM until Wednesday at 5:00 AM  
Wednesday beginning at 8:00 PM until Thursday at 5:00 AM  
Thursday beginning at 8:00 PM until Friday at 5:00 AM

No lane or shoulder closures will be allowed on the following days:

Easter	April 3 – 5, 2015
Memorial Day	May 22 – 25, 2015
Independence Day	July 3 – 5, 2015

**NO LANE CLOSURES WILL BE ALLOWED DURING THE WEEK  
LEADING UP TO THE NASCAR SPRINT CUP RACE AT THE  
KENTUCKY SPEEDWAY.**

During lane closures, the clear lane width shall be 12 feet; however, make provisions for passage of vehicles up to 16 feet in width.

**ALL TRAFFIC CONTROL DEVICES MUST BE MOVED FROM THE PAVED  
SURFACE BY THE TIMES SPECIFIED FOR LANE CLOSURES.**

**THE CONTRACTOR SHALL MAINTAIN POSITIVE DRAINAGE IN THE  
MEDIAN AT ALL TIMES ON THE PROJECT.**

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

TRAFFIC CONTROL PLAN

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**LANE CLOSURES**

Do not leave lane closures in place during prohibited periods. Do not leave lane closures in place during nonworking hours. Leaving lane closures up during these times will cost the Contractor \$1,000 per lane per hour or fraction of an hour. Multiple lane closures may occur along the length of the project, but should not occur within 3 miles of each other and shall be limited to no more than 2 miles each in length. No long term lane closures will be allowed; therefore, contrary to Section 112, lane closures will not be measured for payment. For information on Lane Closure set up, please refer to Standard Drawing TTC-115 "Lane Closure Multi-Lane Highway Case I".

**LIQUIDATED DAMAGES**

This project has a fixed completion date of August 15, 2015. Contrary to Section 108.09 of the Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), a \$10,000.00 per day penalty will be charged for days exceeding this amount.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

TRAFFIC CONTROL PLAN

Page 5 of 6

**SIGNS**

The Engineer may require additional traffic control signs in addition to normal lane closure signing detailed on the Standard Drawings. Additional signs needed may include, but are not limited to, dual mounted LEFT LANE CLOSED 1 MILE, LEFT LANE CLOSED 2 MILE, LEFT LANE CLOSED 3 MILE, SLOWED/STOPPED TRAFFIC AHEAD, KEEP RIGHT, etc.

Individual signs will be measured only once for payment, under the Bid Item “Signs” regardless of how many times they are set, reset, removed, and relocated during the duration of the project. Replacements for damaged signs directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment.

**PORTABLE CHANGEABLE MESSAGE SIGNS**

Provide a minimum of two Portable Changeable Message Signs in advance of or on the project at locations designated by the Engineer. The Engineer will designate the messages to be provided. The locations and messages designated may vary as the work progresses. The Portable Changeable Message Signs shall be in operation at all times. In the event of damage or mechanical/electrical failure, immediately repair or replace the Portable Changeable Message Sign. Replacements for damaged Portable Changeable Message Signs directed by the Engineer to be replaced due to poor condition or legibility will not be measured for payment.  
Refer to; “Special Note For Portable Changeable Message Signs (1I)” Paid under Bid Item “02671” Portable Changeable Message Signs.

**BARRELS**

Barrels are to be used for channelization or delineation and will be incidental to “MAINTAIN AND CONTROL TRAFFIC” according to Section 112.04.01. Replacements for damaged barrels directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment. Barrels will be used to delineate the closed/active lane lines and tapers.

**ARROW PANEL**

Arrow panels will be paid for once, no matter how many times they are moved or relocated. The Department **WILL NOT** take possession of the arrow panels upon completion of the work.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

**TRAFFIC CONTROL PLAN**

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**PROJECT TRAFFIC COORDINATOR**

The Contractor shall supply a Project Traffic Coordinator (PTC) to monitor traffic control devices 24 hours a day throughout the duration of the project. The Project Traffic Coordinator must be equipped with a cellular phone and have the authority to immediately maintain and make changes in the traffic control as traffic conditions merit. The Contractor will be penalized one thousand dollars (\$1000) liquidated damages per day for any incidence that the Project Traffic Coordinator is not on the project. This project shall be classified as “significant”, and thus will require the PTC to also be qualified as a work zone traffic control supervisor.

**LAW ENFORCEMENT OFFICER**

In accordance with Section 112.04 of the Standard Specifications for Road and Bridge Construction (current edition) a Law Enforcement Officer shall be on duty in the work zone during working hours for the duration of the project.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8

APPENDIX  
GEOTECHNICAL REPORT  
SHEETS

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 06-9008.00	
CARROLL COUNTY	ROUTE: I-71	MILEPOINT: 38.8 TO 50.8	




**(R-048-2014)**

**MEMORANDUM**

**TO: Kevin Martin, PE**  
**Office of Project Development**  
**Division of Highway Design**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: September 5, 2014**

**SUBJECT: Carroll County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 38.8 to 50.8**  
**Mars # 8917101D**  
**Item # 6-9008.00**  
**Geotechnical Testing and Driller Logs**

Drilling activities were completed in August 2014. The summary of soil conditions represents soils within the stated project limits. Boring locations were located at provided anchor points and drilled 8 feet from shoulder. The boring plan is attached. At each hole SPT samples were taken and the associated blow counts were recorded. The Driller's Subsurface Logs contain the depth of the hole, SPT values, soil description and depth to refusal (if encountered). All testing is attached.

**Mile Points on the logs are listed as stations, i.e. 25+80 is mile point 25.80**

**The average Frost Depth for Kentucky is 2.0 feet.**

If there are any questions, please contact the Geotechnical Branch at (502) 564-2374.


**Attachments:**

**BP for R-048-2014**

**MEMORANDUM**

**TO: Bob Yeager, PE**  
**TEBM Project Development**  
**District 6, Covington**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: July 22, 2014**

**SUBJECT: Carroll County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 38.8 to 50.8**  
**Mars # 8917101D**  
**Item # 6-9008.00**  
**Subsurface Boring Locations**

The following list of borings is required to complete the Geotechnical Report for this project. Stantec will be responsible for drilling, sampling, coordination of traffic control and having utilities marked for all borings. The district will be responsible for staking. Please include hole number and mile point on drilling logs. The drilling will be as follows:

We request the staking be completed as soon as possible. Please contact the Geotechnical Branch once staking is completed.

**I. Standard Penetration Test (SPT) -** A SPT shall be taken at the following depths or to top of bedded material whichever occurs first: **2', 7', 12', 15'**. **If recovery is less than 5/10th obtain a sample bag.**

**NOTE:** Please note the following on the drilling logs:

1. Boring located in a cut or fill?
2. Were boulders encountered?
3. Is area wet and what depth was water encountered

**Standard Penetration Test (SPT)**

<u>Hole #</u>	<u>Milepost</u>	<u>Offset (feet)</u>	<u>Northbound/Southbound</u>
9	38.80	8' from inside shoulder	Northbound
10	39.28	8' from inside shoulder	Northbound
11	39.58	8' from inside shoulder	Northbound
12	39.83	8' from inside shoulder	Northbound
13	39.84	8' from inside shoulder	Northbound
14	42.23	8' from inside shoulder	Northbound
15	42.24	8' from inside shoulder	Northbound
16	43.89	8' from inside shoulder	Northbound
17	44.14	8' from inside shoulder	Northbound

**B. Yeager, PE (R-048-2014)**  
**July 22, 2014**  
**Page 2**

<u>Hole #</u>	<u>Milepost</u>	<u>Offset (feet)</u>	<u>Northbound/Southbound</u>
18	44.27	8' from inside shoulder	Northbound
19	44.39	8' from inside shoulder	Northbound
20	45.02	8' from inside shoulder	Northbound
21	45.04	8' from inside shoulder	Northbound
22	46.80	8' from inside shoulder	Northbound
23	46.99	8' from inside shoulder	<b>Southbound</b>
24	49.25	8' from inside shoulder	<b>Southbound</b>
25	49.26	8' from inside shoulder	Northbound
26	50.73	8' from inside shoulder	Northbound

If you have any questions, please contact Jason Wright at 502-564-2374 ext. 302



Drilling Firm: Kentucky Transportation Cabinet  
For: Division of Structural Design  
Geotechnical Branch

# DRILLER'S SUBSURFACE LOG

Printed: 9/2/14

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Project ID: <u>R-048-2014</u> Item Number: <u>06-9008.</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u> Project Manager: <u>Jason Wright</u>					
Hole Number <u>10</u> Surface Elevation <u>  </u> Total Depth <u>10.5'</u> Location <u>39+28.00 8.0' Lt.</u>		Immediate Water Depth <u>NA</u> Static Water Depth <u>NA</u> Driller <u>L. Wethington</u>		Start Date <u>08/13/2014</u> End Date <u>08/13/2014</u> Latitude(83) <u>  </u> Longitude(83) <u>  </u>		Hole Type <u>sample</u> Rig_Number <u>45C3</u>			
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	3.5	Brown, moist, clay with boulders.		SPT1	2.0-3.5	0.6	7-12-14	SPT	
	8.5	Boulders (Limestone).		SPT2	7.0-8.5	0.5	25-36-34	SPT	
		(Bottom of Hole 10.5') (Refusal @ 10.5)							

Soil Classification and Gradation Test Results

Project ID: <b>R-048-2014</b>	<b>Carroll - I-71 MP 38.8-50.8</b>	Project Type: <b>Roadway</b>
Item Number: <b>06-9008.</b>		Project Manager: <b>Jason Wright</b>

Location:	39+28 8.0' Lt.	Hole #:	10
Lab ID#:	SPT1	Depth (ft):	2-3.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	82.6	3/8"	66.9	No. 4	58.0
No. 10	51.4	No. 40	42.3	No. 200	34.8
0.002 mm	15.3				

Gravel (-3" + No. 10)	48.6	Coarse Sand (-No. 10 + No. 40)	9.0
Fine Sand (-No. 40 +No. 200)	7.6	Silts (-No. 200 + 0.002mm)	19.5
Clay (-0.002mm)	15.3	Colloids (-0.001mm)	11.8

Liquid Limit:	35	Plastic Limit:	19	Plasticity Index:	16
		Activity:	1.05	Spec. Gravity:	2.695

AASHTO Classification:	A-2-6 (1)
Unified Classification:	GC

D 10 (mm):	0.000
D 30 (mm):	0.031
D 50 (mm):	1.580
D 60 (mm):	5.534
D 90 (mm):	21.359
D 95 (mm):	23.108

NAT MT =	39.73
LIQ =	1.29576

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>39+28 8.0' Lt.</u>	Hole #:	<u>10</u>		
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>82.6</u>	3/8"	<u>66.9</u>	No. 4	<u>58.0</u>
No. 10	<u>51.4</u>	No. 40	<u>42.3</u>	No. 200	<u>34.8</u>
0.002 mm	<u>15.6</u>				

Gravel (-3" + No. 10)	<u>48.6</u>	Coarse Sand (-No. 10 + No. 40)	<u>9.0</u>
Fine Sand (-No. 40 +No. 200)	<u>7.6</u>	Silts (-No. 200 + 0.002mm)	<u>19.1</u>
Clay (-0.002mm)	<u>15.6</u>	Colloids (-0.001mm)	<u>11.5</u>

Liquid Limit:	<u>35</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>16</u>
		Activity:	<u>1.02</u>	Spec. Gravity:	<u>1.736</u>

AASHTO Classification:	<u>A-2-6 (1)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>	NAT MT =	<u>39.73</u>
D 30 (mm):	<u>0.030</u>	LIQ =	<u>1.29576</u>
D 50 (mm):	<u>1.580</u>		
D 60 (mm):	<u>5.534</u>		
D 90 (mm):	<u>21.359</u>		
D 95 (mm):	<u>23.108</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u></u>
Notes:	<u></u>	Cc =	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:

121GR14D056-HSIP

Drilling Firm: Kentucky Transportation Cabinet  
For: Division of Structural Design  
Geotechnical Branch

## DRILLER'S SUBSURFACE LOG

[illegible]



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	39+58 8.0' Lt.	Hole #:	11		
Lab ID#:	SPT1	Depth (ft):	2-3.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	83.2
3/4"	79.1	3/8"	72.5	No. 4	63.4
No. 10	56.1	No. 40	45.7	No. 200	38.0
0.002 mm	10.8				

Gravel (-3" + No. 10)	43.9	Coarse Sand (-No. 10 + No. 40)	10.4
Fine Sand (-No. 40 +No. 200)	7.7	Silts (-No. 200 + 0.002mm)	27.2
Clay (-0.002mm)	10.8	Colloids (-0.001mm)	7.2

Liquid Limit:	34	Plastic Limit:	19	Plasticity Index:	15
		Activity:	1.39	Spec. Gravity:	2.740

AASHTO Classification:	A-6 (2)
Unified Classification:	GC

D 10 (mm):	0.002
D 30 (mm):	0.026
D 50 (mm):	0.803
D 60 (mm):	3.161
D 90 (mm):	33.110
D 95 (mm):	40.688

NAT MT =	13.48
LIQ =	-0.36832

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	1837.46330
Cc =	0.12248

Remarks:

Copies:

Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Printed: 9/5/14

Geotechnical Branch

**Soil Classification and Gradation Test Results**

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Project ID: **R-048-2014****Carroll - I-71 MP 38.8-50.8**Project Type: **Roadway**Item Number: **06-9008.**Project Manager: **Jason Wright**Location: **39+58 8.0' Lt.**Hole #: **11**Lab ID#: **SPT2**Depth (ft): **7-8.5**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	83.2
3/4"	79.1	3/8"	72.5	No. 4	63.4
No. 10	56.1	No. 40	45.7	No. 200	38.0
0.002 mm	10.8				

Gravel (-3" + No. 10)	43.9	Coarse Sand (-No. 10 + No. 40)	10.4
Fine Sand (-No. 40 + No. 200)	7.7	Silts (-No. 200 + 0.002mm)	27.2
Clay (-0.002mm)	10.8	Colloids (-0.001mm)	7.2

Liquid Limit: **34** Plastic Limit: **19**  
Activity: **1.39**

Plasticity Index: **15**  
Spec. Gravity: **2.740**

AASHTO Classification: **A-6 (2)**  
Unified Classification: **GC**

D 10 (mm):	0.002
D 30 (mm):	0.026
D 50 (mm):	0.803
D 60 (mm):	3.161
D 90 (mm):	33.110
D 95 (mm):	40.688

NAT MT = **13.48**  
LIQ = **-0.36832**

Cu = **1837.46330**Sieve Type: **With Gravel**Cc = **0.12248**Notes: Silts + Clays + Colloids: **N/A****Remarks:****Copies:**

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	39+58 8.0' Lt.	Hole #:	11
Lab ID#:	SPT3	Depth (ft):	12-13.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	95.4
3/4"	87.2	3/8"	70.4	No. 4	59.1
No. 10	47.2	No. 40	36.3	No. 200	31.0
0.002 mm	10.1				

Gravel (-3" + No. 10)	52.8	Coarse Sand (-No. 10 + No. 40)	10.9
Fine Sand (-No. 40 +No. 200)	5.2	Silts (-No. 200 + 0.002mm)	20.9
Clay (-0.002mm)	10.1	Colloids (-0.001mm)	7.0

Liquid Limit:	32	Plastic Limit:	18	Plasticity Index:	14
		Activity:	1.39	Spec. Gravity:	2.697

AASHTO Classification:	A-2-6 (1)
Unified Classification:	GC

D 10 (mm):	0.002
D 30 (mm):	0.063
D 50 (mm):	2.455
D 60 (mm):	5.018
D 90 (mm):	20.858
D 95 (mm):	24.687

NAT MT =	18.84
LIQ =	0.06004

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	2556.38252
Cc =	0.40036

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>39+58 8.0' Lt.</u>	Hole #:	<u>11</u>
Lab ID#:	<u>SPT4</u>	Depth (ft):	<u>15-16.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	95.4
3/4"	87.2	3/8"	70.4	No. 4	59.1
No. 10	47.2	No. 40	36.3	No. 200	31.0
0.002 mm	10.2				

Gravel (-3" + No. 10)	52.8	Coarse Sand (-No. 10 + No. 40)	10.9
Fine Sand (-No. 40 +No. 200)	5.2	Silts (-No. 200 + 0.002mm)	20.8
Clay (-0.002mm)	10.2	Colloids (-0.001mm)	7.0

Liquid Limit:	<u>32</u>	Plastic Limit:	<u>18</u>	Plasticity Index:	<u>14</u>
		Activity:	<u>1.37</u>	Spec. Gravity:	<u>2.486</u>

AASHTO Classification:	<u>A-2-6 (1)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.002</u>
D 30 (mm):	<u>0.063</u>
D 50 (mm):	<u>2.455</u>
D 60 (mm):	<u>5.018</u>
D 90 (mm):	<u>20.858</u>
D 95 (mm):	<u>24.687</u>

NAT MT =	<u>18.84</u>
LIQ =	<u>0.06004</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u>2617.89553</u>
Cc =	<u>0.40919</u>

Remarks:

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Drilling Firm: Kentucky Transportation Cabinet  
For: Division of Structural Design  
Geotechnical Branch

## DRILLER'S SUBSURFACE LOG

Printed: 9/2/14

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Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9008</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>12</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>  </u>		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>		Rig Number <u>45C3</u>			
Total Depth <u>5.7'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>					
Location <u>39+83.00 8.0' Lt.</u>				Longitude(83) <u>  </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	3.5	Medium soft, brown, moist, clay with boulders.		SPT1	2.0-3.5	1.0	7-5-4	SPT	
		(Bottom of Hole 5.7') (Refusal @ 5.7)							

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>39+83 8.0' Lt.</u>	Hole #:	<u>12</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	94.2
3/4"	87.5	3/8"	81.0	No. 4	74.3
No. 10	66.1	No. 40	56.3	No. 200	47.5
0.002 mm	31.7				

Gravel (-3" + No. 10)	33.9	Coarse Sand (-No. 10 + No. 40)	9.8
Fine Sand (-No. 40 +No. 200)	8.8	Silts (-No. 200 + 0.002mm)	15.7
Clay (-0.002mm)	31.7	Colloids (-0.001mm)	26.4

Liquid Limit:	<u>37</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.57</u>	Spec. Gravity:	<u>2.668</u>

AASHTO Classification:	<u>A-6 (5)</u>
Unified Classification:	<u>SC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.123</u>
D 60 (mm):	<u>0.761</u>
D 90 (mm):	<u>21.059</u>
D 95 (mm):	<u>27.500</u>

NAT MT =	<u>17.08</u>
LIQ =	<u>-0.10648</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

## DRILLER'S SUBSURFACE LOG

Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9008</u>		Project Manager: <u>Jason Wright</u>							
Hole Number <u>13</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>					
Surface Elevation <u>'</u>		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>					
Total Depth <u>5.2'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u></u>					
Location <u>39+84.00 8.0' Lt.</u>				Longitude(83) <u></u>					
Lithology		Overburden		Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth	Rock Core		Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	3.5	Medium stiff, brown, moist, clay with boulders.		SPT1	2.0-3.5	0.7	5-11-5	SPT	
		(Bottom of Hole 5.2') (Refusal @ 5.2)							

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>39+84 8.0' Lt.</u>	Hole #:	<u>13</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	92.8
3/4"	75.0	3/8"	62.7	No. 4	57.5
No. 10	52.0	No. 40	43.8	No. 200	39.8
0.002 mm	8.7				

Gravel (-3" + No. 10)	48.0	Coarse Sand (-No. 10 + No. 40)	8.3
Fine Sand (-No. 40 +No. 200)	3.9	Silts (-No. 200 + 0.002mm)	31.1
Clay (-0.002mm)	8.7	Colloids (-0.001mm)	6.5

Liquid Limit:	<u>37</u>	Plastic Limit:	<u>20</u>	Plasticity Index:	<u>17</u>
		Activity:	<u>1.95</u>	Spec. Gravity:	<u>2.641</u>

AASHTO Classification:	<u>A-6 (3)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.002</u>
D 30 (mm):	<u>0.024</u>
D 50 (mm):	<u>1.367</u>
D 60 (mm):	<u>6.649</u>
D 90 (mm):	<u>23.937</u>
D 95 (mm):	<u>30.857</u>

NAT MT =	<u>17.65</u>
LIQ =	<u>-0.13841</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u>2865.07445</u>
Cc =	<u>0.03680</u>

Remarks:

Copies:



Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9008.</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>14</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>  </u> '		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>8.5'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>					
Location <u>42+23.00 8.0' Lt.</u>				Longitude(83) <u>  </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	2.0	Gravel.							
5		Medium stiff, gray, moist, rock fragments.	SPT1	2.0-3.5	1.5	15-50-50/0.50	SPT		5
	8.5		SPT2	7.0-8.5	1.5	15-48-50/0.50	SPT		
10		(Bottom of Hole 8.5') (Refusal @ 8.5)							10
15									15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>42+23 8.0' Lt.</u>	Hole #:	<u>14</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>100.0</u>	3/8"	<u>100.0</u>	No. 4	<u>100.0</u>
No. 10	<u>100.0</u>	No. 40	<u>98.3</u>	No. 200	<u>95.9</u>
0.002 mm	<u>34.8</u>				

Gravel (-3" + No. 10)	<u>0.0</u>	Coarse Sand (-No. 10 + No. 40)	<u>1.7</u>
Fine Sand (-No. 40 +No. 200)	<u>2.4</u>	Silts (-No. 200 + 0.002mm)	<u>61.1</u>
Clay (-0.002mm)	<u>34.8</u>	Colloids (-0.001mm)	<u>22.7</u>

Liquid Limit:	<u>43</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>21</u>
		Activity:	<u>0.60</u>	Spec. Gravity:	<u>2.741</u>

AASHTO Classification:	<u>A-7-6 (22)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.005</u>
D 60 (mm):	<u>0.009</u>
D 90 (mm):	<u>0.053</u>
D 95 (mm):	<u>0.071</u>

NAT MT =	<u>8.33</u>
LIQ =	<u>-0.65079</u>

Sieve Type:	<u>No Gravel</u>
Notes:	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u></u>
Cc =	<u></u>

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>42+23 8.0' Lt.</u>	Hole #:	<u>14</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	98.3	No. 200	95.9
0.002 mm	34.8				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	1.7
Fine Sand (-No. 40 +No. 200)	2.4	Silts (-No. 200 + 0.002mm)	61.1
Clay (-0.002mm)	34.8	Colloids (-0.001mm)	22.7

Liquid Limit:	<u>43</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>21</u>
		Activity:	<u>0.60</u>	Spec. Gravity:	<u>2.741</u>

AASHTO Classification:	<u>A-7-6 (22)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.005</u>
D 60 (mm):	<u>0.009</u>
D 90 (mm):	<u>0.053</u>
D 95 (mm):	<u>0.071</u>

NAT MT =	<u>8.33</u>
LIQ =	<u>-0.65079</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

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# DRILLER'S SUBSURFACE LOG

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Project ID: <u>R-048-2014</u> Item Number: <u>06-9008.</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u> Project Manager: <u>Jason Wright</u>					
Hole Number <u>15</u> Surface Elevation <u>  </u> Total Depth <u>3.5'</u> Location <u>42+24.00 8.0' Lt.</u>		Immediate Water Depth <u>NA</u> Static Water Depth <u>NA</u> Driller <u>L. Wethington</u>		Start Date <u>08/13/2014</u> End Date <u>08/13/2014</u> Latitude(83) <u>  </u> Longitude(83) <u>  </u>		Hole Type <u>sample</u> Rig_Number <u>45C3</u>			
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	3.5	Gravelly rock fragments.		SPT1	2.0-3.5	1.5	10-19-50/0.50	SPT	
5		(Bottom of Hole 3.5') (Refusal @ 3.5)							5
10									10
15									15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>42+24 8.0' Lt.</u>	Hole #:	<u>15</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	94.6	3/8"	93.3	No. 4	91.9
No. 10	89.9	No. 40	87.0	No. 200	84.5
0.002 mm	31.8				

Gravel (-3" + No. 10)	10.1	Coarse Sand (-No. 10 + No. 40)	2.9
Fine Sand (-No. 40 +No. 200)	2.5	Silts (-No. 200 + 0.002mm)	52.7
Clay (-0.002mm)	31.8	Colloids (-0.001mm)	21.1

Liquid Limit:	<u>41</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>19</u>
		Activity:	<u>0.60</u>	Spec. Gravity:	<u>2.605</u>

AASHTO Classification:	<u>A-7-6 (17)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.007</u>
D 60 (mm):	<u>0.014</u>
D 90 (mm):	<u>2.063</u>
D 95 (mm):	<u>19.386</u>

NAT MT =	<u>7.83</u>
LIQ =	<u>-0.74583</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

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Drilling Firm: Kentucky Transportation Cabinet  
For: Division of Structural Design  
Geotechnical Branch

## DRILLER'S SUBSURFACE LOG

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Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9008.</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>16</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>					
Surface Elevation <u>'</u>		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>					
Total Depth <u>16.5'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>					
Location <u>43+89.00 8.0' Lt.</u>				Longitude(83) <u>  </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
		Medium soft, brown and gray, moist, gravelly clay.							
			SPT1	2.0-3.5	1.2	3-6-7	SPT		
			SPT2	7.0-8.5	1.5	4-5-6	SPT		
			SPT3	12.0-13.5	1.2	6-6-7	SPT		
	16.5		SPT4	15.0-16.5	1.1	3-5-7	SPT		
		(Bottom of Hole 16.5') (No Refusal)							

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Geotechnical Branch

**Soil Classification and Gradation Test Results**

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Project ID: **R-048-2014****Carroll - I-71 MP 38.8-50.8**Project Type: **Roadway**Item Number: **06-9008**Project Manager: **Jason Wright**Location: **43+89 8.0' Lt.**Hole #: **16**Lab ID#: **SPT1**Depth (ft): **2-3.5**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	98.9	No. 4	96.3
No. 10	94.8	No. 40	93.4	No. 200	90.1
0.002 mm	35.3				

Gravel (-3" + No. 10)	5.2
Fine Sand (-No. 40 +No. 200)	3.3
Clay (-0.002mm)	35.3

Coarse Sand (-No. 10 + No. 40)	1.4
Silts (-No. 200 + 0.002mm)	54.8
Colloids (-0.001mm)	27.8

Liquid Limit: **38** Plastic Limit: **20**  
Activity: **0.51**

Plasticity Index: **18**  
Spec. Gravity: **2.753**

AASHTO Classification: **A-6 (16)**  
Unified Classification: **CL**

D 10 (mm):	0.000
D 30 (mm):	0.001
D 50 (mm):	0.005
D 60 (mm):	0.010
D 90 (mm):	0.075
D 95 (mm):	2.252

NAT MT = **17.33**  
LIQ = **-0.14860**

Sieve Type: **With Gravel**Notes: Silts + Clays + Colloids: **N/A**Cu = Cc = **Remarks:****Copies:**

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008</u>		Project Manager: <u>Jason Wright</u>

Location:	43+89 8.0' Lt.	Hole #:	16		
Lab ID#:	SPT2	Depth (ft):	7-8.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	98.9	No. 4	96.3
No. 10	94.8	No. 40	93.4	No. 200	90.1
0.002 mm	35.3				

Gravel (-3" + No. 10)	5.2	Coarse Sand (-No. 10 + No. 40)	1.4
Fine Sand (-No. 40 +No. 200)	3.3	Silts (-No. 200 + 0.002mm)	54.8
Clay (-0.002mm)	35.3	Colloids (-0.001mm)	27.8

Liquid Limit:	38	Plastic Limit:	20	Plasticity Index:	18
		Activity:	0.51	Spec. Gravity:	2.753

AASHTO Classification:	A-6 (16)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.001
D 50 (mm):	0.005
D 60 (mm):	0.010
D 90 (mm):	0.075
D 95 (mm):	2.252

NAT MT =	17.33
LIQ =	-0.14860

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

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Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	43+89 8.0' Lt.	Hole #:	16
Lab ID#:	SPT3	Depth (ft):	12-13.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	98.1	No. 4	95.8
No. 10	94.1	No. 40	92.5	No. 200	87.9
0.002 mm	30.9				

Gravel (-3" + No. 10)	5.9	Coarse Sand (-No. 10 + No. 40)	1.5
Fine Sand (-No. 40 +No. 200)	4.6	Silts (-No. 200 + 0.002mm)	57.1
Clay (-0.002mm)	30.9	Colloids (-0.001mm)	23.0

Liquid Limit:	36	Plastic Limit:	20	Plasticity Index:	16
		Activity:	0.52	Spec. Gravity:	2.698

AASHTO Classification:	A-6 (14)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.002
D 50 (mm):	0.007
D 60 (mm):	0.013
D 90 (mm):	0.163
D 95 (mm):	3.155

NAT MT =	19.18
LIQ =	-0.05137

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

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Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	43+89 8.0' Lt.	Hole #:	16		
Lab ID#:	SPT4	Depth (ft):	15-16.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	98.1	No. 4	95.8
No. 10	94.3	No. 40	92.8	No. 200	88.2
0.002 mm	30.9				

Gravel (-3" + No. 10)	5.7	Coarse Sand (-No. 10 + No. 40)	1.5
Fine Sand (-No. 40 +No. 200)	4.6	Silts (-No. 200 + 0.002mm)	57.2
Clay (-0.002mm)	30.9	Colloids (-0.001mm)	23.0

Liquid Limit:	36	Plastic Limit:	20	Plasticity Index:	16
		Activity:	0.52	Spec. Gravity:	2.698

AASHTO Classification:	A-6 (14)
Unified Classification:	CL

D 10 (mm):	0.000	NAT MT =	19.18
D 30 (mm):	0.002	LIQ =	-0.05137
D 50 (mm):	0.007		
D 60 (mm):	0.013		
D 90 (mm):	0.150		
D 95 (mm):	2.963		

Sieve Type:	With Gravel	Cu =	
Notes:		Cc =	
Silts + Clays + Colloids:	N/A		

Remarks:

Copies:

Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>						
Item Number: <u>06-9008.</u>				Project Manager: <u>Jason Wright</u>						
Hole Number <u>17</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>		Hole Type <u>sample</u>				
Surface Elevation <u>'</u>		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>		Rig_Number <u>45C3</u>				
Total Depth <u>16.5'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u></u>						
Location <u>44+14.00 8.0' Lt.</u>				Longitude(83) <u></u>						
Lithology		Overburden		Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks	
Elevation	Depth	Description		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)		SDI (JS)
5		Medium stiff, red and brown, moist, clay.							5	
				SPT1	2.0-3.5	1.5	5-9-10	SPT		
10										
				SPT2	7.0-8.5	1.5	5-6-9	SPT		
15										
				SPT3	12.0-13.5	1.5	4-4-8	SPT		
	16.5									
				SPT4	15.0-16.5	1.5	3-4-6	SPT	15	
20		(Bottom of Hole 16.5') (No Refusal)							20	
25									25	
30									30	
35									35	
40									40	
45									45	
50									50	

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+14 8.0' Lt.</u>	Hole #:	<u>17</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.3	No. 200	88.4
0.002 mm	33.2				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.7
Fine Sand (-No. 40 +No. 200)	10.9	Silts (-No. 200 + 0.002mm)	55.2
Clay (-0.002mm)	33.2	Colloids (-0.001mm)	24.7

Liquid Limit:	<u>39</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>17</u>
		Activity:	<u>0.51</u>	Spec. Gravity:	<u>2.658</u>

AASHTO Classification:	<u>A-6 (15)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.006</u>
D 60 (mm):	<u>0.012</u>
D 90 (mm):	<u>0.096</u>
D 95 (mm):	<u>0.214</u>

NAT MT =	<u>13.97</u>
LIQ =	<u>-0.47213</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+14 8.0' Lt.</u>	Hole #:	<u>17</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.3	No. 200	88.4
0.002 mm	33.2				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.7
Fine Sand (-No. 40 +No. 200)	10.9	Silts (-No. 200 + 0.002mm)	55.2
Clay (-0.002mm)	33.2	Colloids (-0.001mm)	24.7

Liquid Limit:	<u>39</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>17</u>
		Activity:	<u>0.51</u>	Spec. Gravity:	<u>2.658</u>

AASHTO Classification:	<u>A-6 (15)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.006</u>
D 60 (mm):	<u>0.012</u>
D 90 (mm):	<u>0.096</u>
D 95 (mm):	<u>0.214</u>

NAT MT =	<u>13.97</u>
LIQ =	<u>-0.47213</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+14 8.0' Lt.</u>	Hole #:	<u>17</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.0	No. 200	87.8
0.002 mm	36.7				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	1.0
Fine Sand (-No. 40 +No. 200)	11.2	Silts (-No. 200 + 0.002mm)	51.0
Clay (-0.002mm)	36.7	Colloids (-0.001mm)	27.4

Liquid Limit:	<u>36</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.41</u>	Spec. Gravity:	<u>2.658</u>

AASHTO Classification:	<u>A-6 (13)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.001</u>
D 50 (mm):	<u>0.005</u>
D 60 (mm):	<u>0.010</u>
D 90 (mm):	<u>0.106</u>
D 95 (mm):	<u>0.230</u>

NAT MT =	<u>17.07</u>
LIQ =	<u>-0.26179</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+14 8.0' Lt.</u>	Hole #:	<u>17</u>
Lab ID#:	<u>SPT4</u>	Depth (ft):	<u>15-16.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.0	No. 200	87.8
0.002 mm	36.7				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	1.0
Fine Sand (-No. 40 +No. 200)	11.2	Silts (-No. 200 + 0.002mm)	51.0
Clay (-0.002mm)	36.7	Colloids (-0.001mm)	27.4

Liquid Limit:	<u>36</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.41</u>	Spec. Gravity:	<u>2.658</u>

AASHTO Classification:	<u>A-6 (13)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.001</u>
D 50 (mm):	<u>0.005</u>
D 60 (mm):	<u>0.010</u>
D 90 (mm):	<u>0.106</u>
D 95 (mm):	<u>0.230</u>

NAT MT =	<u>17.07</u>
LIQ =	<u>-0.26179</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:





Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	44+27 8.0' Lt.	Hole #:	18
Lab ID#:	SPT1	Depth (ft):	2-3.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.4	No. 200	90.1
0.002 mm	30.4				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.6
Fine Sand (-No. 40 +No. 200)	9.3	Silts (-No. 200 + 0.002mm)	59.7
Clay (-0.002mm)	30.4	Colloids (-0.001mm)	23.8

Liquid Limit:	35	Plastic Limit:	23	Plasticity Index:	12
		Activity:	0.39	Spec. Gravity:	2.567

AASHTO Classification:	A-6 (11)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.002
D 50 (mm):	0.007
D 60 (mm):	0.012
D 90 (mm):	0.075
D 95 (mm):	0.186

NAT MT =	22.93
LIQ =	-0.00610

Sieve Type:	No Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+27 8.0' Lt.</u>	Hole #:	<u>18</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.4	No. 200	90.1
0.002 mm	30.4				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.6
Fine Sand (-No. 40 +No. 200)	9.3	Silts (-No. 200 + 0.002mm)	59.7
Clay (-0.002mm)	30.4	Colloids (-0.001mm)	23.8

Liquid Limit:	<u>38</u>	Plastic Limit:	<u>23</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.49</u>	Spec. Gravity:	<u>2.567</u>

AASHTO Classification:	<u>A-6 (14)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.007</u>
D 60 (mm):	<u>0.012</u>
D 90 (mm):	<u>0.075</u>
D 95 (mm):	<u>0.186</u>

NAT MT =	<u>22.93</u>
LIQ =	<u>-0.00488</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+27 8.0' Lt.</u>	Hole #:	<u>18</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.0	No. 200	87.8
0.002 mm	27.8				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	1.0
Fine Sand (-No. 40 +No. 200)	11.2	Silts (-No. 200 + 0.002mm)	60.0
Clay (-0.002mm)	27.8	Colloids (-0.001mm)	21.3

Liquid Limit:	<u>36</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.54</u>	Spec. Gravity:	<u>2.670</u>

AASHTO Classification:	<u>A-6 (13)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.008</u>
D 60 (mm):	<u>0.014</u>
D 90 (mm):	<u>0.106</u>
D 95 (mm):	<u>0.230</u>

NAT MT =	<u>24.52</u>
LIQ =	<u>0.23462</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+27 8.0' Lt.</u>	Hole #:	<u>18</u>
Lab ID#:	<u>SPT4</u>	Depth (ft):	<u>15-16.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.0	No. 200	87.8
0.002 mm	27.8				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	1.0
Fine Sand (-No. 40 +No. 200)	11.2	Silts (-No. 200 + 0.002mm)	60.0
Clay (-0.002mm)	27.8	Colloids (-0.001mm)	21.3

Liquid Limit:	<u>36</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.54</u>	Spec. Gravity:	<u>2.670</u>

AASHTO Classification:	<u>A-6 (13)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.008</u>
D 60 (mm):	<u>0.014</u>
D 90 (mm):	<u>0.106</u>
D 95 (mm):	<u>0.230</u>

NAT MT =	<u>24.52</u>
LIQ =	<u>0.23462</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Project ID: <u>R-048-2014</u> Item Number: <u>06-9008.</u>		<u>Carroll - I-71 MP 38.8-50.8</u>			Project Type: <u>Roadway</u> Project Manager: <u>Jason Wright</u>					
Hole Number <u>19</u> Surface Elevation <u>  </u> Total Depth <u>16.5'</u> Location <u>44+39.00 8.0' Lt.</u>		Immediate Water Depth <u>NA</u> Static Water Depth <u>NA</u> Driller <u>L. Wethington</u>		Start Date <u>08/13/2014</u> End Date <u>08/13/2014</u> Latitude(83) <u>  </u> Longitude(83) <u>  </u>		Hole Type <u>sample</u> Rig_Number <u>45C3</u>				
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks	
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)		
<div><div>5</div><div>10</div><div>15</div></div>	16.5	Stiff, gray, moist, clay with boulders.		SPT1	2.0-3.5	1.5	3-10-8	SPT	<div><div>5</div><div>10</div><div>15</div></div>	
				SPT2	7.0-8.5	1.0	9-10-12	SPT		
				SPT3	12.0-13.5	1.2	10-7-11	SPT		
				SPT4	15.0-16.5	1.5	3-4-8	SPT		
<div><div>20</div><div>25</div><div>30</div><div>35</div><div>40</div><div>45</div><div>50</div></div>		(Bottom of Hole 16.5') (No Refusal)							<div><div>20</div><div>25</div><div>30</div><div>35</div><div>40</div><div>45</div><div>50</div></div>	

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>44+39 8.0' Lt.</u>	Hole #:	<u>19</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.4	No. 200	90.1
0.002 mm	30.4				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.6
Fine Sand (-No. 40 +No. 200)	9.3	Silts (-No. 200 + 0.002mm)	59.7
Clay (-0.002mm)	30.4	Colloids (-0.001mm)	23.8

Liquid Limit:	<u>38</u>	Plastic Limit:	<u>23</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.49</u>	Spec. Gravity:	<u>2.567</u>

AASHTO Classification:	<u>A-6 (14)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.002</u>
D 50 (mm):	<u>0.007</u>
D 60 (mm):	<u>0.012</u>
D 90 (mm):	<u>0.075</u>
D 95 (mm):	<u>0.186</u>

NAT MT =	<u>15.85</u>
LIQ =	<u>-0.47687</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	44+39 8.0' Lt.	Hole #:	19
Lab ID#:	SPT2	Depth (ft):	7-8.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.4	No. 200	90.1
0.002 mm	30.4				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.6
Fine Sand (-No. 40 +No. 200)	9.3	Silts (-No. 200 + 0.002mm)	59.7
Clay (-0.002mm)	30.4	Colloids (-0.001mm)	23.8

Liquid Limit:	38	Plastic Limit:	23	Plasticity Index:	15
		Activity:	0.49	Spec. Gravity:	2.567

AASHTO Classification:	A-6 (14)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.002
D 50 (mm):	0.007
D 60 (mm):	0.012
D 90 (mm):	0.075
D 95 (mm):	0.186

NAT MT =	15.85
LIQ =	-0.47687

Sieve Type:	No Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	44+39 8.0' Lt.	Hole #:	19		
Lab ID#:	SPT3	Depth (ft):	12-13.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.9	No. 200	90.0
0.002 mm	27.8				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.1
Fine Sand (-No. 40 +No. 200)	9.9	Silts (-No. 200 + 0.002mm)	62.2
Clay (-0.002mm)	27.8	Colloids (-0.001mm)	21.2

Liquid Limit:	37	Plastic Limit:	23	Plasticity Index:	14
		Activity:	0.50	Spec. Gravity:	2.670

AASHTO Classification:	A-6 (13)
Unified Classification:	CL

D 10 (mm):	0.000	NAT MT =	17.30
D 30 (mm):	0.002	LIQ =	-0.40734
D 50 (mm):	0.007		
D 60 (mm):	0.013		
D 90 (mm):	0.076		
D 95 (mm):	0.181		

Sieve Type:	No Gravel	Cu =	
Notes:		Cc =	
Silts + Clays + Colloids:	N/A		

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	44+39 8.0' Lt.	Hole #:	19
Lab ID#:	SPT4	Depth (ft):	15-16.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	100.0	3/8"	100.0	No. 4	100.0
No. 10	100.0	No. 40	99.9	No. 200	89.9
0.002 mm	27.8				

Gravel (-3" + No. 10)	0.0	Coarse Sand (-No. 10 + No. 40)	0.1
Fine Sand (-No. 40 +No. 200)	9.9	Silts (-No. 200 + 0.002mm)	62.1
Clay (-0.002mm)	27.8	Colloids (-0.001mm)	21.3

Liquid Limit:	37	Plastic Limit:	23	Plasticity Index:	14
		Activity:	0.50	Spec. Gravity:	2.670

AASHTO Classification:	A-6 (13)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.002
D 50 (mm):	0.007
D 60 (mm):	0.013
D 90 (mm):	0.076
D 95 (mm):	0.182

NAT MT =	17.30
LIQ =	-0.40734

Sieve Type:	No Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

## DRILLER'S SUBSURFACE LOG

Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>				
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>						
Hole Number <u>20</u>		Immediate Water Depth <u>NA</u>	Start Date <u>08/13/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>  </u>		Static Water Depth <u>NA</u>	End Date <u>08/13/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>16.5'</u>		Driller <u>L. Wethington</u>	Latitude(83) <u>  </u>					
Location <u>45+02.00 8.0' Lt.</u>			Longitude(83) <u>  </u>					
Lithology		Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth	Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
		Stiff, gray, moist, clay with boulders.						
			SPT1	2.0-3.5	1.5	11-12-14	SPT	
			SPT2	7.0-8.5	1.2	7-12-16	SPT	
			SPT3	12.0-13.5	1.0	29-50-50/0.50	SPT	
	16.5		SPT4	15.0-16.5	1.5	3-4-9	SPT	
		(Bottom of Hole 16.5') (No Refusal)						

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>45+02 8.0' Lt.</u>	Hole #:	<u>20</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	83.7
3/4"	72.8	3/8"	52.5	No. 4	39.3
No. 10	24.0	No. 40	20.0	No. 200	18.3
0.002 mm	6.0				

Gravel (-3" + No. 10)	76.0	Coarse Sand (-No. 10 + No. 40)	4.0
Fine Sand (-No. 40 +No. 200)	1.7	Silts (-No. 200 + 0.002mm)	12.2
Clay (-0.002mm)	6.0	Colloids (-0.001mm)	4.4

Liquid Limit:	<u>33</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>14</u>
		Activity:	<u>2.32</u>	Spec. Gravity:	<u>2.689</u>

AASHTO Classification:	<u>A-2-6 (0)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.006</u>
D 30 (mm):	<u>2.808</u>
D 50 (mm):	<u>8.321</u>
D 60 (mm):	<u>12.272</u>
D 90 (mm):	<u>32.665</u>
D 95 (mm):	<u>40.413</u>

NAT MT =	<u>14.29</u>
LIQ =	<u>-0.33673</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u>1893.90587</u>
Cc =	<u>99.13564</u>

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <b>R-048-2014</b>	<b>Carroll - I-71 MP 38.8-50.8</b>	Project Type: <b>Roadway</b>
Item Number: <b>06-9008.</b>		Project Manager: <b>Jason Wright</b>

Location:	45+02 8.0' Lt.	Hole #:	20
Lab ID#:	SPT2	Depth (ft):	7-8.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	83.7
3/4"	72.8	3/8"	52.5	No. 4	39.3
No. 10	24.0	No. 40	20.0	No. 200	18.3
0.002 mm	6.0				

Gravel (-3" + No. 10)	76.0	Coarse Sand (-No. 10 + No. 40)	4.0
Fine Sand (-No. 40 +No. 200)	1.7	Silts (-No. 200 + 0.002mm)	12.2
Clay (-0.002mm)	6.0	Colloids (-0.001mm)	4.4

Liquid Limit:	33	Plastic Limit:	19	Plasticity Index:	14
		Activity:	2.32	Spec. Gravity:	2.689

AASHTO Classification:	A-2-6 (0)
Unified Classification:	GC

D 10 (mm):	0.006
D 30 (mm):	2.808
D 50 (mm):	8.321
D 60 (mm):	12.272
D 90 (mm):	32.665
D 95 (mm):	40.413

NAT MT =	14.29
LIQ =	-0.33673

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	1893.90587
Cc =	99.13564

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008</u>		Project Manager: <u>Jason Wright</u>

Location: <u>45+02 8.0' Lt.</u>	Hole #: <u>20</u>
Lab ID#: <u>SPT3</u>	Depth (ft): <u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"		1"	
3/4"		3/8"		No. 4	
No. 10		No. 40		No. 200	
0.002 mm					

Gravel (-3" + No. 10)		Coarse Sand (-No. 10 + No. 40)	
Fine Sand (-No. 40 +No. 200)		Silts (-No. 200 + 0.002mm)	
Clay (-0.002mm)		Colloids (-0.001mm)	

Liquid Limit: <input type="text"/>	Plastic Limit: <input type="text"/>	Plasticity Index: <input type="text"/>
	Activity: <input type="text"/>	Spec. Gravity: <input type="text"/>

AASHTO Classification:

Unified Classification:

D 10 (mm):	<input type="text"/>
D 30 (mm):	<input type="text"/>
D 50 (mm):	<input type="text"/>
D 60 (mm):	<input type="text"/>
D 90 (mm):	<input type="text"/>
D 95 (mm):	<input type="text"/>

NAT MT =

LIQ =

Sieve Type:

Notes:

Silts + Clays + Colloids:

Cu =

Cc =

Remarks:

No Specimen

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>45+02 8.0' Lt.</u>	Hole #:	<u>20</u>
Lab ID#:	<u>SPT4</u>	Depth (ft):	<u>15-16.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u></u>	1"	<u></u>
3/4"	<u></u>	3/8"	<u></u>	No. 4	<u></u>
No. 10	<u></u>	No. 40	<u></u>	No. 200	<u></u>
0.002 mm	<u></u>				

Gravel (-3" + No. 10)	<u></u>	Coarse Sand (-No. 10 + No. 40)	<u></u>
Fine Sand (-No. 40 +No. 200)	<u></u>	Silts (-No. 200 + 0.002mm)	<u></u>
Clay (-0.002mm)	<u></u>	Colloids (-0.001mm)	<u></u>

Liquid Limit: <u></u>	Plastic Limit: <u></u>	Plasticity Index: <u></u>
	Activity: <u></u>	Spec. Gravity: <u></u>

AASHTO Classification:

Unified Classification:

D 10 (mm):	<u></u>
D 30 (mm):	<u></u>
D 50 (mm):	<u></u>
D 60 (mm):	<u></u>
D 90 (mm):	<u></u>
D 95 (mm):	<u></u>

NAT MT =

LIQ =

Sieve Type:

Notes:

Silts + Clays + Colloids:

Cu = ND

Cc = ND

Remarks:

No specimen

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	45+04 8.0' Lt.	Hole #:	21
Lab ID#:	SPT1	Depth (ft):	2-3.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	86.8	3/8"	74.0	No. 4	65.8
No. 10	57.3	No. 40	50.4	No. 200	46.7
0.002 mm	16.0				

Gravel (-3" + No. 10)	42.7	Coarse Sand (-No. 10 + No. 40)	6.8
Fine Sand (-No. 40 +No. 200)	3.7	Silts (-No. 200 + 0.002mm)	30.7
Clay (-0.002mm)	16.0	Colloids (-0.001mm)	13.2

Liquid Limit:	33	Plastic Limit:	18	Plasticity Index:	15
		Activity:	0.94	Spec. Gravity:	2.682

AASHTO Classification:	A-6 (4)
Unified Classification:	GC

D 10 (mm):	0.000
D 30 (mm):	0.010
D 50 (mm):	0.347
D 60 (mm):	2.632
D 90 (mm):	20.310
D 95 (mm):	22.533

NAT MT =	13.72
LIQ =	-0.28544

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>45+04 8.0' Lt.</u>	Hole #:	<u>21</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	86.8	3/8"	74.0	No. 4	65.8
No. 10	57.3	No. 40	50.4	No. 200	46.7
0.002 mm	16.0				

Gravel (-3" + No. 10)	42.7	Coarse Sand (-No. 10 + No. 40)	6.8
Fine Sand (-No. 40 +No. 200)	3.7	Silts (-No. 200 + 0.002mm)	30.7
Clay (-0.002mm)	16.0	Colloids (-0.001mm)	13.2

Liquid Limit:	<u>33</u>	Plastic Limit:	<u>18</u>	Plasticity Index:	<u>15</u>
		Activity:	<u>0.94</u>	Spec. Gravity:	<u>2.682</u>

AASHTO Classification:	<u>A-6 (4)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.010</u>
D 50 (mm):	<u>0.347</u>
D 60 (mm):	<u>2.632</u>
D 90 (mm):	<u>20.310</u>
D 95 (mm):	<u>22.533</u>

NAT MT =	<u>13.72</u>
LIQ =	<u>-0.28544</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>45+04 8.0' Lt.</u>	Hole #:	<u>21</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>80.5</u>
3/4"	<u>73.0</u>	3/8"	<u>60.1</u>	No. 4	<u>52.6</u>
No. 10	<u>44.6</u>	No. 40	<u>37.5</u>	No. 200	<u>33.1</u>
0.002 mm	<u>9.6</u>				

Gravel (-3" + No. 10)	<u>55.4</u>	Coarse Sand (-No. 10 + No. 40)	<u>7.0</u>
Fine Sand (-No. 40 +No. 200)	<u>4.4</u>	Silts (-No. 200 + 0.002mm)	<u>23.5</u>
Clay (-0.002mm)	<u>9.6</u>	Colloids (-0.001mm)	<u>7.5</u>

Liquid Limit:	<u>30</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>13</u>
		Activity:	<u>1.35</u>	Spec. Gravity:	<u>2.696</u>

AASHTO Classification:	<u>A-2-6 (1)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.002</u>
D 30 (mm):	<u>0.046</u>
D 50 (mm):	<u>3.594</u>
D 60 (mm):	<u>9.444</u>
D 90 (mm):	<u>35.056</u>
D 95 (mm):	<u>41.867</u>

NAT MT =	<u>18.97</u>
LIQ =	<u>0.15119</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u>4466.28532</u>
Cc =	<u>0.10777</u>

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>45+04 8.0' Lt.</u>	Hole #:	<u>21</u>		
Lab ID#:	<u>SPT4</u>	Depth (ft):	<u>15-16.5</u>		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>80.5</u>
3/4"	<u>73.0</u>	3/8"	<u>60.1</u>	No. 4	<u>52.6</u>
No. 10	<u>44.6</u>	No. 40	<u>37.5</u>	No. 200	<u>33.1</u>
0.002 mm	<u>0.0</u>				

Gravel (-3" + No. 10)	<u>55.4</u>	Coarse Sand (-No. 10 + No. 40)	<u>7.0</u>
Fine Sand (-No. 40 +No. 200)	<u>4.4</u>	Silts (-No. 200 + 0.002mm)	<u>33.1</u>
Clay (-0.002mm)	<u>0.0</u>	Colloids (-0.001mm)	<u>0.0</u>

Liquid Limit:	<u>30</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>13</u>
		Activity:	<u>0.00</u>	Spec. Gravity:	<u>2.696</u>

AASHTO Classification:	<u>A-2-6 (1)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.006</u>	NAT MT =	<u>18.97</u>
D 30 (mm):	<u>0.053</u>	LIQ =	<u>0.15119</u>
D 50 (mm):	<u>3.594</u>		
D 60 (mm):	<u>9.444</u>		
D 90 (mm):	<u>35.056</u>		
D 95 (mm):	<u>41.867</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u>1580.27719</u>
Notes:		Cc =	<u>0.05044</u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:

Project ID: <u>R-048-2014</u> Item Number: <u>06-9008.</u>		<u>Carroll - I-71 MP 38.8-50.8</u>			Project Type: <u>Roadway</u> Project Manager: <u>Jason Wright</u>				
Hole Number <u>22</u> Surface Elevation <u>'</u> Total Depth <u>9.6'</u> Location <u>46+80.00 8.0' Lt.</u>		Immediate Water Depth <u>NA</u> Static Water Depth <u>NA</u> Driller <u>L. Wethington</u>		Start Date <u>08/13/2014</u> End Date <u>08/13/2014</u> Latitude(83) <u>  </u> Longitude(83) <u>  </u>		Hole Type <u>sample</u> Rig_Number <u>45C3</u>			
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	3.5	Medium soft, gray, moist, gravelly clay.		SPT1	2.0-3.5	0.8	6-6-8	SPT	
	8.5	Stiff, brown, moist, clay with boulders.		SPT2	7.0-8.5	1.2	22-12-19	SPT	
		(Bottom of Hole 9.6') (Refusal @ 9.6)							

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>46+80 8.0' Lt.</u>	Hole #:	<u>22</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	90.4	3/8"	78.4	No. 4	72.3
No. 10	66.6	No. 40	62.5	No. 200	59.9
0.002 mm	23.2				

Gravel (-3" + No. 10)	33.4	Coarse Sand (-No. 10 + No. 40)	4.0
Fine Sand (-No. 40 +No. 200)	2.6	Silts (-No. 200 + 0.002mm)	36.7
Clay (-0.002mm)	23.2	Colloids (-0.001mm)	16.4

Liquid Limit:	<u>39</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.77</u>	Spec. Gravity:	<u>2.776</u>

AASHTO Classification:	<u>A-6 (8)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.004</u>
D 50 (mm):	<u>0.028</u>
D 60 (mm):	<u>0.079</u>
D 90 (mm):	<u>18.611</u>
D 95 (mm):	<u>21.684</u>

NAT MT =	<u>14.77</u>
LIQ =	<u>-0.34596</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>46+80 8.0' Lt.</u>	Hole #:	<u>22</u>		
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>90.4</u>	3/8"	<u>78.4</u>	No. 4	<u>72.3</u>
No. 10	<u>66.6</u>	No. 40	<u>62.5</u>	No. 200	<u>59.9</u>
0.002 mm	<u>23.2</u>				

Gravel (-3" + No. 10)	<u>33.4</u>	Coarse Sand (-No. 10 + No. 40)	<u>4.0</u>
Fine Sand (-No. 40 +No. 200)	<u>2.6</u>	Silts (-No. 200 + 0.002mm)	<u>36.7</u>
Clay (-0.002mm)	<u>23.2</u>	Colloids (-0.001mm)	<u>16.4</u>

Liquid Limit:	<u>39</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>18</u>
		Activity:	<u>0.77</u>	Spec. Gravity:	<u>2.776</u>

AASHTO Classification:	<u>A-6 (8)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.004</u>
D 50 (mm):	<u>0.028</u>
D 60 (mm):	<u>0.079</u>
D 90 (mm):	<u>18.611</u>
D 95 (mm):	<u>21.684</u>

NAT MT =	<u>14.77</u>
LIQ =	<u>-0.34596</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	46+99 8.0' Lt.	Hole #:	23		
Lab ID#:	SPT1	Depth (ft):	2-3.5		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	92.8
3/4"	76.5	3/8"	52.2	No. 4	46.8
No. 10	41.0	No. 40	35.8	No. 200	32.7
0.002 mm	14.5				

Gravel (-3" + No. 10)	59.0	Coarse Sand (-No. 10 + No. 40)	5.1
Fine Sand (-No. 40 +No. 200)	3.1	Silts (-No. 200 + 0.002mm)	18.2
Clay (-0.002mm)	14.5	Colloids (-0.001mm)	11.6

Liquid Limit:	35	Plastic Limit:	18	Plasticity Index:	17
		Activity:	1.17	Spec. Gravity:	2.668

AASHTO Classification:	A-2-6 (1)
Unified Classification:	GC

D 10 (mm):	0.000
D 30 (mm):	0.043
D 50 (mm):	7.160
D 60 (mm):	11.866
D 90 (mm):	23.841
D 95 (mm):	30.827

NAT MT =	20.26
LIQ =	0.13313

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>46+99 8.0' Lt.</u>	Hole #:	<u>23</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	92.8
3/4"	76.5	3/8"	52.2	No. 4	46.8
No. 10	41.0	No. 40	35.8	No. 200	32.7
0.002 mm	14.4				

Gravel (-3" + No. 10)	59.0	Coarse Sand (-No. 10 + No. 40)	5.1
Fine Sand (-No. 40 +No. 200)	3.1	Silts (-No. 200 + 0.002mm)	18.3
Clay (-0.002mm)	14.4	Colloids (-0.001mm)	11.7

Liquid Limit:	<u>35</u>	Plastic Limit:	<u>18</u>	Plasticity Index:	<u>17</u>
		Activity:	<u>1.18</u>	Spec. Gravity:	<u>2.668</u>

AASHTO Classification:	<u>A-2-6 (1)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.044</u>
D 50 (mm):	<u>7.160</u>
D 60 (mm):	<u>11.866</u>
D 90 (mm):	<u>23.841</u>
D 95 (mm):	<u>30.827</u>

NAT MT =	<u>20.26</u>
LIQ =	<u>0.13313</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>46+99 8.0' Lt.</u>	Hole #:	<u>23</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>96.7</u>
3/4"	<u>92.0</u>	3/8"	<u>83.2</u>	No. 4	<u>76.8</u>
No. 10	<u>70.3</u>	No. 40	<u>66.1</u>	No. 200	<u>63.0</u>
0.002 mm	<u>26.4</u>				

Gravel (-3" + No. 10)	<u>29.7</u>	Coarse Sand (-No. 10 + No. 40)	<u>4.2</u>
Fine Sand (-No. 40 +No. 200)	<u>3.1</u>	Silts (-No. 200 + 0.002mm)	<u>36.5</u>
Clay (-0.002mm)	<u>26.4</u>	Colloids (-0.001mm)	<u>19.0</u>

Liquid Limit:	<u>40</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>19</u>
		Activity:	<u>0.72</u>	Spec. Gravity:	<u>2.704</u>

AASHTO Classification:	<u>A-6 (10)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>	NAT MT =	<u>22.53</u>
D 30 (mm):	<u>0.003</u>	LIQ =	<u>0.08039</u>
D 50 (mm):	<u>0.021</u>		
D 60 (mm):	<u>0.056</u>		
D 90 (mm):	<u>16.253</u>		
D 95 (mm):	<u>22.661</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u></u>
Notes:	<u></u>	Cc =	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	46+99 8.0' Lt.	Hole #:	23
Lab ID#:	SPT4	Depth (ft):	15-16.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	96.7
3/4"	92.0	3/8"	83.2	No. 4	76.8
No. 10	70.3	No. 40	66.1	No. 200	63.0
0.002 mm	26.4				

Gravel (-3" + No. 10)	29.7	Coarse Sand (-No. 10 + No. 40)	4.2
Fine Sand (-No. 40 +No. 200)	3.1	Silts (-No. 200 + 0.002mm)	36.5
Clay (-0.002mm)	26.4	Colloids (-0.001mm)	19.0

Liquid Limit:	40	Plastic Limit:	21	Plasticity Index:	19
		Activity:	0.72	Spec. Gravity:	2.704

AASHTO Classification:	A-6 (10)
Unified Classification:	CL

D 10 (mm):	0.000	NAT MT =	22.53
D 30 (mm):	0.003	LIQ =	0.08039
D 50 (mm):	0.021		
D 60 (mm):	0.056		
D 90 (mm):	16.253		
D 95 (mm):	22.661		

Sieve Type:	With Gravel	Cu =	
Notes:		Cc =	
Silts + Clays + Colloids:	N/A		

Remarks:

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# DRILLER'S SUBSURFACE LOG

Page 1 of 1

Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9008.</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>24</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>'</u>		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>10.4'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u></u>					
Location <u>49+25.00 8.0' Lt.</u>				Longitude(83) <u></u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
	3.5	Medium soft, brown, moist, gravelly clay.		SPT1	2.0-3.5	1.2	3-3-5	SPT	
	8.5	Stiff, brown, moist, clay with boulders.		SPT2	7.0-8.5	1.4	17-27-17	SPT	
		(Bottom of Hole 10.4') (Refusal @ 10.4)							

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>49+25 8.0' Lt.</u>	Hole #:	<u>24</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	97.0
3/4"	90.9	3/8"	77.3	No. 4	67.7
No. 10	59.4	No. 40	48.4	No. 200	41.3
0.002 mm	17.0				

Gravel (-3" + No. 10)	40.6	Coarse Sand (-No. 10 + No. 40)	11.0
Fine Sand (-No. 40 +No. 200)	7.2	Silts (-No. 200 + 0.002mm)	24.3
Clay (-0.002mm)	17.0	Colloids (-0.001mm)	13.6

Liquid Limit:	<u>33</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>16</u>
		Activity:	<u>0.94</u>	Spec. Gravity:	<u>2.654</u>

AASHTO Classification:	<u>A-6 (3)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.014</u>
D 50 (mm):	<u>0.529</u>
D 60 (mm):	<u>2.128</u>
D 90 (mm):	<u>18.108</u>
D 95 (mm):	<u>22.823</u>

NAT MT =	<u>19.38</u>
LIQ =	<u>0.14895</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>49+25 8.0' Lt.</u>	Hole #:	<u>24</u>		
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>97.0</u>
3/4"	<u>90.9</u>	3/8"	<u>77.3</u>	No. 4	<u>67.7</u>
No. 10	<u>59.4</u>	No. 40	<u>48.4</u>	No. 200	<u>41.3</u>
0.002 mm	<u>17.0</u>				

Gravel (-3" + No. 10)	<u>40.6</u>	Coarse Sand (-No. 10 + No. 40)	<u>11.0</u>
Fine Sand (-No. 40 +No. 200)	<u>7.2</u>	Silts (-No. 200 + 0.002mm)	<u>24.3</u>
Clay (-0.002mm)	<u>17.0</u>	Colloids (-0.001mm)	<u>13.6</u>

Liquid Limit:	<u>33</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>16</u>
		Activity:	<u>0.94</u>	Spec. Gravity:	<u>2.654</u>

AASHTO Classification:	<u>A-6 (3)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.000</u>	NAT MT =	<u>19.38</u>
D 30 (mm):	<u>0.014</u>	LIQ =	<u>0.14895</u>
D 50 (mm):	<u>0.529</u>		
D 60 (mm):	<u>2.128</u>		
D 90 (mm):	<u>18.108</u>		
D 95 (mm):	<u>22.823</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u></u>
Notes:	<u></u>	Cc =	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:

Drilling Firm: Kentucky Transportation Cabinet  
For: Division of Structural Design  
Geotechnical Branch

## DRILLER'S SUBSURFACE LOG

Printed: 9/2/14

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Project ID: <u><b>R-048-2014</b></u>		<u><b>Carroll - I-71 MP 38.8-50.8</b></u>		Project Type: <u><b>Roadway</b></u>			
Item Number: <u><b>06-9008.</b></u>				Project Manager: <u><b>Jason Wright</b></u>			
Hole Number <u><b>25</b></u>		Immediate Water Depth <u><b>NA</b></u>		Start Date <u><b>08/13/2014</b></u>		Hole Type <u><b>sample</b></u>	
Surface Elevation <u><b>'</b></u>		Static Water Depth <u><b>NA</b></u>		End Date <u><b>08/13/2014</b></u>		Rig_Number <u><b>45C3</b></u>	
Total Depth <u><b>8.8'</b></u>		Driller <u><b>L. Wethington</b></u>		Latitude(83) <u><b></b></u>			
Location <u><b>49+26.00 8.0' Lt.</b></u>				Longitude(83) <u><b></b></u>			
Lithology		Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type
Elevation	Depth	Description	Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	SDI (JS)
	3.5	Stiff, brown, moist, gravelly clay.					
			SPT1	2.0-3.5	1.5	10-21-25	SPT
	7.5	Very stiff, brown, moist, clay with boulders.					
			SPT2	7.0-8.5	0.5	50-50-50/0.50	SPT
		(Bottom of Hole 8.8') (Refusal @ 8.8)					

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>49+26 8.0' Lt.</u>	Hole #:	<u>25</u>		
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>93.8</u>	3/8"	<u>81.0</u>	No. 4	<u>71.8</u>
No. 10	<u>61.1</u>	No. 40	<u>48.1</u>	No. 200	<u>40.8</u>
0.002 mm	<u>15.5</u>				

Gravel (-3" + No. 10)	<u>38.9</u>	Coarse Sand (-No. 10 + No. 40)	<u>13.0</u>
Fine Sand (-No. 40 +No. 200)	<u>7.4</u>	Silts (-No. 200 + 0.002mm)	<u>25.2</u>
Clay (-0.002mm)	<u>15.5</u>	Colloids (-0.001mm)	<u>11.5</u>

Liquid Limit:	<u>30</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>13</u>
		Activity:	<u>0.84</u>	Spec. Gravity:	<u>2.706</u>

AASHTO Classification:	<u>A-6 (2)</u>
Unified Classification:	<u>SC</u>

D 10 (mm):	<u>0.000</u>	NAT MT =	<u>23.53</u>
D 30 (mm):	<u>0.016</u>	LIQ =	<u>0.50226</u>
D 50 (mm):	<u>0.530</u>		
D 60 (mm):	<u>1.746</u>		
D 90 (mm):	<u>15.448</u>		
D 95 (mm):	<u>20.020</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u></u>
Notes:	<u></u>	Cc =	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>49+26 8.0' Lt.</u>	Hole #:	<u>25</u>		
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>		
Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>93.8</u>	3/8"	<u>81.0</u>	No. 4	<u>71.8</u>
No. 10	<u>61.1</u>	No. 40	<u>48.1</u>	No. 200	<u>40.8</u>
0.002 mm	<u>15.5</u>				

Gravel (-3" + No. 10)	<u>38.9</u>	Coarse Sand (-No. 10 + No. 40)	<u>13.0</u>
Fine Sand (-No. 40 +No. 200)	<u>7.4</u>	Silts (-No. 200 + 0.002mm)	<u>25.2</u>
Clay (-0.002mm)	<u>15.5</u>	Colloids (-0.001mm)	<u>11.5</u>

Liquid Limit:	<u>30</u>	Plastic Limit:	<u>17</u>	Plasticity Index:	<u>13</u>
		Activity:	<u>0.84</u>	Spec. Gravity:	<u>2.706</u>

AASHTO Classification:	<u>A-6 (2)</u>
Unified Classification:	<u>SC</u>

D 10 (mm):	<u>0.000</u>	NAT MT =	<u>23.53</u>
D 30 (mm):	<u>0.016</u>	LIQ =	<u>0.50226</u>
D 50 (mm):	<u>0.530</u>		
D 60 (mm):	<u>1.746</u>		
D 90 (mm):	<u>15.448</u>		
D 95 (mm):	<u>20.020</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u></u>
Notes:	<u></u>	Cc =	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:

Project ID: <u>R-048-2014</u>		<u>Carroll - I-71 MP 38.8-50.8</u>		Project Type: <u>Roadway</u>					
Item Number: <u>06-9008.</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>26</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/13/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>  </u> '		Static Water Depth <u>NA</u>		End Date <u>08/13/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>8.9'</u>		Driller <u>L. Wethington</u>		Latitude(83) <u>  </u>					
Location <u>50+73.00 8.0' Lt.</u>				Longitude(83) <u>  </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
5	7.7	Stiff, brown, moist, gravelly clay.		SPT1	2.0-3.5	1.2	8-13-31	SPT	5
			SPT2	7.0-7.7	0.7	29-50/0.20'	SPT		
10	(Bottom of Hole 8.9') (Refusal @ 8.9)								10
15									15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>50+73 8.0' Lt.</u>	Hole #:	<u>26</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	89.7
3/4"	86.2	3/8"	73.9	No. 4	61.6
No. 10	50.4	No. 40	38.1	No. 200	31.4
0.002 mm	11.9				

Gravel (-3" + No. 10)	49.6	Coarse Sand (-No. 10 + No. 40)	12.2
Fine Sand (-No. 40 +No. 200)	6.7	Silts (-No. 200 + 0.002mm)	19.5
Clay (-0.002mm)	11.9	Colloids (-0.001mm)	8.6

Liquid Limit:	<u>28</u>	Plastic Limit:	<u>16</u>	Plasticity Index:	<u>12</u>
		Activity:	<u>1.01</u>	Spec. Gravity:	<u>2.624</u>

AASHTO Classification:	<u>A-2-6 (0)</u>
Unified Classification:	<u>GC</u>

D 10 (mm):	<u>0.001</u>
D 30 (mm):	<u>0.058</u>
D 50 (mm):	<u>1.910</u>
D 60 (mm):	<u>4.190</u>
D 90 (mm):	<u>25.552</u>
D 95 (mm):	<u>35.744</u>

NAT MT =	<u>21.47</u>
LIQ =	<u>0.45603</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u>3123.97971</u>
Cc =	<u>0.59025</u>

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-048-2014</u>	<u>Carroll - I-71 MP 38.8-50.8</u>	Project Type: <u>Roadway</u>
Item Number: <u>06-9008.</u>		Project Manager: <u>Jason Wright</u>

Location:	50+73 8.0' Lt.	Hole #:	26
Lab ID#:	SPT2	Depth (ft):	7-7.7

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	89.7
3/4"	86.2	3/8"	73.9	No. 4	61.6
No. 10	50.4	No. 40	38.1	No. 200	31.4
0.002 mm	11.9				

Gravel (-3" + No. 10)	49.6	Coarse Sand (-No. 10 + No. 40)	12.2
Fine Sand (-No. 40 +No. 200)	6.7	Silts (-No. 200 + 0.002mm)	19.5
Clay (-0.002mm)	11.9	Colloids (-0.001mm)	8.6

Liquid Limit:	28	Plastic Limit:	16	Plasticity Index:	12
		Activity:	1.01	Spec. Gravity:	2.624

AASHTO Classification:	A-2-6 (0)
Unified Classification:	GC

D 10 (mm):	0.001
D 30 (mm):	0.058
D 50 (mm):	1.910
D 60 (mm):	4.190
D 90 (mm):	25.552
D 95 (mm):	35.744

NAT MT =	21.47
LIQ =	0.45603

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

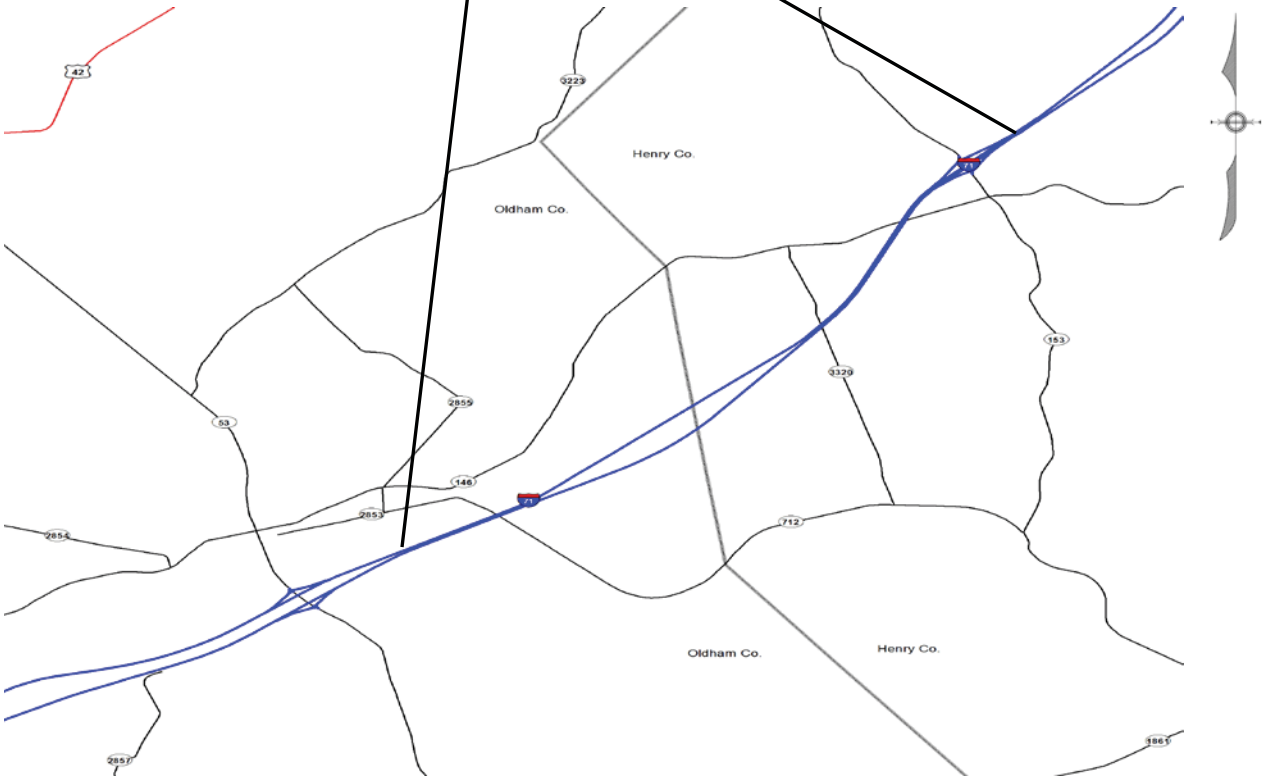
Cu =	3123.97971
Cc =	0.59025

Remarks:

Copies:

**BEGIN HTC MEDIAN BARRIER**  
**CONSTRUCTION I-71 MILEPOINT 22.55**

**END HTC MEDIAN BARRIER**  
**CONSTRUCTION I-71 MILEPOINT 28.0**



PLAN APPROVED BY:

DATE: \_\_\_\_\_

\_\_\_\_\_  
FHWA

RECOMMENDED BY:

DATE: \_\_\_\_\_

\_\_\_\_\_  
PROJECT MANAGER

PLAN APPROVED BY:

DATE: \_\_\_\_\_

\_\_\_\_\_  
STATE HIGHWAY ENGINEER

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6. SPECIAL NOTES FOR INSTALLATION AND MAINTENANCE TRAINING
7. SPECIAL NOTE FOR HIGH TENSION CABLE- ROPE MEDIAN BARRIER
8. TRAFFIC CONTROL PLAN
9. HTC END LOCATIONS
10. GEOTECHNICAL REPORT SHEETS

**PROPOSAL BY**

**KENTUCKY  
TRANSPORTATION  
CABINET**

**DEPARTMENT OF  
HIGHWAYS**

**PROPOSED HTC MEDIAN BARRIER**

**ROUTE: I-71**

**HENRY - OLDHAM COUNTIES**

**ITEM NO: 5-9004.00 & 5-9005.00**

**MILEPOINT: 22.55 TO 28.0**

**LENGTH: 5.45 MILES**

PROJECT DESCRIPTION

Henry - Oldham Counties  
HTC Median Barrier on I-71 from (MP 22.55) to (MP 28.0)

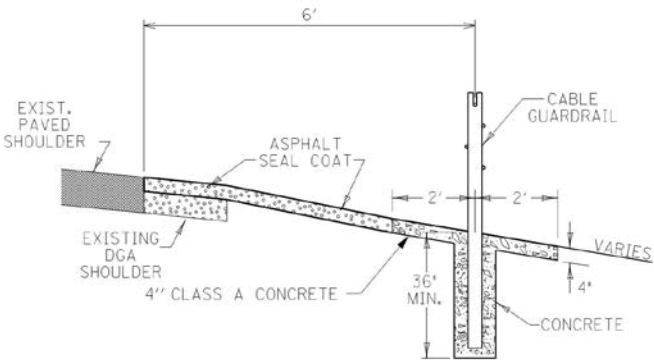
Item No. 5-9004.00 & 5-9005.00

The purpose of this project is to install HTC Median Barrier along I-71 in Henry and Oldham Counties beginning at MP 22.55 (East of KY 53 Interchange) to MP 28.0 (East of the KY 153 Interchange).

The Manufacturer will assist the Contractor with the layout and location of the HTC Median Barrier installation. The Contractor will create schematic layout sheets for the HTC Median Barrier system and, prior to construction, the proposed layout and location of the HTC Median Barrier will be approved by the Department. The installed barrier shall be 6'-0" from the edge of the paved shoulder, measured from the center of the concrete mow strip (**See Detail A**). Installation shall be on the northbound side of the median. (See chart on next page for anchor locations).

Cut a 4-foot wide and 4-inch deep trench where the HTC system is to run and place Class A Concrete in the trench (**See Detail A**).

Geotechnical information has been collected at representative locations along the project corridor. This information may be found in the appendix of this proposal. The Manufacturer is responsible for the design of the line post and terminal foundations and shall use the geotechnical information to develop these project-specific foundation designs. The Contractor shall be responsible for obtaining any additional geotechnical information required by the Manufacturer to complete the design of their system's anchoring.



Detail A

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

HTC END LOCATIONS HENRY/OLDHAM COUNTIES	
MILEPOINTS	LENGTH
NB 22.55	1,953.6'
NB 22.92	
NB 22.94	2,851.2'
NB 23.48	
NB 25.80	5,860.8'
NB 26.91	
NB 26.92	6,019.2'
NB 28.06	
TOTAL	16,684.8'

**NOTE:**  
These locations have been assumed for the purpose of quantifying the project. Exact locations are to be determined by the Vendor and the Contractor and are to be documented in the HTC Median Barrier System Layout Plans.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**HENRY & OLDHAM COUNTIES I-71  
UTILITY LOCATIONS**

MILEPOINT	UTILITY DESCRIPTION
NB 23.26	Traffic Counter Loops

The Cabinet has a traffic count station in Oldham County described in the table above. The Contractor shall use caution in this area as not to disturb or damage the count station in any manner and that includes any and all associated hardware necessary for them to function. If damage should occur to these count stations during the placement of the HTC median cable barrier, the Contractor shall be responsible for replacing the damaged count station in full, as directed by the Engineer, without compensation from the Cabinet, and within the time frame of the project. An inspection by the Cabinet of this station will take place at the end of work as assurance that they have not been disturbed.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0



**GENERAL SUMMARY**

ITEM	DESCRIPTION	UNIT	HENRY CO. 5-9004.00	OLDHAM CO. 5-9005.00	PROJECT TOTALS
23147EN	HIGH TENSION CABLE-ROPE (1) (4) (6) (7)	LF	11880	4804.8	16684.8
23148EN	END ANCHOR (2) (4) (6) (7)	EACH	4	4	8
22415EN	CONCRETE CLASS A FOR PAD (5)	SQ YD	5280	2136	7416
06427	TRENCHING (3)	LF	11880	4804.8	16684.8
00001	DGA (9)	TONS	910.8	368.4	1279.2
00100	ASPHALT SEAL AGGREGATE (8) (9)	TONS	105.6	42.7	148.3
00103	ASPHALT SEAL COAT (8) (9)	TONS	13	5	18
02569	DEMOBILIZATION	LS	1		1
02569	DEMOBILIZATION	LS		1	1
02562	SIGNS	SF	450	50	500
02650	MAINTAIN & CONTROL TRAFFIC	LS	1		1
02650	MAINTAIN & CONTROL TRAFFIC	LS		1	1
02671	PORTABLE CHANGEABLE MESSAGE SIGN	EACH	4		4
02726	STAKING	LS	1		1
02726	STAKING	LS		1	1
02775	ARROW PANEL	EACH	2		2
20411ED	LAW ENFORCEMENT OFFICER	HOURL	290		290
24560EN	EROSION CONTROL BLANKET - SHORT TERM (10)	SQ YD	15840	6406.4	22246.4
02705	SILT TRAP TYPE C	EACH	14	7	21

**NOTES:**

- (1) The HTC Median Barrier system includes all hardware, post, cables, labor, and incidentals within the End Anchors.
- (2) The HTC Median Barrier End Anchors includes all hardware, post, cables, labor, and incidentals.
- (3) The bid item "Trenching" is for the trenching and disposal of the material removed for the Concrete Class A Pad under the HTC Median Barrier system. Provided this material meets geotechnical requirements it may be used where median fill is needed. Waste area will be pre-approved by the Engineer.
- (4) Excavation for the posts and anchors is incidental to the HTC Median Barrier. This material may also be used where median fill is needed provided that requirements listed in note (3) above are followed.
- (5) Construct per the Section 505 of the *Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.
- (6) The Contractor shall select and install only one manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer.
- (7) Geotechnical work has been completed for the project. All Geotechnical Information has been included in this proposal so that the manufacturers may design the anchors and the post line footings.
- (8) Two applications.
- (9) For placement between the edge of paved shoulder and the concrete mow strip.
- (10) See Special Note for Erosion Control Blanket – Short Term.

<b>PROPOSED HTC MEDIAN BARRIER</b>		<b>ITEM NO: 5-9004.00 &amp; 5-9005.00</b>	
<b>HENRY - OLDHAM COUNTIES</b>	<b>ROUTE: I-71</b>	<b>MILEPOINT: 22.55 TO 28.0</b>	

**SPECIAL NOTES FOR**  
**HTC MEDIAN BARRIER INSTALLATION AND LAYOUT**

**PAGE 1 OF 2**

The HTC Median Barrier will meet or exceed the specifications documented in the ***SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER***. The Contractor may choose any manufacturer of high tension cable-rope so long as their system meets or exceeds specifications documented in the ***SPECIAL NOTE FOR HIGH TENSION CABLE-ROPE MEDIAN BARRIER*** and is on KYTC's **LIST OF APPROVED MATERIALS** (<http://transportation.ky.gov/Materials/Documents/LAM.PDF>). The Contractor shall select and install only one manufacturer's high tension cable barrier system for the entire project. Terminal sections and high tension cable barrier shall be produced by the same manufacturer.

The Contractor shall provide the following documentation to the Engineer a minimum of 14 days prior to installation of the system:

- a) A copy of the appropriate FHWA Acceptance Letters (from NCHRP Report 350 testing) for the HTC system, including one for TL-4 on 6H:1V slopes, TL-3 on 4H:1V, and TL-3 for the terminals/end anchorages.
- b) Two copies of the manufacturer's product brochure, specifications, and installation and maintenance manuals.
- c) Certification signed and stamped by a Professional Engineer licensed in the Commonwealth of Kentucky stating that the final design of the system meets the requirements of the contract documents.
- d) Five copies of the proposed system layout plans clearly depicting installation details, including existing planimetric features (guardrail, safety terminals, edges of pavement/shoulder, ditch line, structures, etc.) and proposed HTC system features (safety terminals, intermediate line posts, and cable-rope location).
- e) One copy of the design drawings and calculations for the safety terminal and intermediate line post foundations for the soil conditions on the project. Design drawings and calculations shall be stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.

Review and acceptance of the proposed design (as shown in the documentation listed above) must occur before the Contractor proceeds with installation. The review will be completed in 14 days.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**SPECIAL NOTES FOR**  
**HTC MEDIAN BARRIER INSTALLATION AND LAYOUT**

**PAGE 2 OF 2**

When developing the proposed system layout, the Contractor and Manufacturer will adhere to the following guidance:

- a) Maintain a minimum of 10' between the HTC system and the edge of traveled way. Allowances will be made to the offset when the barrier passes by a permanent structure such as a bridge pier or sign truss pedestal. The Engineer will approve any variances to the 10' offset.
- b) The HTC system must remain a minimum of 10' up from the median ditch line.
- c) Legal median u-turn crossovers should remain open.
- d) Where possible, shield anchors behind existing roadside safety hardware (i.e. guardrail end treatments, bridge-ends, etc.)

Contrary to Section 111 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* no Value Engineering or proposal to modify the specifications of the high tension cable median barrier will be accepted on this project.

The concrete pad mow strip will be constructed per the Section 505 of the *KYTC Standard Specifications for Road and Bridge Construction (current edition)* for concrete sidewalks.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**SPECIAL NOTE FOR  
INSTALLATION AND MAINTENANCE TRAINING**

1. Provide installation training by the manufacturer of the system during construction.
- A. During the installation of the proposed cable barrier system, provide on-site field instruction on installation procedures covering all aspects of the system installation, including grading, line post installation, wire rope or cable installation and tensioning, and terminal or anchor installation. The scheduling and location of this training shall be approved by the Engineer.

B. Provide the training for a maximum of 10 participants, to include the following as may apply:

• Contractor (prime)

• Installation Contractor (sub)

• KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)
2. The installation contractor must have personnel on site at all times during the installation of the system that have been trained by the manufacturer.
3. Provide maintenance training by the manufacturer of the system prior to the closing out of the project.
- A. Provide a minimum of two (2) hours of classroom instruction on the maintenance and repair of the system. This training shall be provided in a location central to the project and the local KYTC district office. The scheduling and location of this training shall be approved by the Engineer.

B. Provide a minimum of two (2) hours of on-site field instruction on the maintenance and repair of the system.

C. Provide the training as required for a maximum of 30 participants, to include the following:

• KYTC personnel (Construction, Maintenance, Traffic Safety and Highway Design)

• FHWA representative when system installed on federal aid projects

• Those invited by the KYTC, which may include law enforcement agencies and emergency response representatives
4. The required training will be **incidental to the contract**.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0	

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 1 of 4

**DESCRIPTION** This work shall consist of furnishing and installing a high tension cable-rope HTC median barrier with terminals/end anchorages as recommended by the Manufacturer, as directed by the Engineer, and in accordance with the requirements of this special note.

**GENERAL REQUIREMENTS** The HTC median barrier system shall be a four cable-rope system that meets the National Cooperative Highway Research Program (NCHRP) Report 350, Test Level 4 testing for 6H:1V slopes and be accepted by FHWA as such. HTC installed on front slope grades steeper than 6H:1V but 4H:1V or flatter shall be Test Level 3 tested and accepted as such. Each of the four cable-ropes shall be independently anchored to a concrete end-anchor. The terminals/end anchorages shall be tested and accepted under NCHRP Report 350 Test Level 3. Geotechnical information of the project area shall be used by the Manufacturer to design the sizes and depths of the anchors and footings. Intermediate line posts shall be socketed with sleeves set in concrete. The maximum post spacing for the HTC System shall be 10.5 feet, center to center.

**MATERIALS** Samples for testing shall be provided as directed by the Physical Section of the Division of Materials. Contractors shall contact the Physical Section of the Division of Materials at 502-564-3160 for department specific sampling and testing procedures prior to bid. Section references are from the *Kentucky Standard Specifications for Road and Bridge Construction (current edition)*.

Concrete, Class A	Section 601
Steel Reinforcement (Minimum Grade 40 steel)	Section 811
Anchor Bolts and Nuts	Section 813
Galvanizing (Bolts, Nuts & Washers)	AASHTO M 232
Fittings (Steel) Hardware	AASHTO M 30
Reflective Sheeting	Section 830

**Cable-rope** The cable-rope shall be a galvanized ¾ inch diameter, 3x7 wire rope construction meeting AASHTO M30 Type I Class A coating. The wire rope shall be pre-stretched during manufacturing to exhibit a minimum modulus of elasticity of 11,805,090 pounds/inch<sup>2</sup> after pre-stretching. If cable rope or fittings of higher strength were used at the time of NCHRP 350 evaluation, use the higher strength materials.

**Posts** Posts shall be the socketed versions with caps, placed in metal or plastic sleeves installed in a concrete foundation. All posts shall be fabricated from materials meeting ASTM A-36 or greater steel and galvanized after fabrication to A-123. The required welding shall be performed by a certified welder in accordance with AWS D1.1. Posts shall be domestic hot-rolled mild steel, or cold-formed from hot-rolled mild steel. A fitting gasket, profiled to fit tightly around each post, shall be provided to prevent debris from entering the socket.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 2 of 4

**Fittings** Only swaged fittings shall be provided. Field-installed, galvanized-steel fittings (i.e., turnbuckles and splices) shall be one-inch diameter. Smaller fittings may be allowed with written permission from the Division of Design, Division of Construction, and the Division of Materials. Factory applied or stainless steel fittings shall meet AASHTO M30 Type I Class A. Threaded terminals shall be right hand or left hand threaded M24 X 3 pitch to ANSI B 1.13M. The body of the threaded terminal shall provide a minimum of 6 inches wire rope engagement depth. Threaded terminals shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**Turnbuckles** Turnbuckles (i.e. Rigging Screws) shall be threaded to accept the fitting described above. Turnbuckles may be either the open or closed body type (with two inspection holes to determine threaded rope terminal penetration). The turnbuckles shall allow for a minimum of 6 inches of penetration from each end. Turnbuckles shall meet AASHTO M30 Type I Class A and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**Mechanical Anchor Fittings** Fittings shall be provided at the anchor termination of each cable-rope and shall be of the same type as used in the connection to the turnbuckles. The fittings shall meet AASHTO M30 Type I Class A yielding, shall be capable of release and reuse, and shall be either stainless steel or galvanized, after processing, to ASTM A-153.

**End Terminals** End Terminals placed within the clear zone, as defined by AASHTO Roadside Design Guide, shall be NCHRP Report 350 compliant, meeting Test Level 3 (TL-3) requirements, and having an FHWA letter of acceptance. Other terminals may be used in locations where impacts are unlikely or if properly shielded by impact attenuator, if approved by the Engineer. Each of the four cable-ropes of the system shall have separate anchor connections to the terminal end section. End anchors shall be fabricated from materials meeting ASTM A-36 and galvanized after fabrication to A-123. All welding shall be performed by a certified welder in accordance with AWS D1.1.

**CONSTRUCTION** The Contractor shall install high tension cable-rope barrier system according to the manufacturer’s design and recommendation. Prior to construction, the proposed layout and location of the HTC System will be approved by the Department. The posts shall be installed plumb and in accordance with the proposed layout, spacing, and location shown in the HTC System layout plans as approved by the Department.

Turnbuckles shall be included to allow for tensioning of the cable-ropes. For installations greater than 1,000 feet in length, at least one Turnbuckle per 1,000 feet shall be included per length of cable-rope. For installations less than 1,000 feet in length, one Turnbuckle per length of cable-rope shall be included near the center of the installation.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**SPECIAL NOTE FOR**

**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 3 of 4

Extreme care shall be taken in ensuring proper cable-rope height. The area shall be relatively smooth, without edge drop-offs, holes, other depressions or abrupt slope changes between the edge of the traveled way and the cable-rope barrier system.

The HTC System shall be placed and tensioned immediately after initial installation per the manufacturer's recommendations. Tension shall be rechecked approximately two (2) to three (3) weeks after initial tensioning and adjusted, if necessary. A tension log form shall be completed showing the time, date, location, ambient temperature, and final tension reading, signed by the person performing the tension reading. This log shall be furnished to the Engineer upon completion of work. This form shall also include the manufacturer's recommended tension chart.

Line post shall be socketed with sleeves set in concrete. The minimum diameter for the line post foundations shall be 12 inches. Minimum installation depth for the concrete line posts footings shall be 36-inches for non-rock installation. Greater depths may be required for non-rock installation due to manufacturer's recommendations based on soil information as shown in this proposal. Depths and requirements for installations in rock shall be based on manufacturer's recommendations.

The HTC System shall be delineated with retro-reflective sheeting. The delineation shall be applied to the last five posts at each end of an installation and throughout the remainder of the installation at a maximum spacing of 50 feet. The delineation shall provide a minimum of seven square inches of area when viewed on a line parallel to the roadway centerline. For median installations, the sheeting shall be applied to both sides of the post. The delineation shall be attached near the top of the posts as recommended by the manufacturer. The sheeting shall be yellow or white and shall be the same color as the adjacent edge line.

Contractor shall not allow traffic to be exposed to trenching and/or excavated post anchor holes for longer than one working shift, as directed by the Engineer.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71		MILEPOINT: 22.55 TO 28.0

**SPECIAL NOTE FOR**  
**HIGH TENSION CABLE-ROPE MEDIAN BARRIER**

Sheet 4 of 4

**MEASUREMENT**

**High Tension Cable-Rope Barrier** will be measured by the linear foot. Any costs associated with the cable-rope, intermediate line posts, line post foundations, cable-rope tensioning, reflective sheeting, and all necessary incidentals shall be included in the price bid for this item.

**End Anchors** will be measured by each unit. The Contractor's proposed layout and location plans will specify the type and number of end terminals required. Any costs associated with the excavation, reinforcing steel, concrete, and other incidentals shall be included in the price bid for this item. End anchor pay limits vary by manufacturer. See manufacturers shop drawings for details.

**PAYMENT**

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23147EN	HIGH TENSION CABLE-ROPE BARRIER	LINEAR FOOT
23148EN	END ANCHORS	EACH

Such payment shall be full compensation for furnishing all materials, equipment, labor, and incidentals to complete the work as specified.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0



**Special Note for Erosion Prevention and Sediment Control**  
**Henry & Oldham Counties / Item No. 5-9004.00 & 5-9005.00**

KYTC has pre-filed the (KPDES) KYR10 permit Notice of Intent (NOI) with the Kentucky Division of Water (DOW). The NOI shall name KYTC as the Facility Operator and include the KYTC Contract ID Number (CID) for reference.

The Contractor shall perform all temporary erosion/sediment control functions including: providing a Best Management Practice (BMP) Plan, conducting required inspections, modifying the BMP plan documents as construction progresses and documenting the installation and maintenance of BMPs in conformance with the KPDES KYR10 permit dated September 30, 2003 or a permit re-issued to replace the KYR10 permit. This work shall be conducted in conformance with the requirements of Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

Contrary to Section 213.03.03, paragraph 2, the Engineer shall conduct inspections as needed to verify compliance with Section 213 of *KYTC Standard Specifications for Road and Bridge Construction (current edition)*. The Engineer's inspections shall be performed a minimum of once per month and within seven days after a storm of ½ inch or greater. Copies of the Engineer's inspections shall not be provided to the contractor unless improvements to the BMP's are required. The contractor shall initiate corrective action within 24 hours of any reported deficiency and complete the work within 5 days. The Engineer shall use Form TC 63-61 A for this report. Inspections performed by the Engineer do not relieve the Contractor of any responsibility for compliance with the KPDES permit.

The Contractor shall be responsible for filing the KPDES permit Notice of Termination (NOT) with the Kentucky DOW and any local MS4 program that has jurisdiction. The NOT shall be filed after the Engineer agrees that the project is stabilized or the project has been formally accepted.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71		MILEPOINT: 22.55 TO 28.0

**Special Note for Erosion Control Blanket-Short Term**  
**Henry & Oldham Counties / Item No. 5-9004.00 & 5-9005.00**

**1.0 DESCRIPTION.** Install erosion control blanket-short term at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's *KYTC Standard Specifications for Road and Bridge Construction (current edition)*.

**2.0 MATERIALS.**

**2.1 Erosion Control Blanket-Short Term (ECB-ST).** Use an ECB-ST that is machine constructed with two-sided netting filled with curled wood fiber mat, straw, or a straw and coconut fiber combination. Ensure the blanket is smolder resistant without the use of chemical additives.

**A) Dimensions.** Furnish in strips with a minimum width of 4 feet and length of 50 feet.

**B) Weight.**

1) Curled Wood Fiber. Ensure a minimum mass per unit area of 7.25 ounces per square yard according to ASTM D 6475.

2) Straw. Ensure a minimum mass per unit area of 7.5 ounces per square yard according to ASTM D 6475.

3) Straw/Coconut Fiber. Ensure a minimum mass per unit area of 6.75 ounces per square yard according to ASTM D 6475.

**C) Fill.** Ensure the fill is evenly distributed throughout the blanket.

1) Curled Wood Fiber. Use curled wood fiber of consistent thickness with at least 80 percent of its fibers 6 inches or longer in length.

2) Straw. Use only weed free agricultural straw.

2) Straw/Coconut Fiber. Conform to the straw requirements above and ensure the coconut fiber is evenly distributed throughout the blanket and accounts for 30% or more of the fill.

**D) Netting.** Use photodegradable extruded plastic mesh or netting, with a maximum spacing width of one inch square, on both sides of the blanket. Use a netting with a functional longevity of less than or equal to 90 days. Secure the netting by stitching or other method to ensure the blanket retains its integrity.

**E) Staples.** Use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch, and a minimum length of 6 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils. Provide staples with colored tops when requested by the Engineer.

**F) Performance.**

1) C-Factor. Ensure the ratio of soil loss from protected slope to ratio of soil loss from unprotected is  $\leq 0.15$  for a slope of 3:1 when tested according to ASTM D 7101 (2-inch/hour for 30 minutes).

2) Shear Stress. Ensure the blanket can sustain a minimum shear stress of 1.75 pounds per square foot without physical damage or excess

**2.2 Quality Assurance Sampling, Testing, and Acceptance.** Provide a Letter of Certification from the Manufacturer stating the product name, manufacturer, the AASHTO NTPEP Test Report showing the ECB-ST meets Department criteria, and the product data sheet or specification indicating the product netting has a functional longevity of less than or equal to 90 days. A certification letter is required for each product supplied on a project.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0	

**Special Note for Erosion Control Blanket-Short Term (cont.)**  
**Henry & Oldham Counties / Item No. 5-9004.00 & 5-9005.00**

**3.0 CONSTRUCTION.** Contrary to specification 212.03.03 E), Install ECB-ST only at locations specified in the Contract or as the Engineer directs. All other instructions for the installation of the ECB-ST shall be in accordance to specification 212.03.03 E).

**4.0 MEASUREMENT.** The Department will measure the quantity of ECB-ST by the square yard of surface covered. The Department will not measure seeding for payment and will consider it incidental to the ECB-ST. The Department will not measure any reworking of slopes, channels, or ditches for payment as it is considered corrective work and incidental to the ECB-ST.

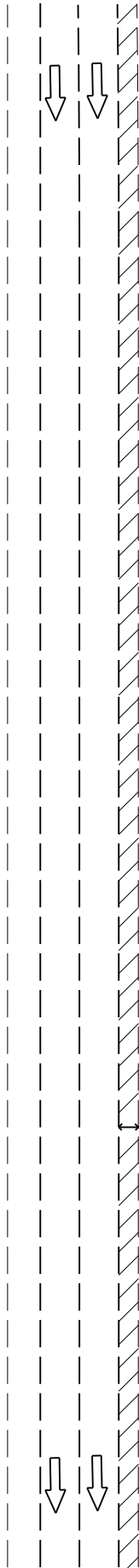
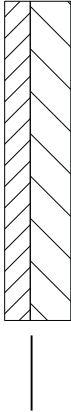
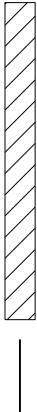
**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24560EN	Erosion Control Blanket-Short Term	Square Yard

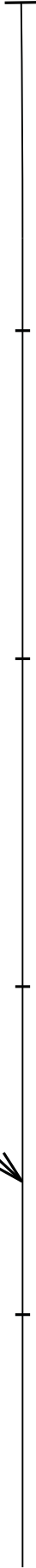
PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

TRAFFIC CONTROL PLAN  
(LANE CLOSURE)  
PAGE 1 OF 6

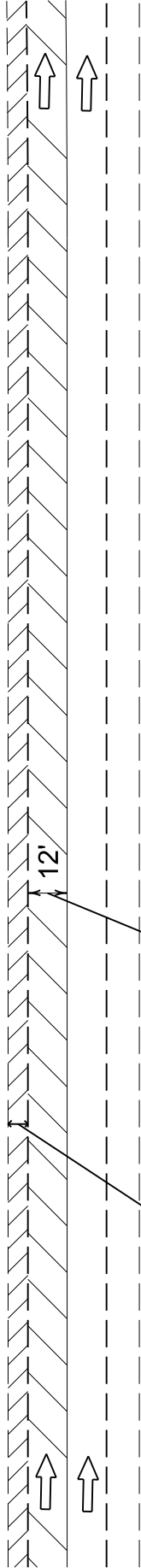
- 1) SHOULDER  
THE INTERIOR SHOULDER MAY BE CLOSED AT ANY TIME  
THROUGHOUT THE PROJECT (EXCEPT ON THE "NO CLOSURE" DATES.)
- 2) INTERIOR LANE AND SHOULDER MAY BE CLOSED THE  
FOLLOWING TIMES:  
MONDAY BEGINNING AT 8:00 PM UNTIL TUESDAY AT 5:00 AM  
TUESDAY BEGINNING AT 8:00 PM UNTIL WEDNESDAY AT 5:00 AM  
WEDNESDAY BEGINNING AT 8:00 PM UNTIL THURSDAY AT 5:00 AM  
THURSDAY BEGINNING AT 8:00 PM UNTIL FRIDAY AT 5:00 AM  
NIGHTLY LANE CLOSURES ONLY



I-71 CENTERLINE



H.T.C MEDIAN BARRIER



SHOULDER

INTERIOR LANE

WORKING HOURS  
SCHEME

TRAFFIC CONTROL PLAN

Page 2 of 6

THIS PROJECT IS A FULLY  
CONTROLLED ACCESS HIGHWAY

**TRAFFIC CONTROL GENERAL**

Except as provided herein, maintain and control traffic in accordance with the KYTC Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), and the Standard Drawings (current edition). Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic will be paid at the lump sum bid price to “Maintain and Control Traffic”.

Contrary to Section 106.01, traffic control devices used on this project may be new, or used in like new condition, at the beginning of the work and maintained in like new condition until completion of the work.

The speed limit in work areas will be reduced by 15 M.P.H. from the posted speed and double fines for work zone speeding violations may be established. The extent of these areas within the project limits will be restricted to the proximity of actual work areas as determined by the Engineer. Double fine zones will be in place only when workers are present.

Until the Department makes written acceptance of the work, the Contractor shall rebuild, repair, and restore any portion of the HTC median barrier system damaged by any cause, including regular traffic impact. The Contractor shall bear the expense of these repairs. Partial acceptance for completed sections of HTC median barrier system shall be allowed at the end of the Construction season.

**PROJECT PHASING & CONSTRUCTION PROCEDURES**

The following closures will be allowed for I-71:

When work is being conducted in the median, the Contractor must have an interior shoulder closure in both directions at a minimum. Only minor operations which will cause no disruption to traffic flow (e.g. system layout, site preparation, etc.) may be allowed, at the Engineer’s discretion, during shoulder closures. All other work must be conducted during the closure of the interior lane and shoulder. No equipment or material deliveries will be allowed under the shoulder closure scheme. The shoulder closure may not remain in place during non-working hours. The Contractor shall close only the interior lane adjacent to the placement of the HTC median barrier.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71		MILEPOINT: 22.55 TO 28.0

**TRAFFIC CONTROL PLAN**

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**The Contractor cannot begin the construction of a section of HTC barrier, as defined by a beginning and ending mile point in this proposal, before April 15, 2015 without the permission of the Engineer.**

The interior lane and shoulders may be closed the following times:

Monday beginning at 8:00 PM until Tuesday at 5:00 AM  
Tuesday beginning at 8:00 PM until Wednesday at 5:00 AM  
Wednesday beginning at 8:00 PM until Thursday at 5:00 AM  
Thursday beginning at 8:00 PM until Friday at 5:00 AM

No lane or shoulder closures will be allowed on the following days:

Easter	April 3 – 5, 2015
Memorial Day	May 22 – 25, 2015
Independence Day	July 3 – 5, 2015

**NO LANE CLOSURES WILL BE ALLOWED DURING THE WEEK LEADING UP TO THE NASCAR SPRINT CUP RACE AT THE KENTUCKY SPEEDWAY.**

During lane closures, the clear lane width shall be 12 feet; however, make provisions for passage of vehicles up to 16 feet in width.

**ALL TRAFFIC CONTROL DEVICES MUST BE MOVED FROM THE PAVED SURFACE BY THE TIMES SPECIFIED FOR LANE CLOSURES.**

**THE CONTRACTOR SHALL MAINTAIN POSITIVE DRAINAGE IN THE MEDIAN AT ALL TIMES ON THE PROJECT.**

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**TRAFFIC CONTROL PLAN**

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**LANE CLOSURES**

Do not leave lane closures in place during prohibited periods. Do not leave lane closures in place during nonworking hours. Leaving lane closures up during these times will cost the Contractor \$1,000 per lane per hour or fraction of an hour. Multiple lane closures may occur along the length of the project, but should not occur within 3 miles of each other and shall be limited to no more than 2 miles each in length. No long term lane closures will be allowed; therefore, contrary to Section 112, lane closures will not be measured for payment. For information on Lane Closure set up, please refer to Standard Drawing TTC-115 "Lane Closure Multi-Lane Highway Case I".

**LIQUIDATED DAMAGES**

This project has a fixed completion date of August 15, 2015. Contrary to Section 108.09 of the Department of Highways, Standard Specifications for Road and Bridge Construction (current edition), a \$10,000.00 per day penalty will be charged for days exceeding this amount.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71		MILEPOINT: 22.55 TO 28.0

TRAFFIC CONTROL PLAN

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**SIGNS**

The Engineer may require additional traffic control signs in addition to normal lane closure signing detailed on the Standard Drawings. Additional signs needed may include, but are not limited to, dual mounted LEFT LANE CLOSED 1 MILE, LEFT LANE CLOSED 2 MILE, LEFT LANE CLOSED 3 MILE, SLOWED/STOPPED TRAFFIC AHEAD, KEEP RIGHT, etc.

Individual signs will be measured only once for payment, under the Bid Item “Signs” regardless of how many times they are set, reset, removed, and relocated during the duration of the project. Replacements for damaged signs directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment.

**PORTABLE CHANGEABLE MESSAGE SIGNS**

Provide a minimum of two Portable Changeable Message Signs in advance of or on the project at locations designated by the Engineer. The Engineer will designate the messages to be provided. The locations and messages designated may vary as the work progresses. The Portable Changeable Message Signs shall be in operation at all times. In the event of damage or mechanical/electrical failure, immediately repair or replace the Portable Changeable Message Sign. Replacements for damaged Portable Changeable Message Signs directed by the Engineer to be replaced due to poor condition or legibility will not be measured for payment.  
Refer to; “Special Note For Portable Changeable Message Signs (1I)” Paid under Bid Item “02671” Portable Changeable Message Signs.

**BARRELS**

Barrels are to be used for channelization or delineation and will be incidental to “MAINTAIN AND CONTROL TRAFFIC” according to Section 112.04.01. Replacements for damaged barrels directed by the Engineer to be replaced due to poor condition or reflectivity will not be measured for payment. Barrels will be used to delineate the closed/active lane lines and tapers.

**ARROW PANEL**

Arrow panels will be paid for once, no matter how many times they are moved or relocated. The Department **WILL NOT** take possession of the arrow panels upon completion of the work.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0



**TRAFFIC CONTROL PLAN**

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**PROJECT TRAFFIC COORDINATOR**

The Contractor shall supply a Project Traffic Coordinator (PTC) to monitor traffic control devices 24 hours a day throughout the duration of the project. The Project Traffic Coordinator must be equipped with a cellular phone and have the authority to immediately maintain and make changes in the traffic control as traffic conditions merit. The Contractor will be penalized one thousand dollars (\$1000) liquidated damages per day for any incidence that the Project Traffic Coordinator is not on the project. This project shall be classified as “significant”, and thus will require the PTC to also be qualified as a work zone traffic control supervisor.

**LAW ENFORCEMENT OFFICER**

In accordance with Section 112.04 of the Standard Specifications for Road and Bridge Construction (current edition) a Law Enforcement Officer shall be on duty in the work zone during working hours for the duration of the project.

PROPOSED HTC MEDIAN BARRIER		ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71		MILEPOINT: 22.55 TO 28.0

APPENDIX  
GEOTECHNICAL REPORT  
SHEETS


PROPOSED HTC MEDIAN BARRIER	ITEM NO: 5-9004.00 & 5-9005.00	
HENRY - OLDHAM COUNTIES	ROUTE: I-71	MILEPOINT: 22.55 TO 28.0

**(R-050-2014)**

**M E M O R A N D U M**

**TO: Kevin Martin, PE**  
**Office of Project Development**  
**Division of Highway Design**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: September 4, 2014**

**SUBJECT: Oldham County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 22.5 to 24.7**  
**Mars # 8916901D**  
**Item # 5-9005.00**  
**Geotechnical Testing and Driller Logs**

Drilling activities were completed in August 2014. The summary of soil conditions represents soils within the stated project limits. Boring locations were located at provided anchor points and drilled 8 feet from shoulder. The boring plan is attached. At each hole SPT samples were taken and the associated blow counts were recorded. The Driller's Subsurface Logs contain the depth of the hole, SPT values, soil description and depth to refusal (if encountered). All testing is attached.

**Mile Points on the logs are listed as stations, i.e. 25+80 is mile point 25.80**

**The average Frost Depth for Kentucky is 2.0 feet.**

If there are any questions, please contact the Geotechnical Branch at (502) 564-2374.


**Attachments:**

**BP for R-050-2014**

**MEMORANDUM**

**TO: Jonathan West, PE**  
**TEBM Project Development**  
**District 5, Louisville**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: July 22, 2014**

**SUBJECT: Oldham County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 22.5 to 24.7**  
**Mars # 8916901D**  
**Item # 5-9005.00**  
**Subsurface Boring Locations**

The following list of borings is required to complete the Geotechnical Report for this project. Stantec will be responsible for drilling, sampling, coordination of traffic control and having utilities marked for all borings. The district will be responsible for staking. Please include hole number and mile point on drilling logs. The drilling will be as follows:

We request the staking be completed as soon as possible. Please contact the Geotechnical Branch once staking is completed.

**I. Standard Penetration Test (SPT) -** A SPT shall be taken at the following depths or to top of bedded material whichever occurs first: **2', 7', 12', 15'**. **If recovery is less than 5/10th obtain a sample bag.**

**NOTE:** Please note the following on the drilling logs:

1. Boring located in a cut or fill?
2. Were boulders encountered?
3. Is area wet and what depth was water encountered

**Standard Penetration Test (SPT)**

<b><u>Hole #</u></b>	<b><u>Milepost</u></b>	<b><u>Offset (feet)</u></b>	<b><u>Northbound/Southbound</u></b>
1	22.55	8' from inside shoulder	Northbound
2	22.92	8' from inside shoulder	Northbound
3	22.94	8' from inside shoulder	Northbound
4	23.48	8' from inside shoulder	Northbound

If you have any questions, please contact Jason Wright at 502-564-2374 ext. 302

Project ID: <u>R-050-2014</u>		<u>Oldham - I-71 MP 22.5-24.7</u>		Project Type: <u>Roadway</u>					
Item Number: <u>05-9005.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>1</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/12/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>801.2'</u>		Static Water Depth <u>NA</u>		End Date <u>08/12/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>16.5'</u>		Driller <u>K. Clements</u>		Latitude(83) <u>  </u>					
Location <u>22+55.00 8.0' Lt.</u>				Longitude(83) <u>  </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
5		Stiff, brown, moist, clay with boulders.		SPT1	2.0-3.1	1.1	8-50-50/0.10'	SPT	5
10				SPT2	7.0-8.5	1.0	14-14-10	SPT	10
15				SPT3	12.0-13.5	1.3	8-13-13	SPT	15
784.7	16.5		SPT4	15.0-16.5	1.5	12-11-9	SPT		
20		(Bottom of Hole 16.5') (No Refusal)							20
25									25
30									30
35									35
40									40
45								45	
50								50	

Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Geotechnical Branch

Printed: 9/2/14

**Soil Classification and Gradation Test Results**

Page 1 of 10

Project ID: **R-050-2014****Oldham - I-71 MP 22.5-24.7**Project Type: **Roadway**Item Number: **05-9005.00**Project Manager: **Jason Wright**Location: **22+55 8.0' Lt.**Hole #: **1**Lab ID#: **SPT1**Depth (ft): **2-3.1**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	94.4
3/4"	75.9	3/8"	58.9	No. 4	45.4
No. 10	33.4	No. 40	24.6	No. 200	17.6
0.002 mm	5.7				

Gravel (-3" + No. 10)	66.6
Fine Sand (-No. 40 + No. 200)	6.9
Clay (-0.002mm)	5.7

Coarse Sand (-No. 10 + No. 40)	8.8
Silts (-No. 200 + 0.002mm)	12.0
Colloids (-0.001mm)	5.2

Liquid Limit: **23** Plastic Limit: **18**  
Activity: **0.88**

Plasticity Index: **5**  
Spec. Gravity: **2.696**

AASHTO Classification: **A-1-b (0)**  
Unified Classification: **GC-GM**

D 10 (mm):	0.007
D 30 (mm):	1.103
D 50 (mm):	6.014
D 60 (mm):	9.947
D 90 (mm):	23.423
D 95 (mm):	26.981

NAT MT = **7.88**  
LIQ = **-2.02324**

Sieve Type: **With Gravel**

Notes:

Silts + Clays + Colloids: **N/A**Cu = **1341.95713**Cc = **16.49545****Remarks:****Copies:**

Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Printed: 9/2/14

Geotechnical Branch

**Soil Classification and Gradation Test Results**

Page 2 of 10

Project ID: **R-050-2014**  
 Item Number: **05-9005.00**

**Oldham - I-71 MP 22.5-24.7**

Project Type: **Roadway**  
 Project Manager: **Jason Wright**

Location: **22+55 8.0' Lt.**  
 Lab ID#: **SPT2**

Hole #: **1**  
 Depth (ft): **7-8.5**

Sieve Size	%Passing
3"	100.0
3/4"	75.9
No. 10	33.4
0.002 mm	5.7

Sieve Size	%Passing
2"	100.0
3/8"	58.9
No. 40	24.6

Sieve Size	%Passing
1"	94.4
No. 4	45.4
No. 200	17.6

Gravel (-3" + No. 10)	66.6
Fine Sand (-No. 40 + No. 200)	6.9
Clay (-0.002mm)	5.7

Coarse Sand (-No. 10 + No. 40)	8.8
Silts (-No. 200 + 0.002mm)	12.0
Colloids (-0.001mm)	5.2

Liquid Limit: **23** Plastic Limit: **18**  
 Activity: **0.88**

Plasticity Index: **5**  
 Spec. Gravity: **2.696**

AASHTO Classification: **A-1-b (0)**  
 Unified Classification: **GC-GM**

D 10 (mm):	0.007
D 30 (mm):	1.103
D 50 (mm):	6.014
D 60 (mm):	9.947
D 90 (mm):	23.423
D 95 (mm):	26.981

NAT MT = **7.88**  
 LIQ = **-2.02324**

Sieve Type: **With Gravel**  
 Notes:   
 Silts + Clays + Colloids: **N/A**

Cu = **1341.95713**Cc = **16.49545****Remarks:****Copies:**

Soil Classification and Gradation Test Results

Project ID: <u>R-050-2014</u>	<u>Oldham - I-71 MP 22.5-24.7</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9005.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>22+55 8.0' Lt.</u>	Hole #:	<u>1</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-13.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	73.6
3/4"	60.0	3/8"	45.4	No. 4	38.1
No. 10	32.9	No. 40	28.0	No. 200	20.2
0.002 mm	7.7				

Gravel (-3" + No. 10)	67.1	Coarse Sand (-No. 10 + No. 40)	4.9
Fine Sand (-No. 40 +No. 200)	7.8	Silts (-No. 200 + 0.002mm)	12.6
Clay (-0.002mm)	7.7	Colloids (-0.001mm)	7.2

Liquid Limit:	<u>24</u>	Plastic Limit:	<u>18</u>	Plasticity Index:	<u>6</u>
		Activity:	<u>0.78</u>	Spec. Gravity:	<u>2.659</u>

AASHTO Classification:	<u>A-1-b (0)</u>
Unified Classification:	<u>GC-GM</u>

D 10 (mm):	<u>0.004</u>
D 30 (mm):	<u>0.790</u>
D 50 (mm):	<u>11.842</u>
D 60 (mm):	<u>19.018</u>
D 90 (mm):	<u>38.457</u>
D 95 (mm):	<u>43.850</u>

NAT MT =	<u>11.90</u>
LIQ =	<u>-1.01587</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u>4871.21813</u>
Cc =	<u>8.39651</u>

Remarks:

Copies:



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For: Division of Structural Design

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**Soil Classification and Gradation Test Results**

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Project ID: R-050-2014Oldham - I-71 MP 22.5-24.7Project Type: RoadwayItem Number: 05-9005.00Project Manager: Jason WrightLocation: 22+55 8.0' Lt.Hole #: 1Lab ID#: SPT4Depth (ft): 15-16.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	73.6
3/4"	60.0	3/8"	45.4	No. 4	38.1
No. 10	32.9	No. 40	28.0	No. 200	20.2
0.002 mm	7.7				

Gravel (-3" + No. 10)	67.1
Fine Sand (-No. 40 + No. 200)	7.8
Clay (-0.002mm)	7.7

Coarse Sand (-No. 10 + No. 40)	4.9
Silts (-No. 200 + 0.002mm)	12.6
Colloids (-0.001mm)	7.2

Liquid Limit: 24 Plastic Limit: 18  
Activity: 0.78

Plasticity Index: 6  
Spec. Gravity: 2.659

AASHTO Classification: A-1-b (0)  
Unified Classification: GC-GM

D 10 (mm):	0.004
D 30 (mm):	0.790
D 50 (mm):	11.842
D 60 (mm):	19.018
D 90 (mm):	38.457
D 95 (mm):	43.850

NAT MT = 11.90  
LIQ = -1.01587

Sieve Type: With GravelCu = 4871.21813Notes: Cc = 8.39651Silts + Clays + Colloids: N/A**Remarks:****Copies:**

Project ID: <u>R-050-2014</u>		<u>Oldham - I-71 MP 22.5-24.7</u>		Project Type: <u>Roadway</u>					
Item Number: <u>05-9005.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>2</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/12/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>840.3'</u>		Static Water Depth <u>NA</u>		End Date <u>08/12/2014</u>		Rig_Number <u>45C3</u>			
Total Depth <u>6.9'</u>		Driller <u>K. Clements</u>		Latitude(83) <u>    </u>					
Location <u>22+92.00 8.0' Lt.</u>				Longitude(83) <u>    </u>					
Lithology		Overburden		Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth	Description		Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
		Medium soft, brown, moist, gravelly clay.		SPT1	2.0-3.5	0.7	4-6-6	SPT	
5									5
833.4	6.9								
10		(Bottom of Hole 6.9') (Refusal @ 6.9)							10
15									15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

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Geotechnical Branch

# Soil Classification and Gradation Test Results

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Project ID: **R-050-2014**
**Oldham - I-71 MP 22.5-24.7**

Project Type: **Roadway**

Item Number: **05-9005.00**

Project Manager: **Jason Wright**

Location: **22+92 8.0' Lt.**

Hole #: **2**

Lab ID#: **SPT1**

Depth (ft): **2-3.5**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	90.9
3/4"	81.4	3/8"	71.0	No. 4	66.9
No. 10	63.1	No. 40	58.4	No. 200	48.9
0.002 mm	21.6				

Gravel (-3" + No. 10)	36.9	Coarse Sand (-No. 10 + No. 40)	4.7
Fine Sand (-No. 40 + No. 200)	9.5	Silts (-No. 200 + 0.002mm)	27.4
Clay (-0.002mm)	21.6	Colloids (-0.001mm)	18.4

Liquid Limit: **36** Plastic Limit: **18**  
Activity: **0.83**

Plasticity Index: **18**  
Spec. Gravity: **2.638**

AASHTO Classification: **A-6 (5)**  
Unified Classification: **GC**

D 10 (mm):	0.000
D 30 (mm):	0.006
D 50 (mm):	0.091
D 60 (mm):	0.712
D 90 (mm):	24.384
D 95 (mm):	34.221

NAT MT = **21.37**  
LIQ = **0.18745**

Sieve Type: **With Gravel**

Cu =

Notes:

Cc =

Silts + Clays + Colloids: **N/A**

Remarks:

Copies:

Drilling Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Geotechnical Branch

## DRILLER'S SUBSURFACE LOG

Printed: 9/2/14

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Project ID: <u>R-050-2014</u>		<u>Oldham - I-71 MP 22.5-24.7</u>		Project Type: <u>Roadway</u>					
Item Number: <u>05-9005.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>3</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/12/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>842.1'</u>		Static Water Depth <u>NA</u>		End Date <u>08/12/2014</u>		Rig Number <u>45C3</u>			
Total Depth <u>10.7'</u>		Driller <u>K. Clements</u>		Latitude(83) <u>    </u>					
Location <u>22+94.00 8.0' Lt.</u>				Longitude(83) <u>    </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
838.6	3.5	Medium stiff, brown, wet, gravelly clay.		SPT1	2.0-3.5	0.8	3-4-8	SPT	
831.4	10.7	Medium stiff, brown and gray, moist, gravelly clay.		SPT2	7.0-8.5	0.7	4-5-7	SPT	
		(Bottom of Hole 10.7') (Refusal @ 10.7)							

Soil Classification and Gradation Test Results

Project ID: <u>R-050-2014</u>	<u>Oldham - I-71 MP 22.5-24.7</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9005.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>22+94 8.0' Lt.</u>	Hole #:	<u>3</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>92.7</u>
3/4"	<u>89.4</u>	3/8"	<u>81.9</u>	No. 4	<u>78.4</u>
No. 10	<u>75.9</u>	No. 40	<u>73.5</u>	No. 200	<u>64.5</u>
0.002 mm	<u>27.4</u>				

Gravel (-3" + No. 10)	<u>24.1</u>	Coarse Sand (-No. 10 + No. 40)	<u>2.4</u>
Fine Sand (-No. 40 +No. 200)	<u>9.0</u>	Silts (-No. 200 + 0.002mm)	<u>37.0</u>
Clay (-0.002mm)	<u>27.4</u>	Colloids (-0.001mm)	<u>22.1</u>

Liquid Limit:	<u>37</u>	Plastic Limit:	<u>20</u>	Plasticity Index:	<u>17</u>
		Activity:	<u>0.62</u>	Spec. Gravity:	<u>2.656</u>

AASHTO Classification:	<u>A-6 (9)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.003</u>
D 50 (mm):	<u>0.018</u>
D 60 (mm):	<u>0.049</u>
D 90 (mm):	<u>20.001</u>
D 95 (mm):	<u>31.028</u>

NAT MT =	<u>22.29</u>
LIQ =	<u>0.13466</u>

Sieve Type:	<u>With Gravel</u>
Notes:	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	<u></u>
Cc =	<u></u>

Remarks:

Copies:

# Soil Classification and Gradation Test Results

Project ID: **R-050-2014**
**Oldham - I-71 MP 22.5-24.7**

Project Type: **Roadway**

Item Number: **05-9005.00**

Project Manager: **Jason Wright**

Location: **22+94 8.0' Lt.**

Hole #: **3**

Lab ID#: **SPT2**

Depth (ft): **7-8.5**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	92.2
3/4"	87.2	3/8"	82.8	No. 4	79.1
No. 10	76.6	No. 40	74.2	No. 200	65.0
0.002 mm	27.7				

Gravel (-3" + No. 10)	23.4
Fine Sand (-No. 40 +No. 200)	9.1
Clay (-0.002mm)	27.7

Coarse Sand (-No. 10 + No. 40)	2.5
Silts (-No. 200 + 0.002mm)	37.4
Colloids (-0.001mm)	22.3

Liquid Limit: **37** Plastic Limit: **20**  
Activity: **0.61**

Plasticity Index: **17**  
Spec. Gravity: **2.656**

AASHTO Classification: **A-6 (9)**  
Unified Classification: **CL**

D 10 (mm):	0.000
D 30 (mm):	0.003
D 50 (mm):	0.017
D 60 (mm):	0.046
D 90 (mm):	22.134
D 95 (mm):	32.059

NAT MT = **22.29**  
LIQ = **0.13466**

Sieve Type: **With Gravel**  
Notes:   
Silts + Clays + Colloids: **N/A**

Cu = 

Cc = 

Remarks:

Copies:

Project ID: <u>R-050-2014</u> Item Number: <u>05-9005.00</u>		<u>Oldham - I-71 MP 22.5-24.7</u>		Project Type: <u>Roadway</u> Project Manager: <u>Jason Wright</u>					
Hole Number <u>4</u> Surface Elevation <u>891.2'</u> Total Depth <u>13.4'</u> Location <u>23+48.00 8.0' Lt.</u>		Immediate Water Depth <u>NA</u> Static Water Depth <u>NA</u> Driller <u>K. Clements</u>		Start Date <u>08/12/2014</u> End Date <u>08/12/2014</u> Latitude(83) <u>    </u> Longitude(83) <u>    </u>		Hole Type <u>sample</u> Rig_Number <u>45C3</u>			
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
5  882.7	8.5	Medium soft, brown, moist, clay with boulders.		SPT1	2.0-3.5	0.7	11-10-4	SPT	5  5
			SPT2	7.0-8.5	1.0	1-2-2	SPT		
10  878.0	13.2	Stiff, brown, moist, clay with rock fragments.							10  10
			SPT3	12.0-13.2	1.1	13-20-50/0.20	SPT		
15  20  25  30  35  40  45  50		(Bottom of Hole 13.4') (Refusal @ 13.4)							15  20  25  30  35  40  45  50

Soil Classification and Gradation Test Results

Project ID: <u>R-050-2014</u>	<u>Oldham - I-71 MP 22.5-24.7</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9005.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>23+48 8.0' Lt.</u>	Hole #:	<u>4</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	95.7
3/4"	91.0	3/8"	85.5	No. 4	83.3
No. 10	82.3	No. 40	79.1	No. 200	74.8
0.002 mm	37.1				

Gravel (-3" + No. 10)	17.7	Coarse Sand (-No. 10 + No. 40)	3.2
Fine Sand (-No. 40 +No. 200)	4.3	Silts (-No. 200 + 0.002mm)	37.7
Clay (-0.002mm)	37.1	Colloids (-0.001mm)	31.6

Liquid Limit:	<u>44</u>	Plastic Limit:	<u>22</u>	Plasticity Index:	<u>22</u>
		Activity:	<u>0.59</u>	Spec. Gravity:	<u>2.757</u>

AASHTO Classification:	<u>A-7-6 (16)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.007</u>
D 60 (mm):	<u>0.018</u>
D 90 (mm):	<u>16.811</u>
D 95 (mm):	<u>23.964</u>

NAT MT =	<u>15.92</u>
LIQ =	<u>-0.27620</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-050-2014</u>	<u>Oldham - I-71 MP 22.5-24.7</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9005.00</u>		Project Manager: <u>Jason Wright</u>

Location:	23+48 8.0' Lt.	Hole #:	4
Lab ID#:	SPT2	Depth (ft):	7-8.5

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	95.7
3/4"	91.0	3/8"	85.5	No. 4	83.3
No. 10	82.3	No. 40	79.1	No. 200	74.8
0.002 mm	37.1				

Gravel (-3" + No. 10)	17.7	Coarse Sand (-No. 10 + No. 40)	3.2
Fine Sand (-No. 40 +No. 200)	4.3	Silts (-No. 200 + 0.002mm)	37.7
Clay (-0.002mm)	37.1	Colloids (-0.001mm)	31.6

Liquid Limit:	44	Plastic Limit:	22	Plasticity Index:	22
		Activity:	0.59	Spec. Gravity:	2.757

AASHTO Classification:	A-7-6 (16)
Unified Classification:	CL

D 10 (mm):	0.000
D 30 (mm):	0.000
D 50 (mm):	0.007
D 60 (mm):	0.018
D 90 (mm):	16.811
D 95 (mm):	23.964

NAT MT =	15.92
LIQ =	-0.27620

Sieve Type:	With Gravel
Notes:	
Silts + Clays + Colloids:	N/A

Cu =	
Cc =	

Remarks:

Copies:

Geotech Firm: Kentucky Transportation Cabinet

For: Division of Structural Design

Printed: 9/2/14

Geotechnical Branch

**Soil Classification and Gradation Test Results**

Page 10 of 10

Project ID: **R-050-2014**  
 Item Number: **05-9005.00**

**Oldham - I-71 MP 22.5-24.7**

Project Type: **Roadway**  
 Project Manager: **Jason Wright**

Location: **23+48 8.0' Lt.**  
 Lab ID#: **SPT3**

Hole #: **4**  
 Depth (ft): **12-13.2**

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	83.5
3/4"	74.4	3/8"	68.4	No. 4	66.7
No. 10	64.9	No. 40	62.5	No. 200	56.4
0.002 mm	23.0				

Gravel (-3" + No. 10)	35.1	Coarse Sand (-No. 10 + No. 40)	2.3
Fine Sand (-No. 40 + No. 200)	6.2	Silts (-No. 200 + 0.002mm)	33.4
Clay (-0.002mm)	23.0	Colloids (-0.001mm)	18.6

Liquid Limit: **35** Plastic Limit: **18**  
 Activity: **0.74**

Plasticity Index: **17**  
 Spec. Gravity: **2.697**

AASHTO Classification: **A-6 (7)**  
 Unified Classification: **CL**

D 10 (mm):	0.000
D 30 (mm):	0.004
D 50 (mm):	0.038
D 60 (mm):	0.208
D 90 (mm):	32.819
D 95 (mm):	40.509

NAT MT = **16.86**  
 LIQ = **-0.06703**

Sieve Type: **With Gravel**  
 Notes:   
 Silts + Clays + Colloids: **N/A**


Cu = Cc = **Remarks:****Copies:**

**(R-049-2014)**

**MEMORANDUM**

**TO: Kevin Martin, PE**  
**Office of Project Development**  
**Division of Highway Design**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: September 4, 2014**

**SUBJECT: Henry County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 24.7 to 28.06**  
**Mars # 8916801D**  
**Item # 5-9004.00**  
**Geotechnical Testing and Driller Logs**

Drilling activities were completed in August 2014. The summary of soil conditions represents soils within the stated project limits. Boring locations were located at provided anchor points and drilled 8 feet from shoulder. The boring plan is attached. At each hole SPT samples were taken and the associated blow counts were recorded. The Driller's Subsurface Logs contain the depth of the hole, SPT values, soil description and depth to refusal (if encountered). All testing is attached.

**Mile Points on the logs are listed as stations, i.e. 25+80 is mile point 25.80**

**The average Frost Depth for Kentucky is 2.0 feet.**

If there are any questions, please contact the Geotechnical Branch at (502) 564-2374.


**Attachments:**

**BP for R-049-2014**

**MEMORANDUM**

**TO: Jonathan West, PE**  
**TEBM Project Development**  
**District 5, Louisville**

**FROM: Bart Asher, PE**  
**Geotechnical Branch Manager**  
**Division of Structural Design**

**BY: Jason Wright**   
**Geotechnical Branch**

**DATE: July 22, 2014**

**SUBJECT: Henry County**  
**I-71 Median-Cable Guardrail**  
**Mile Post 24.7 to 28.06**  
**Mars # 8916801D**  
**Item # 5-9004.00**  
**Subsurface Boring Locations**

The following list of borings is required to complete the Geotechnical Report for this project. Stantec will be responsible for drilling, sampling, coordination of traffic control and having utilities marked for all borings. The district will be responsible for staking. Please include hole number and mile point on drilling logs. The drilling will be as follows:

We request the staking be completed as soon as possible. Please contact the Geotechnical Branch once staking is completed.

**I. Standard Penetration Test (SPT) -** A SPT shall be taken at the following depths or to top of bedded material whichever occurs first: **2', 7', 12', 15'**. **If recovery is less than 5/10th obtain a sample bag.**

**NOTE:** Please note the following on the drilling logs:

1. Boring located in a cut or fill?
2. Were boulders encountered?
3. Is area wet and what depth was water encountered

**Standard Penetration Test (SPT)**

<b><u>Hole #</u></b>	<b><u>Milepost</u></b>	<b><u>Offset (feet)</u></b>	<b><u>Northbound/Southbound</u></b>
5	25.80	8' from inside shoulder	Northbound
6	26.91	8' from inside shoulder	Northbound
7	26.92	8' from inside shoulder	Northbound
8	28.06	8' from inside shoulder	Northbound

If you have any questions, please contact Jason Wright at 502-564-2374 ext. 302

Project ID: <u>R-049-2014</u>		<u>Henry - I-71 MP 24.7-28.1</u>		Project Type: <u>Roadway</u>					
Item Number: <u>05-9004.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>5</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/12/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>781.3'</u>		Static Water Depth <u>NA</u>		End Date <u>08/12/2014</u>		Rig_Number <u>45C2</u>			
Total Depth <u>1.9'</u>		Driller <u>K. Clements</u>		Latitude(83) <u>    </u>					
Location <u>25+80.00 8.0' Lt.</u>				Longitude(83) <u>    </u>					
Lithology		Overburden		Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth	Description		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	
779.9	1.4	Overburden.							
5		(Bottom of Hole 1.9') (Refusal @ 1.9)							5
10									10
15									15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Project ID: <u>R-049-2014</u>		<u>Henry - I-71 MP 24.7-28.1</u>		Project Type: <u>Roadway</u>					
Item Number: <u>05-9004.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>6</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/12/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>823.5'</u>		Static Water Depth <u>NA</u>		End Date <u>08/12/2014</u>		Rig_Number <u>45C2</u>			
Total Depth <u>14.2'</u>		Driller <u>K. Clements</u>		Latitude(83) <u>    </u>					
Location <u>26+91.00 8.0' Lt.</u>				Longitude(83) <u>    </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
		Stiff, brown, moist, clay with rock fragments.							
5	818.8		4.7	SPT1	2.0-3.5	1.2	4-8-13	SPT	
		Soft, dark gray, clay.							5
	816.9		6.6						
		Medium stiff, brown, moist, clay.	SPT2	7.0-8.5	1.5	3-4-4	SPT		10
10									
	811.3	12.2							
			SPT3	12.0-12.4	0.4	50/0.40'	SPT		
15		(Bottom of Hole 14.2') (Refusal @ 14.2)							15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Soil Classification and Gradation Test Results

Project ID: <u>R-049-2014</u>	<u>Henry - I-71 MP 24.7-28.1</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9004.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>26+91 8.0' Lt.</u>	Hole #:	<u>6</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>100.0</u>	3/8"	<u>100.0</u>	No. 4	<u>100.0</u>
No. 10	<u>100.0</u>	No. 40	<u>97.7</u>	No. 200	<u>88.3</u>
0.002 mm	<u>37.4</u>				

Gravel (-3" + No. 10)	<u>0.0</u>	Coarse Sand (-No. 10 + No. 40)	<u>2.3</u>
Fine Sand (-No. 40 +No. 200)	<u>9.5</u>	Silts (-No. 200 + 0.002mm)	<u>50.9</u>
Clay (-0.002mm)	<u>37.4</u>	Colloids (-0.001mm)	<u>33.5</u>

Liquid Limit:	<u>36</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>17</u>
		Activity:	<u>0.45</u>	Spec. Gravity:	<u>2.659</u>

AASHTO Classification:	<u>A-6 (15)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.005</u>
D 60 (mm):	<u>0.010</u>
D 90 (mm):	<u>0.103</u>
D 95 (mm):	<u>0.258</u>

NAT MT =	<u>16.27</u>
LIQ =	<u>-0.16088</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Project ID: <u>R-049-2014</u>	<u>Henry - I-71 MP 24.7-28.1</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9004.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>26+91 8.0' Lt.</u>	Hole #:	<u>6</u>
Lab ID#:	<u>SPT2</u>	Depth (ft):	<u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>100.0</u>	3/8"	<u>100.0</u>	No. 4	<u>100.0</u>
No. 10	<u>100.0</u>	No. 40	<u>97.7</u>	No. 200	<u>88.2</u>
0.002 mm	<u>43.1</u>				

Gravel (-3" + No. 10)	<u>0.0</u>	Coarse Sand (-No. 10 + No. 40)	<u>2.3</u>
Fine Sand (-No. 40 +No. 200)	<u>9.5</u>	Silts (-No. 200 + 0.002mm)	<u>45.1</u>
Clay (-0.002mm)	<u>43.1</u>	Colloids (-0.001mm)	<u>37.8</u>

Liquid Limit:	<u>44</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>23</u>
		Activity:	<u>0.53</u>	Spec. Gravity:	<u>2.768</u>

AASHTO Classification:	<u>A-7-6 (21)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.003</u>
D 60 (mm):	<u>0.008</u>
D 90 (mm):	<u>0.104</u>
D 95 (mm):	<u>0.258</u>

NAT MT =	<u>21.81</u>
LIQ =	<u>0.03531</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Project ID: <u>R-049-2014</u>	<u>Henry - I-71 MP 24.7-28.1</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9004.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>26+91 8.0' Lt.</u>	Hole #:	<u>6</u>
Lab ID#:	<u>SPT3</u>	Depth (ft):	<u>12-12.4</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>100.0</u>	3/8"	<u>100.0</u>	No. 4	<u>100.0</u>
No. 10	<u>100.0</u>	No. 40	<u>97.7</u>	No. 200	<u>88.2</u>
0.002 mm	<u>43.1</u>				

Gravel (-3" + No. 10)	<u>0.0</u>	Coarse Sand (-No. 10 + No. 40)	<u>2.3</u>
Fine Sand (-No. 40 +No. 200)	<u>9.5</u>	Silts (-No. 200 + 0.002mm)	<u>45.1</u>
Clay (-0.002mm)	<u>43.1</u>	Colloids (-0.001mm)	<u>37.8</u>

Liquid Limit:	<u>44</u>	Plastic Limit:	<u>21</u>	Plasticity Index:	<u>23</u>
		Activity:	<u>0.53</u>	Spec. Gravity:	<u>2.768</u>

AASHTO Classification:	<u>A-7-6 (21)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.003</u>
D 60 (mm):	<u>0.008</u>
D 90 (mm):	<u>0.104</u>
D 95 (mm):	<u>0.258</u>

NAT MT =	<u>21.81</u>
LIQ =	<u>0.03531</u>

Sieve Type:	<u>No Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:



Soil Classification and Gradation Test Results

Project ID: <u>R-049-2014</u>	<u>Henry - I-71 MP 24.7-28.1</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9004.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>26+92 8.0' Lt.</u>	Hole #:	<u>7</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	97.9	3/8"	93.4	No. 4	92.3
No. 10	90.9	No. 40	88.7	No. 200	77.7
0.002 mm	38.0				

Gravel (-3" + No. 10)	9.1	Coarse Sand (-No. 10 + No. 40)	2.3
Fine Sand (-No. 40 +No. 200)	11.0	Silts (-No. 200 + 0.002mm)	39.8
Clay (-0.002mm)	38.0	Colloids (-0.001mm)	32.7

Liquid Limit:	<u>39</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>20</u>
		Activity:	<u>0.53</u>	Spec. Gravity:	<u>2.620</u>

AASHTO Classification:	<u>A-6 (15)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>
D 30 (mm):	<u>0.000</u>
D 50 (mm):	<u>0.006</u>
D 60 (mm):	<u>0.015</u>
D 90 (mm):	<u>1.055</u>
D 95 (mm):	<u>12.161</u>

NAT MT =	<u>22.78</u>
LIQ =	<u>0.18924</u>

Sieve Type:	<u>With Gravel</u>
Notes:	
Silts + Clays + Colloids:	<u>N/A</u>

Cu =	
Cc =	

Remarks:

Copies:

Soil Classification and Gradation Test Results

Project ID: <u>R-049-2014</u>	<u>Henry - I-71 MP 24.7-28.1</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9004.00</u>		Project Manager: <u>Jason Wright</u>

Location: <u>26+92 8.0' Lt.</u>	Hole #: <u>7</u>
Lab ID#: <u>SPT2</u>	Depth (ft): <u>7-8.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	100.0	2"	100.0	1"	100.0
3/4"	97.9	3/8"	93.4	No. 4	92.3
No. 10	90.9	No. 40	88.7	No. 200	77.7
0.002 mm	38.0				

Gravel (-3" + No. 10)	9.1	Coarse Sand (-No. 10 + No. 40)	2.3
Fine Sand (-No. 40 +No. 200)	11.0	Silts (-No. 200 + 0.002mm)	39.8
Clay (-0.002mm)	38.0	Colloids (-0.001mm)	32.7

Liquid Limit: <u>39</u>	Plastic Limit: <u>19</u>	Plasticity Index: <u>20</u>
	Activity: <u>0.53</u>	Spec. Gravity: <u>2.620</u>

AASHTO Classification:	<u>A-6 (15)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	0.000
D 30 (mm):	0.000
D 50 (mm):	0.006
D 60 (mm):	0.015
D 90 (mm):	1.055
D 95 (mm):	12.161

NAT MT =	<u>22.78</u>
LIQ =	<u>0.18924</u>

Sieve Type: <u>With Gravel</u>
Notes: <u></u>
Silts + Clays + Colloids: <u>N/A</u>

Cu =	<u></u>
Cc =	<u></u>

Remarks:

Copies:

Project ID: <u>R-049-2014</u>		<u>Henry - I-71 MP 24.7-28.1</u>		Project Type: <u>Roadway</u>					
Item Number: <u>05-9004.00</u>				Project Manager: <u>Jason Wright</u>					
Hole Number <u>8</u>		Immediate Water Depth <u>NA</u>		Start Date <u>08/12/2014</u>		Hole Type <u>sample</u>			
Surface Elevation <u>791.5'</u>		Static Water Depth <u>NA</u>		End Date <u>08/12/2014</u>		Rig_Number <u>45C2</u>			
Total Depth <u>3.9'</u>		Driller <u>K. Clements</u>		Latitude(83) <u>    </u>					
Location <u>28+06.00 8.0' Lt.</u>				Longitude(83) <u>    </u>					
Lithology		Description	Overburden	Sample No.	Depth (ft)	Rec. (ft)	SPT Blows	Sample Type	Remarks
Elevation	Depth		Rock Core	Std/Ky RQD	Run (ft)	Rec (ft)	Rec (%)	SDI (JS)	
788.0	3.5	Medium stiff, brown, moist, clay with boulders.							
			SPT1	2.0-3.5	0.8	2-4-9	SPT		
5		(Bottom of Hole 3.9') (Refusal @ 3.9)							5
10									10
15									15
20									20
25									25
30									30
35									35
40									40
45									45
50									50

Soil Classification and Gradation Test Results

Project ID: <u>R-049-2014</u>	<u>Henry - I-71 MP 24.7-28.1</u>	Project Type: <u>Roadway</u>
Item Number: <u>05-9004.00</u>		Project Manager: <u>Jason Wright</u>

Location:	<u>28+06 8.0' Lt.</u>	Hole #:	<u>8</u>
Lab ID#:	<u>SPT1</u>	Depth (ft):	<u>2-3.5</u>

Sieve Size	%Passing	Sieve Size	%Passing	Sieve Size	%Passing
3"	<u>100.0</u>	2"	<u>100.0</u>	1"	<u>100.0</u>
3/4"	<u>95.6</u>	3/8"	<u>93.3</u>	No. 4	<u>90.4</u>
No. 10	<u>88.6</u>	No. 40	<u>78.6</u>	No. 200	<u>67.0</u>
0.002 mm	<u>32.5</u>				

Gravel (-3" + No. 10)	<u>11.4</u>	Coarse Sand (-No. 10 + No. 40)	<u>10.0</u>
Fine Sand (-No. 40 +No. 200)	<u>11.6</u>	Silts (-No. 200 + 0.002mm)	<u>34.5</u>
Clay (-0.002mm)	<u>32.5</u>	Colloids (-0.001mm)	<u>27.5</u>

Liquid Limit:	<u>40</u>	Plastic Limit:	<u>19</u>	Plasticity Index:	<u>21</u>
		Activity:	<u>0.65</u>	Spec. Gravity:	<u>2.698</u>

AASHTO Classification:	<u>A-6 (12)</u>
Unified Classification:	<u>CL</u>

D 10 (mm):	<u>0.000</u>	NAT MT =	<u>20.57</u>
D 30 (mm):	<u>0.001</u>	LIQ =	<u>0.07464</u>
D 50 (mm):	<u>0.013</u>		
D 60 (mm):	<u>0.036</u>		
D 90 (mm):	<u>3.936</u>		
D 95 (mm):	<u>15.964</u>		

Sieve Type:	<u>With Gravel</u>	Cu =	<u></u>
Notes:	<u></u>	Cc =	<u></u>
Silts + Clays + Colloids:	<u>N/A</u>		

Remarks:

Copies:



## Right-of-Way Certification Form

Revised 2/22/11

☒ Federal Funded

☒ Original

☐ State Funded

☐ Re-Certification

This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded Interstate, Appalachia, and Major projects. This form shall also be submitted to FHWA for all federal-aid projects that fall under Conditions No. 2 or 3 outlined elsewhere in this form. When Condition No. 2 or 3 apply, KYTC shall resubmit this ROW Certification prior to construction contract Award. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.

Date: August 1, 2014

Project Name: Cable Barrier

Letting Date: \_\_\_\_\_

Project #: \_\_\_\_\_

County: Oldham/Henry

Item #: 05-9005.00 and 05-9004.00

Federal #: HSIP 0711 (109)

Description of Project: Cable Barrier on I-71 (MP 22.55-28.0),

### Projects that require NO new or additional right-of-way acquisitions and/or relocations

- ☒ The proposed transportation improvement will be built within the existing rights-of-way and there are no properties to be acquired, individuals, families, and businesses ("relocatees") to be relocated, or improvements to be removed as a part of this project.

### Projects that require new or additional right-of-way acquisitions and/or relocations

- ☐ Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program and that at least one of the following three conditions has been met. (Check those that apply.)
- ☐ **Condition 1.** All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Fair market value has been paid or deposited with the court.
- ☐ **Condition 2.** Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract. (See note 1 below.)

**Note 1:** The KYTC shall re-submit a right-of-way certification form for this project prior to AWARD of all Federal-Aid construction contracts. Award must not to be made until after KYTC has obtained full legal possession and fair market value for all parcels has been paid or deposited with the court and FHWA has concurred in the re-submitted right-of-way certification.

## Right-of-Way Certification Form

Revised 2/22/11

- ☐ Condition 3. The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

**Note 2:** The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

Approved: Ron Geveden

Printed Name



Signature

Right-of-Way Supervisor

Approved:

DM Long  
Printed Name

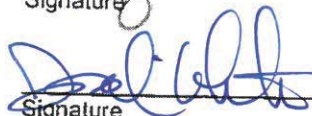


Signature

Atty 05 August 2014  
KYTC, Director of ROW & Utilities

Approved:

Deil Wintworth  
Printed Name



Signature

8/6/14  
FHWA, ROW Officer (when applicable)



## Right-of-Way Certification Form

Revised 2/22/11

Date: August 1, 2014

Project Name: Cable Barrier

Project #: \_\_\_\_\_

Item #: 05-9005.00

Letting Date: \_\_\_\_\_

County: Oldham/Henry

Federal #: HSIP 0711 (109)

This project has 0 total number of parcels to be acquired, and 0 total number of individuals or families to be relocated, as well as 0 total number of businesses to be relocated.

\_\_\_\_\_ Parcels where acquired by a signed fee simple deed and fair market value has been paid

\_\_\_\_\_ Parcels have been acquired by IOJ through condemnation and fair market value has been deposited with the court

\_\_\_\_\_ Parcels have not been acquired at this time (*explain below for each parcel*)

\_\_\_\_\_ Parcels have been acquired or have a "right of entry" but fair market value has not been paid or has not been deposited with the court (*explain below for each parcel*)

\_\_\_\_\_ Relocates have not been relocated from parcels \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_ (*explain below for each parcel*)

Parcel #	Name/Station	Explanation for delayed acquisition, delayed relocation, or delayed payment of fair market value	Proposed date of payment or of relocation

There are 0 billboards and/or 0 cemeteries involved on this project

There are 0 water or monitoring wells on parcels \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_. All have been acquired and are the responsibility of the project contractor to close/cap.

Form Effective Date: April 1, 2006  
Last Revised: February 22, 2011

## Right-of-Way Certification Form

Revised 2/22/11

☒ Federal Funded

☒ Original

☐ State Funded

☐ Re-Certification

This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded Interstate, Appalachia, and Major projects. This form shall also be submitted to FHWA for all federal-aid projects that fall under Conditions No. 2 or 3 outlined elsewhere in this form. When Condition No. 2 or 3 apply, KYTC shall resubmit this ROW Certification prior to construction contract Award. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.

Date: May 13, 2014

Project Name: Cable Median Barrier I-71(MP69.9 to 77.0)

Letting Date: 09-26-2014

Project #: Unknown

County: Boone

Item #: 06-9007.00

Federal #: HSIP-SAF-FD52

Description of Project: Install Cable Median Barrier on I-71 beginning at Gallatin County Line MP 69.9 to I-71 - I-75 Overpass MP 77.0

### Projects that require **NO** new or additional right-of-way acquisitions and/or relocations

- ☒ The proposed transportation improvement will be built within the existing rights-of-way and there are no properties to be acquired, individuals, families, and businesses ("relocatees") to be relocated, or improvements to be removed as a part of this project.

### Projects that require new or additional right-of-way acquisitions and/or relocations

- ☐ Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program and that at least one of the following three conditions has been met. (Check those that apply.)

- ☐ **Condition 1.** All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Fair market value has been paid or deposited with the court.

- ☐ **Condition 2.** Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract. (See note 1 below.)

**Note 1:** The KYTC shall re-submit a right-of-way certification form for this project prior to AWARD of all Federal-Aid construction contracts. Award must not to be made until after KYTC has obtained full legal possession and fair market value for all parcels has been paid or deposited with the court and FHWA has concurred in the re-submitted right-of-way certification.

## Right-of-Way Certification Form

Revised 2/22/11

- ☐ **Condition 3.** The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

**Note 2:** The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

Approved: Eric J. Kinman  Right-of-Way Supervisor  
Printed Name Signature

Approved: Keith McDonald  5/13/14  
Printed Name Signature KYTC, Director of ROW & Utilities

Approved: \_\_\_\_\_  
Printed Name

**No Signature Required**  
**as per FHWA - KYTC**  
**2013 Stewardship Agreement**

\_\_\_\_\_  
Signature FHWA, ROW Officer (when applicable)

## Right-of-Way Certification Form

Revised 2/22/11

Date: May 13, 2014

Project Name: Cable Median Barrier I-71(MP69.9 to 77.0)

Project #: \_\_\_\_\_

Item #: 06-9007.00

Letting Date: 09-26-2014

County: Boone

Federal #: HSIP-SAF-FD 52

This project has 0 total number of parcels to be acquired, and 0 total number of individuals or families to be relocated, as well as 0 total number of businesses to be relocated.

\_\_\_\_\_ Parcels where acquired by a signed fee simple deed and fair market value has been paid

\_\_\_\_\_ Parcels have been acquired by IOJ through condemnation and fair market value has been deposited with the court

\_\_\_\_\_ Parcels have not been acquired at this time (*explain below for each parcel*)

\_\_\_\_\_ Parcels have been acquired or have a "right of entry" but fair market value has not been paid or has not been deposited with the court (*explain below for each parcel*)

\_\_\_\_\_ Relocatees have not been relocated from parcels \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_ (*explain below for each parcel*)

Parcel #	Name/Station	Explanation for delayed acquisition, delayed relocation, or delayed payment of fair market value	Proposed date of payment or of relocation

There are 0 billboards and/or 0 cemeteries involved on this project.

There are 0 water or monitoring wells on parcels \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_. All have been acquired and are the responsibility of the project contractor to close/cap.

Form Effective Date: April 1, 2006

Last Revised: February 22, 2011

## Right-of-Way Certification Form

Revised 2/22/11

☒ Federal Funded

☒ Original

☐ State Funded

☐ Re-Certification

This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded Interstate, Appalachia, and Major projects. This form shall also be submitted to FHWA for all federal-aid projects that fall under Conditions No. 2 or 3 outlined elsewhere in this form. When Condition No. 2 or 3 apply, KYTC shall resubmit this ROW Certification prior to construction contract Award. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.

Date: May 13, 2014

Project Name: Cable Median Barrier I-71(MP38.8 to 50.8)

Letting Date: 09-26-2014

Project #: Unknown

County: Carroll

Item #: 06-9008.00

Federal #: HSIP-SAF-FD52

Description of Project: Install Cable Median Barrier on I-71 beginning at Trimble County Line MP 38.8 to 151 Mile NE Ghent-Eagle Road MP 50.8

### Projects that require NO new or additional right-of-way acquisitions and/or relocations

- ☒ The proposed transportation improvement will be built within the existing rights-of-way and there are no properties to be acquired, individuals, families, and businesses ("relocatees") to be relocated, or improvements to be removed as a part of this project.

### Projects that require new or additional right-of-way acquisitions and/or relocations

- ☐ Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program and that at least one of the following three conditions has been met. (Check those that apply.)

- ☐ **Condition 1.** All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Fair market value has been paid or deposited with the court.

- ☐ **Condition 2.** Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract. (See note 1 below.)

**Note 1:** The KYTC shall re-submit a right-of-way certification form for this project prior to AWARD of all Federal-Aid construction contracts. Award must not to be made until after KYTC has obtained full legal possession and fair market value for all parcels has been paid or deposited with the court and FHWA has concurred in the re-submitted right-of-way certification.



## Right-of-Way Certification Form

Revised 2/22/11

- ☐ **Condition 3.** The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

**Note 2:** The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

Approved: Eric J. Kinman  Right-of-Way Supervisor  
Printed Name Signature

Approved: Keith McDonald  5/13/14  
Printed Name Signature KYTC, Director of ROW & Utilities

Approved: \_\_\_\_\_  
Printed Name Signature  
**No Signature Required as per FHWA - KYTC 2013 Stewardship Agreement** FHWA, ROW Officer (when applicable)

## Right-of-Way Certification Form

Revised 2/22/11

Date: May 13, 2014

Project Name: Cable Median Barrier I-71(MP38.8 to 50.8)

Project #: Unknown

Item #: 06-9008.00

Letting Date: 09-26-2014

County: Carroll

Federal #: HSIP-SAF-FD 52

This project has 0 total number of parcels to be acquired, and 0 total number of individuals or families to be relocated, as well as 0 total number of businesses to be relocated.

- ☐ Parcels were acquired by a signed fee simple deed and fair market value has been paid
- ☐ Parcels have been acquired by IOJ through condemnation and fair market value has been deposited with the court
- ☐ Parcels have not been acquired at this time (*explain below for each parcel*)
- ☐ Parcels have been acquired or have a "right of entry" but fair market value has not been paid or has not been deposited with the court (*explain below for each parcel*)
- ☐ Relocatees have not been relocated from parcels       ,       ,       ,       ,       ,       , and        (*explain below for each parcel*)

Parcel #	Name/Station	Explanation for delayed acquisition, delayed relocation, or delayed payment of fair market value	Proposed date of payment or of relocation

There are 0 billboards and/or 0 cemeteries involved on this project.

There are 0 water or monitoring wells on parcels       ,       ,       ,       , and       . All have been acquired and are the responsibility of the project contractor to close/cap.

Form Effective Date: April 1, 2006  
Last Revised: February 22, 2011

MATERIAL SUMMARY

CONTRACT ID: 141056

121GR14D056-HSIP

DE00800711456

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN BOONE COUNTY BEGINNING AT GALLATIN COUNTY LINE (MP 69.9) TO 0.37 MILE NE OF I-71/I-75 OVERPASS(MP 77.0). GUARDRAIL.

Project Line No	Bid Code	DESCRIPTION	Quantity	Unit
0001	00001	DGA BASE	2,696.00	TON
0002	00100	ASPHALT SEAL AGGREGATE	313.00	TON
0003	00103	ASPHALT SEAL COAT	38.00	TON
0004	02562	TEMPORARY SIGNS	500.00	SQFT
0005	02650	MAINTAIN & CONTROL TRAFFIC	1.00	LS
0006	02671	PORTABLE CHANGEABLE MESSAGE SIGN	4.00	EACH
0007	02705	SILT TRAP TYPE C	65.00	EACH
0008	02726	STAKING	1.00	LS
0009	02775	ARROW PANEL	2.00	EACH
0010	06427	TRENCHING	35,164.80	LF
0011	20411ED	LAW ENFORCEMENT OFFICER	450.00	HOURL
0012	22415EN	CONCRETE CLASS A FOR PAD	15,628.80	SQYD
0013	23147EN	HIGH TENSION CABLE-ROPE BARRIER	35,164.80	LF
0014	23148EN	END ANCHORS	8.00	EACH
0015	24560EN	EROSION CONTROL BLANKET-SHORT TERM	46,866.00	SQYD
0016	02569	DEMOBILIZATION	1.00	LS



MATERIAL SUMMARY

CONTRACT ID: 141056

121GR14D056-HSIP

DE02100711456

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN CARROLL COUNTY BEGINNING AT THE TRIMBLE COUNTY LINE(MP 38.808) TO 0.151 MILE NE OF GHENT-EAGLE ROAD(MP 50.8). GUARDRAIL.

Project Line No	Bid Code	DESCRIPTION	Quantity	Unit
0001	00001	DGA BASE	4,465.00	TON
0002	00100	ASPHALT SEAL AGGREGATE	518.00	TON
0003	00103	ASPHALT SEAL COAT	63.00	TON
0004	02562	TEMPORARY SIGNS	500.00	SQFT
0005	02650	MAINTAIN & CONTROL TRAFFIC	1.00	LS
0006	02671	PORTABLE CHANGEABLE MESSAGE SIGN	4.00	EACH
0007	02705	SILT TRAP TYPE C	83.00	EACH
0008	02726	STAKING	1.00	LS
0009	02775	ARROW PANEL	2.00	EACH
0010	06427	TRENCHING	58,238.40	LF
0011	20411ED	LAW ENFORCEMENT OFFICER	640.00	HOURL
0012	22415EN	CONCRETE CLASS A FOR PAD	25,833.70	SQYD
0013	23147EN	HIGH TENSION CABLE-ROPE BARRIER	58,238.40	LF
0014	23148EN	END ANCHORS	18.00	EACH
0015	24560EN	EROSION CONTROL BLANKET-SHORT TERM	77,651.00	SQYD
0016	02569	DEMOBILIZATION	1.00	LS

MATERIAL SUMMARY

CONTRACT ID: 141056

121GR14D056-HSIP

DE05200711456

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN HENRY COUNTY BEGINNING AT OLDHAM COUNTY LINE (MP 24.727) TO 0.33 MILE NE OF KY-153(MP 28.0). GUARDRAIL.

Project Line No	Bid Code	DESCRIPTION	Quantity	Unit
0001	00001	DGA BASE	910.80	TON
0002	00100	ASPHALT SEAL AGGREGATE	105.60	TON
0003	00103	ASPHALT SEAL COAT	13.00	TON
0004	02562	TEMPORARY SIGNS	450.00	SQFT
0005	02650	MAINTAIN & CONTROL TRAFFIC	1.00	LS
0006	02671	PORTABLE CHANGEABLE MESSAGE SIGN	4.00	EACH
0007	02705	SILT TRAP TYPE C	14.00	EACH
0008	02726	STAKING	1.00	LS
0009	02775	ARROW PANEL	2.00	EACH
0010	06427	TRENCHING	11,880.00	LF
0011	20411ED	LAW ENFORCEMENT OFFICER	290.00	HOURL
0012	22415EN	CONCRETE CLASS A FOR PAD	5,280.00	SQYD
0013	23147EN	HIGH TENSION CABLE-ROPE BARRIER	11,880.00	LF
0014	23148EN	END ANCHORS	4.00	EACH
0015	24560EN	EROSION CONTROL BLANKET-SHORT TERM	15,840.00	SQYD
0016	02569	DEMOBILIZATION	1.00	LS

CONTRACT ID: 141056

121GR14D056-HSIP

DE09300711456

I-71 INSTALL CABLE MEDIAN BARRIER ON I-71 IN OLDHAM COUNTY FROM 0.722 MILE NEW OF KY-53(MP 22.55) TO THE HENRY COUNTY LINE(MP 24.727). GUARDRAIL.

Project Line No	Bid Code	DESCRIPTION	Quantity	Unit
0001	00001	DGA BASE	368.40	TON
0002	00100	ASPHALT SEAL AGGREGATE	42.70	TON
0003	00103	ASPHALT SEAL COAT	5.00	TON
0004	02562	TEMPORARY SIGNS	50.00	SQFT
0005	02650	MAINTAIN & CONTROL TRAFFIC	1.00	LS
0006	02705	SILT TRAP TYPE C	7.00	EACH
0007	02726	STAKING	1.00	LS
0008	06427	TRENCHING	4,804.80	LF
0009	22415EN	CONCRETE CLASS A FOR PAD	2,136.00	SQYD
0010	23147EN	HIGH TENSION CABLE-ROPE BARRIER	4,804.80	LF
0011	23148EN	END ANCHORS	4.00	EACH
0012	24560EN	EROSION CONTROL BLANKET-SHORT TERM	6,406.40	SQYD
0013	02569	DEMOBILIZATION	1.00	LS

## **PART II**

### **SPECIFICATIONS AND STANDARD DRAWINGS**

### **SPECIFICATIONS REFERENCE**

Any reference in the plans or proposal to previous editions of the *Standard Specifications for Road and Bridge Construction* and *Standard Drawings* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2012* and *Standard Drawings, Edition of 2012 with the 2012 Revision*.

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<b>Subsection:</b>	102.15 Process Agent.
<b>Revision:</b>	Replace the 1st paragraph with the following: Every corporation doing business with the Department shall submit evidence of compliance with KRS Sections 14A.4-010, 271B.11-010, 271B.11-070, 271B.11-080, 271B.5-010 and 271B.16-220, and file with the Department the name and address of the process agent upon whom process may be served.
<b>Subsection:</b>	105.13 Claims Resolution Process.
<b>Revision:</b>	Delete all references to TC 63-34 and TC 63-44 from the subsection as these forms are no longer available through the forms library and are forms generated within the AASHTO SiteManager software.
<b>Subsection:</b>	108.03 Preconstruction Conference.
<b>Revision:</b>	Replace 8) Staking with the following: 8) Staking (designated by a Professional Engineer or Land Surveyor licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	109.07.02 Fuel.
<b>Revision:</b>	Revise item Crushed Aggregate Used for Embankment Stabilization to the following: Crushed Aggregate Used for Stabilization of Unsuitable Materials Used for Embankment Stabilization
	Delete the following item from the table. <del>Crushed Sandstone Base (Cement Treated)</del>
<b>Subsection:</b>	110.02 Demobilization.
<b>Revision:</b>	Replace the first part of the first sentence of the second paragraph with the following: Perform all work and operations necessary to accomplish final clean-up as specified in the first paragraph of Subsection 105.12;
<b>Subsection:</b>	112.03.12 Project Traffic Coordinator (PTC).
<b>Revision:</b>	Replace the last paragraph of this subsection with the following: Ensure the designated PTC has sufficient skill and experience to properly perform the task assigned and has successfully completed the qualification courses.
<b>Subsection:</b>	112.04.18 Diversions (By-Pass Detours).
<b>Revision:</b>	Insert the following sentence after the 2nd sentence of this subsection. The Department will not measure temporary drainage structures for payment when the contract documents provide the required drainage opening that must be maintained with the diversion. The temporary drainage structures shall be incidental to the construction of the diversion. If the contract documents fail to provide the required drainage opening needed for the diversion, the cost of the temporary drainage structure will be handled as extra work in accordance with section 109.04.
<b>Subsection:</b>	201.03.01 Contractor Staking.
<b>Revision:</b>	Replace the first paragraph with the following: Perform all necessary surveying under the general supervision of a Professional Engineer or Land Surveyor licensed in the Commonwealth of Kentucky.

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<b>Subsection:</b>	201.04.01 Contractor Staking.
<b>Revision:</b>	Replace the last sentence of the paragraph with the following: Complete the general layout of the project under the supervision of a Professional Engineer or Land Surveyor licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	206.04.01 Embankment-in-Place.
<b>Revision:</b>	Replace the fourth paragraph with the following: The Department will not measure <b>suitable</b> excavation included in the original plans that is disposed of for payment and will consider it incidental to Embankment-in-Place.
<b>Subsection:</b>	208.02.01 Cement.
<b>Revision:</b>	Replace paragraph with the following: Select Type I or Type II cement conforming to Section 801. Use the same type cement throughout the work.
<b>Subsection:</b>	208.03.06 Curing and Protection.
<b>Revision:</b>	Replace the fourth paragraph with the following: Do not allow traffic or equipment on the finished surface until the stabilized subgrade has cured for a total of 7-days with an ambient air temperature above 40 degrees Fahrenheit. A curing day consists of a continuous 24-hour period in which the ambient air temperature does not fall below 40 degrees Fahrenheit. Curing days will not be calculated consecutively, but must total seven (7) , 24-hour days with the ambient air temperature remaining at or above 40 degrees Fahrenheit before traffic or equipment will be allowed to traverse the stabilized subgrade. The Department may allow a shortened curing period when the Contractor requests. The Contractor shall give the Department at least 3 day notice of the request for a shortened curing period. The Department will require a minimum of 3 curing days after final compaction. The Contractor shall furnish cores to the treated depth of the roadbed at 500 feet intervals for each lane when a shortened curing time is requested. The Department will test cores using an unconfined compression test. Roadbed cores must achieve a minimum strength requirement of 80 psi.
<b>Subsection:</b>	208.03.06 Curing and Protection.
<b>Revision:</b>	Replace paragraph eight with the following: At no expense to the Department, repair any damage to the subgrade caused by freezing.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	A) Seed Mixtures for Permanent Seeding.
<b>Revision:</b>	Revise <b>Seed Mix Type I</b> to the mixture shown below: 50% Kentucky 31 Tall Fescue (Festuca arundinacea) 35% Hard Fescue (Festuca (Festuca longifolia) 10% Ryegrass, Perennial (Lolium perenne) 5% White Dutch Clover (Trifolium repens)
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	A) Seed Mixtures for Permanent Seeding.
<b>Number:</b>	2)
<b>Revision:</b>	Replace the paragraph with the following: Permanent Seeding on Slopes Greater than 3:1 in Highway Districts 4, 5, 6, and 7. Apply seed mix Type II at a minimum application rate of 100 pounds per acre. If adjacent to a golf course replace the crown vetch with Kentucky 31 Tall Fescue.

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<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	A) Seed Mixtures for Permanent Seeding.
<b>Number:</b>	3)
<b>Revision:</b>	Replace the paragraph with the following: Permanent Seeding on Slopes Greater than 3:1 in Highway Districts 1, 2, 3, 8, 9, 10, 11, and 12. Apply seed mix Type III at a minimum application rate of 100 pounds per acre. If adjacent to crop land or golf course, replace the Sericea Lespedeza with Kentucky 31 Fescue.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	B) Procedures for Permanent Seeding.
<b>Revision:</b>	Delete the first sentence of the section.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	B) Procedures for Permanent Seeding.
<b>Revision:</b>	Replace the second and third sentence of the section with the following: Prepare a seedbed and apply an initial fertilizer that contains a minimum of 100 pounds of nitrogen, 100 pounds of phosphate, and 100 pounds of potash per acre. Apply agricultural limestone to the seedbed when the Engineer determines it is needed. When required, place agricultural limestone at a rate of 3 tons per acre.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	D) Top Dressing.
<b>Revision:</b>	Change the title of part to D) Fertilizer.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	D) Fertilizer.
<b>Revision:</b>	Replace the first paragraph with the following: Apply fertilizer at the beginning of the seeding operation and after vegetation is established. Use fertilizer delivered to the project in bags or bulk. Apply initial fertilizer to all areas prior to the seeding or sodding operation at the application rate specified in 212.03.03 B). Apply 20-10-10 fertilizer to the areas after vegetation has been established at a rate of 11.5 pounds per 1,000 square feet. Obtain approval from the Engineer prior to the 2nd fertilizer application. Reapply fertilizer to any area that has a streaked appearance. The reapplication shall be at no additional cost to the Department. Re-establish any vegetation severely damaged or destroyed because of an excessive application of fertilizer at no cost to the Department.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	D) Fertilizer.
<b>Revision:</b>	Delete the second paragraph.
<b>Subsection:</b>	212.04.04 Agricultural Limestone.
<b>Revision:</b>	Replace the entire section with the following: The Department will measure the quantity of agricultural limestone in tons.
<b>Subsection:</b>	212.04.05 Fertilizer.
<b>Revision:</b>	Replace the entire section with the following: The Department will measure fertilizer used in the seeding or sodding operations for payment. The Department will measure the quantity by tons.

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<b>Subsection:</b>	212.05 PAYMENT.		
<b>Revision:</b>	Delete the following item code:		
	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
	05966	Topdressing Fertilizer	Ton
<b>Subsection:</b>	212.05 PAYMENT.		
<b>Revision:</b>	Add the following pay items:		
	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
	05963	Initial Fertilizer	Ton
	05964	20-10-10 Fertilizer	Ton
	05992	Agricultural Limestone	Ton
<b>Subsection:</b>	213.03.02 Progress Requirements.		
<b>Revision:</b>	Replace the last sentence of the third paragraph with the following: Additionally, the Department will apply a penalty equal to the liquidated damages when all aspects of the work are not coordinated in an acceptable manner within 7 calendar days after written notification.		
<b>Subsection:</b>	213.03.05 Temporary Control Measures.		
<b>Part:</b>	E) Temporary Seeding and Protection.		
<b>Revision:</b>	Delete the second sentence of the first paragraph.		
<b>Subsection:</b>	304.02.01 Physical Properties.		
<b>Table:</b>	Required Geogrid Properties		
<b>Revision:</b>	Replace all references to Test Method "GRI-GG2-87" with ASTM D 7737.		
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.		
<b>Part:</b>	B) Sampling.		
<b>Revision:</b>	Replace the second sentence with the following: The Department will determine when to obtain the quality control samples using the random-number feature of the mix design submittal and approval spreadsheet. The Department will randomly determine when to obtain the verification samples required in Subsections 402.03.03 and 402.03.04 using the Asphalt Mixture Sample Random Tonnage Generator.		
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.		
<b>Part:</b>	D) Testing Responsibilities.		
<b>Number:</b>	3) VMA.		
<b>Revision:</b>	Add the following paragraph below Number 3) VMA: Retain the AV/VMA specimens and one additional corresponding G <sub>mm</sub> sample for 5 working days for mixture verification testing by the Department. For Specialty Mixtures, retain a mixture sample for 5 working days for mixture verification testing by the Department. When the Department's test results do not verify that the Contractor's quality control test results are within the acceptable tolerances according to Subsection 402.03.03, retain the samples and specimens from the affected subplot(s) for the duration of the project.		
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.		
<b>Part:</b>	D) Testing Responsibilities.		
<b>Number:</b>	4) Density.		
<b>Revision:</b>	Replace the second sentence of the Option A paragraph with the following: Perform coring by the end of the following work day.		



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<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.
<b>Part:</b>	D) Testing Responsibilities.
<b>Number:</b>	5) Gradation.
<b>Revision:</b>	Delete the second paragraph.
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.
<b>Part:</b>	H) Unsatisfactory Work.
<b>Number:</b>	1) Based on Lab Data.
<b>Revision:</b>	Replace the second paragraph with the following: When the Engineer determines that safety concerns or other considerations prohibit an immediate shutdown, continue work and the Department will make an evaluation of acceptability according to Subsection 402.03.05.
<b>Subsection:</b>	402.03.03 Verification.
<b>Revision:</b>	Replace the first paragraph with the following: <b>402.03.03 Mixture Verification.</b> For volumetric properties, the Department will perform a minimum of one verification test for AC, AV, and VMA according to the corresponding procedures as given in Subsection 402.03.02. The Department will randomly determine when to obtain the verification sample using the Asphalt Mixture Sample Random Tonnage Generator. For specialty mixtures, the Department will perform one AC and one gradation determination per lot according to the corresponding procedures as given in Subsection 402.03.02. However, Department personnel will not perform AC determinations according to KM 64-405. The Contractor will obtain a quality control sample at the same time the Department obtains the mixture verification sample and perform testing according to the procedures given in Subsection 402.03.02. If the Contractor's quality control sample is verified by the Department's test results within the tolerances provided below, the Contractor's sample will serve as the quality control sample for the affected subplot. The Department may perform the mixture verification test on the Contractor's equipment or on the Department's equipment.
<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	A) Evaluation of Sublot(s) Verified by Department.
<b>Revision:</b>	Replace the third sentence of the second paragraph with the following: When the paired $t$ -test indicates that the Contractor's data and Department's data are possibly not from the same population, the Department will investigate the cause for the difference according to Subsection 402.03.05 and implement corrective measures as the Engineer deems appropriate.
<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	B) Evaluation of Sublots Not Verified by Department.
<b>Revision:</b>	Replace the third sentence of the first paragraph with the following: When differences between test results are not within the tolerances listed below, the Department will resolve the discrepancy according to Subsection 402.03.05.

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<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	B) Evaluation of Sublots Not Verified by Department.
<b>Revision:</b>	Replace the third sentence of the second paragraph with the following: When the <i>F</i> -test or <i>t</i> -test indicates that the Contractor's data and Department's data are possibly not from the same population, the Department will investigate the cause for the difference according to Subsection 402.03.05 and implement corrective measures as the Engineer deems appropriate.
<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	C) Test Data Patterns.
<b>Revision:</b>	Replace the second sentence with the following: When patterns indicate substantial differences between the verified and non-verified sublots, the Department will perform further comparative testing according to subsection 402.03.05.
<b>Subsection:</b>	402.03 CONSTRUCTION.
<b>Revision:</b>	Add the following subsection: <b>402.03.04 Testing Equipment and Technician Verification.</b> For mixtures with a minimum quantity of 20,000 tons and for every 20,000 tons thereafter, the Department will obtain an additional verification sample at random using the Asphalt Mixture Sample Random Tonnage Generator in order to verify the integrity of the Contractor's and Department's laboratory testing equipment and technicians. The Department will obtain a mixture sample of at least 150 lb at the asphalt mixing plant according to KM 64-425 and split it according to AASHTO R 47. The Department will retain one split portion of the sample and provide the other portion to the Contractor. At a later time convenient to both parties, the Department and Contractor will simultaneously reheat the sample to the specified compaction temperature and test the mixture for AV and VMA using separate laboratory equipment according to the corresponding procedures given in Subsection 402.03.02. The Department will evaluate the differences in test results between the two laboratories. When the difference between the results for AV or VMA is not within $\pm 2.0$ percent, the Department will investigate and resolve the discrepancy according to Subsection 402.03.05.
<b>Subsection:</b>	402.03.04 Dispute Resolution.
<b>Revision:</b>	Change the subsection number to 402.03.05.
<b>Subsection:</b>	402.05 PAYMENT.
<b>Part:</b>	Lot Pay Adjustment Schedule Compaction Option A Base and Binder Mixtures
<b>Table:</b>	AC
<b>Revision:</b>	Replace the Deviation from JMF(%) that corresponds to a Pay Value of 0.95 to $\pm 0.6$ .
<b>Subsection:</b>	403.02.10 Material Transfer Vehicle (MTV).
<b>Revision:</b>	Replace the first sentence with the following: In addition to the equipment specified above, provide a MTV with the following minimum characteristics:
<b>Subsection:</b>	412.02.09 Material Transfer Vehicle (MTV).
<b>Revision:</b>	Replace the paragraph with the following: Provide and utilize a MTV with the minimum characteristics outlined in section 403.02.10.

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<b>Subsection:</b>	412.03.07 Placement and Compaction.
<b>Revision:</b>	Replace the first paragraph with the following: Use a MTV when placing SMA mixture in the driving lanes. The MTV is not required on ramps and/or shoulders unless specified in the contract. When the Engineer determines the use of the MTV is not practical for a portion of the project, the Engineer may waive its requirement for that portion of pavement by a letter documenting the waiver.
<b>Subsection:</b>	412.04 MEASUREMENT.
<b>Revision:</b>	Add the following subsection: 412.04.03. Material Transfer Vehicle (MTV). The Department will not measure the MTV for payment and will consider its use incidental to the asphalt mixture.
<b>Subsection:</b>	501.03.19 Surface Tolerances and Testing Surface.
<b>Part:</b>	B) Ride Quality.
<b>Revision:</b>	Add the following to the end of the first paragraph: The Department will specify if the ride quality requirements are Category A or Category B when ride quality is specified in the Contract. Category B ride quality requirements shall apply when the Department fails to classify which ride quality requirement will apply to the Contract.
<b>Subsection:</b>	603.03.06 Cofferdams.
<b>Revision:</b>	Replace the seventh sentence of paragraph one with the following: Submit drawings that are stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	605.03.04 Tack Welding.
<b>Revision:</b>	Insert the subsection and the following: 605.03.04 Tack Welding. The Department does not allow tack welding.
<b>Subsection:</b>	606.03.17 Special Requirements for Latex Concrete Overlays.
<b>Part:</b>	A) Existing Bridges and New Structures.
<b>Number:</b>	1) Prewetting and Grout-Bond Coat.
<b>Revision:</b>	Add the following sentence to the last paragraph: Do not apply a grout-bond coat on bridge decks prepared by hydrodemolition.
<b>Subsection:</b>	609.03 Construction.
<b>Revision:</b>	Replace Subsection 609.03.01 with the following: 609.03.01 A) Swinging the Spans. Before placing concrete slabs on steel spans or precast concrete release the temporary erection supports under the bridge and swing the span free on its supports. 609.03.01 B) Lift Loops. Cut all lift loops flush with the top of the precast beam once the beam is placed in the final location and prior to placing steel reinforcement. At locations where lift loops are cut, paint the top of the beam with galvanized or epoxy paint.
<b>Subsection:</b>	611.03.02 Precast Unit Construction.
<b>Revision:</b>	Replace the first sentence of the subsection with the following: Construct units according to ASTM C1577, <b>replacing Table 1 (Design Requirements for Precast Concrete Box Sections Under Earth, Dead and HL-93 Live Load Conditions) with KY Table 1 (Precast Culvert KYHL-93 Design Table)</b> , and Section 605 with the following exceptions and additions:

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<b>Subsection:</b>	613.03.01 Design.
<b>Number:</b>	2)
<b>Revision:</b>	Replace "AASHTO Standard Specifications for Highway Bridges" with "AASHTO LRFD Bridge Design Specifications"
<b>Subsection:</b>	615.06.02
<b>Revision:</b>	Add the following sentence to the end of the subsection. The ends of units shall be normal to walls and centerline except exposed edges shall be beveled $\frac{3}{4}$ inch.
<b>Subsection:</b>	615.06.03 Placement of Reinforcement in Precast 3-Sided Units.
<b>Revision:</b>	Replace the reference of 6.6 in the section to 615.06.06.
<b>Subsection:</b>	615.06.04 Placement of Reinforcement for Precast Endwalls.
<b>Revision:</b>	Replace the reference of 6.7 in the section to 615.06.07.
<b>Subsection:</b>	615.06.06 Laps, Welds, and Spacing for Precast 3-Sided Units.
<b>Revision:</b>	Replace the subsection with the following: Tension splices in the circumferential reinforcement shall be made by lapping. Laps may not be tack welded together for assembly purposes. For smooth welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.2 and AASHTO 2012 Bridge Design Guide Section 5.11.6.3. For deformed welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.1 and AASHTO 2012 Bridge Design Guide Section 5.11.6.2. The overlap of welded wire fabric shall be measured between the outer most longitudinal wires of each fabric sheet. For deformed billet-steel bars, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.1. For splices other than tension splices, the overlap shall be a minimum of 12" for welded wire fabric or deformed billet-steel bars. The spacing center to center of the circumferential wires in a wire fabric sheet shall be no less than 2 inches and no more than 4 inches. The spacing center to center of the longitudinal wires shall not be more than 8 inches. The spacing center to center of the longitudinal distribution steel for either line of reinforcing in the top slab shall be not more than 16 inches.
<b>Subsection:</b>	615.06.07 Laps, Welds, and Spacing for Precast Endwalls.
<b>Revision:</b>	Replace the subsection with the following: Splices in the reinforcement shall be made by lapping. Laps may not be tack welded together for assembly purposes. For smooth welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.2 and AASHTO 2012 Bridge Design Guide Section 5.11.6.3. For deformed welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.1 and AASHTO 2012 Bridge Design Guide Section 5.11.6.2. For deformed billet-steel bars, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.1. The spacing center-to-center of the wire fabric sheet shall not be less than 2 inches or more than 8 inches.

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<b>Subsection:</b>	615.08.01 Type of Test Specimen.
<b>Revision:</b>	Replace the subsection with the following: Start-up slump, air content, unit weight, and temperature tests will be performed each day on the first batch of concrete. Acceptable start-up results are required for production of the first unit. After the first unit has been established, random acceptance testing is performed daily for each 50 yd <sup>3</sup> (or fraction thereof). In addition to the slump, air content, unit weight, and temperature tests, a minimum of one set of cylinders shall be required each time plastic property testing is performed.
<b>Subsection:</b>	615.08.02 Compression Testing.
<b>Revision:</b>	Delete the second sentence.
<b>Subsection:</b>	615.08.04 Acceptability of Core Tests.
<b>Revision:</b>	Delete the entire subsection.
<b>Subsection:</b>	615.12 Inspection.
<b>Revision:</b>	Add the following sentences to the end of the subsection: Units will arrive at jobsite with the "Kentucky Oval" stamped on the unit which is an indication of acceptable inspection at the production facility. Units shall be inspected upon arrival for any evidence of damage resulting from transport to the jobsite.
<b>Subsection:</b>	716.02.02 Paint.
<b>Revision:</b>	Replace sentence with the following: Conform to Section 821.
<b>Subsection:</b>	716.03 CONSTRUCTION.
<b>Revision:</b>	Replace bullet 5) with the following: 5) AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims,
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Revision:</b>	Replace the second sentence with the following: Regardless of the station and offset noted, locate all poles/bases behind the guardrail a minimum of four feet from the front face of the guardrail to the front face of the pole base.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	A) Conventional Installation.
<b>Revision:</b>	Replace the third sentence with the following: Orient the transformer base so the door is positioned on the side away from on-coming traffic.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	A) Conventional Installation.
<b>Number:</b>	1) Breakaway Installation and Requirements.
<b>Revision:</b>	Replace the first sentence with the following: For breakaway supports, conform to Section 12 of the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	B) High Mast Installation
<b>Revision:</b>	Replace the first sentence with the following: Install each high mast pole as noted on plans.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	B) High Mast Installation
<b>Number:</b>	2) Concrete Base Installation
<b>Revision:</b>	Modification of Chart and succeeding paragraphs within this section:

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Drilled Shaft Depth Data							
Level Ground		3:1 Ground Slope		2:1 Ground Slope		1.5:1 Ground Slope <sup>(2)</sup>	
Soil	Rock	Soil	Rock	Soil	Rock	Soil	Rock
17 ft	7 ft	19 ft	7 ft	20 ft	7 ft	<sup>(1)</sup>	7 ft
Steel Requirements							
Vertical Bars		Ties or Spiral					
Size	Total	Size	Spacing or Pitch				
#10	16	#4	12 inch				

(2): Do not construct high mast drilled shafts on ground slopes steeper than 1.5:1 without the approval of the Division of Traffic.

If rock is encountered during drilling operations and confirmed by the engineer to be of sound quality, the shaft is only required to be further advanced into the rock by the length of rock socket shown in the table. The total length of the shaft need not be longer than that of soil alone. Both longitudinal rebar length and number of ties or spiral length shall be adjusted accordingly.

If a shorter depth is desired for the drilled shaft, the contractor shall provide, for the state's review and approval, a detailed column design with individual site specific soil and rock analysis performed and approved by a Professional Engineer licensed in the Commonwealth of Kentucky.

Spiral reinforcement may be substituted for ties. If spiral reinforcement is used, one and one-half closed coils shall be provided at the ends of each spiral unit. Subsurface conditions consisting of very soft clay or very loose saturated sand could result in soil parameters weaker than those assumed. Engineer shall consult with the geotechnical branch if such conditions are encountered.

The bottom of the drilled hole shall be firm and thoroughly cleaned so no loose or compressible materials are present at the time of the concrete placement. If the drilled hole contains standing water, the concrete shall be placed using a tremie to displace water. Continuous concrete flow will be required to insure full displacement of any water.

The reinforcement and anchor bolts shall be adequately supported in the proper positions so no movement occurs during concrete placement. Welding of anchor bolts to the reinforcing cage is unacceptable, templates shall be used. Exposed portions of the foundation shall be formed to create a smooth finished surface. All forming shall be removed upon completion of foundation construction.

<b>Subsection:</b>	716.03.03 Trenching.
<b>Part:</b>	A) Trenching of Conduit for Highmast Ducted Cables.
<b>Revision:</b>	Add the following after the first sentence: If depths greater than 24 inches are necessary, obtain the Engineer's approval and maintain the required conduit depths coming into the junction boxes. No payment for additional junction boxes for greater depths will be allowed.



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<b>Subsection:</b>	716.03.03 Trenching.
<b>Part:</b>	B) Trenching of Conduit for Non-Highmast Cables.
<b>Revision:</b>	Add the following after the second sentence: If depths greater than 24 inches are necessary for either situation listed previously, obtain the Engineer's approval and maintain the required conduit depths coming into the junction boxes. No payment for additional junction boxes for greater depths will be allowed.
<b>Subsection:</b>	716.03.10 Junction Boxes.
<b>Revision:</b>	Replace subsection title with the following: Electrical Junction Box.
<b>Subsection:</b>	716.04.07 Pole with Secondary Control Equipment.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit furnished and installed. The Department will not measure mounting the cabinet to the pole, backfilling, restoration, any necessary hardware to anchor pole, or electrical inspection fees, and will consider them incidental to this item of work. The Department will also not measure furnishing and installing electrical service conductors, specified conduits, meter base, transformer, service panel, fused cutout, fuses, lighting arrestors, photoelectrical control, circuit breaker, contactor, manual switch, ground rods, and ground wires and will consider them incidental to this item of work.
<b>Subsection:</b>	716.04.08 Lighting Control Equipment.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit furnished and installed. The Department will not measure constructing the concrete base, excavation, backfilling, restoration, any necessary anchors, or electrical inspection fees, and will consider them incidental to this item of work. The Department will also not measure furnishing and installing electrical service conductors, specified conduits, meter base, transformer, service panel, fused cutout, fuses, lighting arrestors, photoelectrical control, circuit breakers, contactor, manual switch, ground rods, and ground wires and will consider them incidental to this item of work.
<b>Subsection:</b>	716.04.09 Luminaire.
<b>Revision:</b>	Replace the first sentence with the following: The Department will measure the quantity as each individual unit furnished and installed.
<b>Subsection:</b>	716.04.10 Fused Connector Kits.
<b>Revision:</b>	Replace the first sentence with the following: The Department will measure the quantity as each individual unit furnished and installed.
<b>Subsection:</b>	716.04.13 Junction Box.
<b>Revision:</b>	Replace the subsection title with the following: Electrical Junction Box Type Various.
<b>Subsection:</b>	716.04.13 Junction Box.
<b>Part:</b>	A) Junction Electrical.
<b>Revision:</b>	Rename A) Junction Electrical to the following: A) Electrical Junction Box.
<b>Subsection:</b>	716.04.14 Trenching and Backfilling.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, backfilling, underground utility warning tape (if required), the restoration of disturbed areas to original condition, and will consider them incidental to this item of work.

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<b>Subsection:</b>	716.04.18 Remove Lighting.		
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as a lump sum for the removal of lighting equipment. The Department will not measure the disposal of all equipment and materials off the project by the contractor. The Department also will not measure the transportation of the materials and will consider them incidental to this item of work.		
<b>Subsection:</b>	716.04.20 Bore and Jack Conduit.		
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity in linear feet. This item shall include all work necessary for boring and installing conduit under an existing roadway. Construction methods shall be in accordance with Sections 706.03.02, paragraphs 1, 2, and 4.		
<b>Subsection:</b>	716.05 PAYMENT.		
<b>Revision:</b>	Replace items 04810-04811, 20391NS835 and, 20392NS835 under <u>Code</u> , <u>Pay Item</u> , and <u>Pay Unit</u> with the following:		
	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
	04810	Electrical Junction Box	Each
	04811	Electrical Junction Box Type B	Each
	20391NS835	Electrical Junction Box Type A	Each
	20392NS835	Electrical Junction Box Type C	Each
<b>Subsection:</b>	723.02.02 Paint.		
<b>Revision:</b>	Replace sentence with the following: Conform to Section 821.		
<b>Subsection:</b>	723.03 CONSTRUCTION.		
<b>Revision:</b>	Replace bullet 5) with the following: 5) AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims,		
<b>Subsection:</b>	723.03.02 Poles and Bases Installation.		
<b>Revision:</b>	Replace the first sentence with the following: Regardless of the station and offset noted, locate all poles/bases behind the guardrail a minimum of four feet from the front face of the guardrail to the front face of the pole base.		
<b>Subsection:</b>	723.03.02 Poles and Bases Installation.		
<b>Part:</b>	A) Steel Strain and Mastarm Poles Installation		
<b>Revision:</b>	Replace the second paragraph with the following: For concrete base installation, see Section 716.03.02, B), 2), Paragraphs 2-7. Drilled shaft depth shall be based on the soil conditions encountered during drilling and slope condition at the site. Refer to the design chart below:		
<b>Subsection:</b>	723.03.02 Poles and Bases Installation.		
<b>Part:</b>	B) Pedestal or Pedestal Post Installation.		
<b>Revision:</b>	Replace the fourth sentence of the paragraph with the following: For breakaway supports, conform to Section 12 of the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.		



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<b>Subsection:</b>	723.03.03 Trenching.
<b>Part:</b>	A) Under Roadway.
<b>Revision:</b>	Add the following after the second sentence: If depths greater than 24 inches are necessary, obtain the Engineer's approval and maintain either required conduit depths coming into the junction boxes. No payment for additional junction boxes for greater depths will be allowed.
<b>Subsection:</b>	723.03.11 Wiring Installation.
<b>Revision:</b>	Add the following sentence between the fifth and sixth sentences: Provide an extra two feet of loop wire and lead-in past the installed conduit in poles, pedestals, and junction boxes.
<b>Subsection:</b>	723.03.12 Loop Installation.
<b>Revision:</b>	Replace the fourth sentence of the 2nd paragraph with the following: Provide an extra two feet of loop wire and lead-in past the installed conduit in poles, pedestals, and junction boxes.
<b>Subsection:</b>	723.04.02 Junction Box.
<b>Revision:</b>	Replace subsection title with the following: Electrical Junction Box Type Various.
<b>Subsection:</b>	723.04.03 Trenching and Backfilling.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, backfilling, underground utility warning tape (if required), the restoration of disturbed areas to original condition, and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.10 Signal Pedestal.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, specified conduits, fittings, ground rod, ground wire, backfilling, restoring disturbed areas, or other necessary hardware and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.15 Loop Saw Slot and Fill.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure sawing, cleaning and filling induction loop saw slot, loop sealant, backer rod, and grout and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.16 Pedestrian Detector.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit furnished, installed and connected to pole/pedestal. The Department will not measure installing R10-3e (with arrow) sign, furnishing and installing mounting hardware for sign and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.18 Signal Controller- Type 170.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure constructing the concrete base or mounting the cabinet to the pole, connecting the signal and detectors, excavation, backfilling, restoration, any necessary pole mounting hardware, electric service, or electrical inspection fees and will consider them incidental to this item of work. The Department will also not measure furnishing and connecting the induction of loop amplifiers, pedestrian isolators, load switches, model 400 modem card; furnishing and installing electrical service conductors, specified conduits, anchors, meter base, fused cutout, fuses, ground rods, ground wires and will consider them incidental to this item of work.

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<b>Subsection:</b>	723.04.20 Install Signal Controller - Type 170.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit installed. The Department will not measure constructing the concrete base or mounting the cabinet to the pole, connecting the signal and detectors, and excavation, backfilling, restoration, any necessary pole mounting hardware, electric service, or electrical inspection fees and will consider them incidental to this item of work. The Department will also not measure connecting the induction loop amplifiers, pedestrian, isolators, load switches, model 400 modem card; furnishing and installing electrical service conductors, specified conduits, anchors, meter base, fused cutout, fuses, ground rods, ground wires and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.22 Remove Signal Equipment.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as a lump sum removal of signal equipment. The Department will not measure the return of control equipment and signal heads to the Department of Highways as directed by the District Traffic Engineer. The Department also will not measure the transportation of materials of the disposal of all other equipment and materials off the project by the contractor and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.28 Install Pedestrian Detector Audible.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure installing sign R10-3e (with arrow) and will consider it incidental to this item of work.
<b>Subsection:</b>	723.04.29 Audible Pedestrian Detector.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure furnishing and installing the sign R10-3e (with arrow) and will consider it incidental to this item of work.
<b>Subsection:</b>	723.04.30 Bore and Jack Conduit.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity in linear feet. This item shall include all work necessary for boring and installing conduit under an existing roadway. Construction methods shall be in accordance with Sections 706.03.02, paragraphs 1, 2, and 4.
<b>Subsection:</b>	723.04.31 Install Pedestrian Detector.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit installed and connected to pole/pedestal. The Department will not measure installing sign R 10-3e (with arrow) and will consider it incidental to this item of work.
<b>Subsection:</b>	723.04.32 Install Mast Arm Pole.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure arms, signal mounting brackets, anchor bolts, or any other necessary hardware and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.33 Pedestal Post.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, anchor bolts, conduit, fittings, ground rod, ground wire, backfilling, restoration, or any other necessary hardware and will consider them incidental to this item of work.

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<b>Subsection:</b>	723.04.36 Traffic Signal Pole Base.															
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, reinforcing steel, anchor bolts, specified conduits, ground rods, ground wires, backfilling, or restoration and will consider them incidental to this item of work.															
<b>Subsection:</b>	723.04.37 Install Signal Pedestal.															
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, anchor bolts, specified conduits, fittings, ground rod, ground wire, backfilling, restoration, or any other necessary hardware and will consider them incidental to this item of work.															
<b>Subsection:</b>	723.04.38 Install Pedestal Post.															
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, anchor bolts, specified conduits, fittings, ground rod, ground wire, backfilling, restoration, or any other necessary hardware and will consider them incidental to this item of work.															
<b>Subsection:</b>	723.05 PAYMENT.															
<b>Revision:</b>	<p>Replace items 04810-04811, 20391NS835 and, 20392NS835 under <u>Code</u>, <u>Pay Item</u>, and <u>Pay Unit</u> with the following:</p> <table><tr><td><u>Code</u></td><td><u>Pay Item</u></td><td><u>Pay Unit</u></td></tr><tr><td>04810</td><td>Electrical Junction Box</td><td>Each</td></tr><tr><td>04811</td><td>Electrical Junction Box Type B</td><td>Each</td></tr><tr><td>20391NS835</td><td>Electrical Junction Box Type A</td><td>Each</td></tr><tr><td>20392NS835</td><td>Electrical Junction Box Type C</td><td>Each</td></tr></table>	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>	04810	Electrical Junction Box	Each	04811	Electrical Junction Box Type B	Each	20391NS835	Electrical Junction Box Type A	Each	20392NS835	Electrical Junction Box Type C	Each
<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>														
04810	Electrical Junction Box	Each														
04811	Electrical Junction Box Type B	Each														
20391NS835	Electrical Junction Box Type A	Each														
20392NS835	Electrical Junction Box Type C	Each														
<b>Subsection:</b>	804.01.02 Crushed Sand.															
<b>Revision:</b>	Delete last sentence of the section.															
<b>Subsection:</b>	804.01.06 Slag.															
<b>Revision:</b>	<p>Add subsection and following sentence.</p> <p>Provide blast furnace slag sand where permitted. The Department will allow steel slag sand only in asphalt surface applications.</p>															
<b>Subsection:</b>	804.04 Asphalt Mixtures.															
<b>Revision:</b>	<p>Replace the subsection with the following:</p> <p>Provide natural, crushed, conglomerate, or blast furnace slag sand, with the addition of filler as necessary, to meet gradation requirements. The Department will allow any combination of natural, crushed, conglomerate or blast furnace slag sand when the combination is achieved using cold feeds at the plant. The Engineer may allow other fine aggregates.</p>															
<b>Subsection:</b>	806.03.01 General Requirements.															
<b>Revision:</b>	<p>Replace the second sentence of the paragraph with the following:</p> <p>Additionally, the material must have a minimum solubility of 99.0 percent when tested according to AASHTO T 44 and PG 76-22 must exhibit a minimum recovery of 60 percent, with a J<sub>NR</sub> (nonrecoverable creep compliance) between 0.1 and 0.5, when tested according to AASHTO TP 70.</p>															

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<b>Subsection:</b>	806.03.01 General Requirements.						
<b>Table:</b>	PG Binder Requirements and Price Adjustment Schedule						
<b>Revision:</b>	Replace the Elastic Recovery, % <sup>(3)</sup> (AASHTO T301) and all corresponding values in the table with the following:						
	<u>Test</u>	<u>Specification</u>	<u>100% Pay</u>	<u>90% Pay</u>	<u>80% Pay</u>	<u>70% Pay</u>	<u>50%Pay<sup>(1)</sup></u>
	MSCR recovery, % <sup>(3)</sup> (AASHTO TP 70)	60 Min.	≥58	56	55	54	<53
<b>Subsection:</b>	806.03.01 General Requirements.						
<b>Table:</b>	PG Binder Requirements and Price Adjustment Schedule						
<b>Superscript:</b>	(3)						
<b>Revision:</b>	Replace <sup>(3)</sup> with the following: Perform testing at 64°C.						
<b>Subsection:</b>	813.04 Gray Iron Castings.						
<b>Revision:</b>	Replace the reference to "AASHTO M105" with "ASTM A48".						
<b>Subsection:</b>	813.09.02 High Strength Steel Bolts, Nuts, and Washers.						
<b>Number:</b>	A) Bolts.						
<b>Revision:</b>	Delete first paragraph and "Hardness Number" Table. Replace with the following: A) Bolts. Conform to ASTM A325 (AASHTO M164) or ASTM A490 (AASHTO 253) as applicable.						
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.						
<b>Revision:</b>	Third paragraph, replace the reference to "AWPA C14" with "AWPA U1, Section B, Paragraph 4.1".						
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.						
<b>Revision:</b>	Replace the first sentence of the fourth paragraph with the following: Use any of the species of wood for round or square posts covered under AWPA U1.						
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.						
<b>Revision:</b>	Fourth paragraph, replace the reference to "AWPA C2" with "AWPA U1, Section B, Paragraph 4.1".						
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.						
<b>Revision:</b>	Delete the second sentence of the fourth paragraph.						
<b>Subsection:</b>	814.05.02 Composite Plastic.						
<b>Revision:</b>	1) Add the following to the beginning of the first paragraph: Select composite offset blocks conforming to this section and assure blocks are from a manufacturer included on the Department's List of Approved Materials. 2) Delete the last paragraph of the subsection.						
<b>Subsection:</b>	816.07.02 Wood Posts and Braces.						
<b>Revision:</b>	First paragraph, replace the reference to "AWPA C5" with "AWPA U1, Section B, Paragraph 4.1".						
<b>Subsection:</b>	816.07.02 Wood Posts and Braces.						
<b>Revision:</b>	Delete the second sentence of the first paragraph.						
<b>Subsection:</b>	818.07 Preservative Treatment.						
<b>Revision:</b>	First paragraph, replace all references to "AWPA C14" with "AWPA U1, Section A".						

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<b>Subsection:</b>	834.14 Lighting Poles.
<b>Revision:</b>	Replace the first sentence with the following: Lighting pole design shall be in accordance with loading and allowable stress requirements of the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims, with the exception of the following: The Cabinet will waive the requirement stated in the first sentence of Section 5.14.6.2 – Reinforced Holes and Cutouts for high mast poles (only). The minimum diameter at the base of the pole shall be 22 inches for high mast poles (only).
<b>Subsection:</b>	834.14.03 High Mast Poles.
<b>Revision:</b>	Remove the second and fourth sentence from the first paragraph.
<b>Subsection:</b>	834.14.03 High Mast Poles.
<b>Revision:</b>	Replace the third paragraph with the following: Provide calculations and drawings that are stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	834.14.03 High Mast Poles.
<b>Revision:</b>	<p>Replace paragraph six with the following: Provide a pole section that conforms to ASTM A 595 grade A with a minimum yield strength of 55 KSI or ASTM A 572 with a minimum yield strength of 55 KSI. Use tubes that are round or 16 sided with a four inch corner radius, have a constant linear taper of .144 in/ft and contain only one longitudinal seam weld. Circumferential welded tube butt splices and laminated tubes are not permitted. Provide pole sections that are telescopically slip fit assembled in the field to facilitate inspection of interior surface welds and the protective coating. The minimum length of the telescopic slip splices shall be 1.5 times the inside diameter of the exposed end of the female section. Use longitudinal seam welds as commended in Section 5.15 of the AASHTO 2013 Specifications. The thickness of the transverse base shall not be less than 2 inches. Plates shall be integrally welded to the tubes with a telescopic welded joint or a full penetration groove weld with backup bar.</p> <p>The handhole cover shall be removable from the handhole frame. One the frame side opposite the hinge, provide a mechanism on the handhole cover/frame to place the Department's standard padlock as specified in Section 834.25. The handhole frame shall have two stainless studs installed opposite the hinge to secure the handhole cover to the frame which includes providing stainless steel wing nuts and washers. The handhole cover shall be manufactured from 0.25 inch thick galvanized steel (ASTM A 153) and have a neoprene rubber gasket that is permanently secured to the handhole frame to insure weather-tight protection. The hinge shall be manufactured from 7-guage stainless steel to provide adjustability to insure weather-tight fit for the cover. The minimum clear distance between the transverse plate and the bottom opening of the handhole shall not be less than the diameter of the bottom tube of the pole but needs to be at least 15 inches. Provide products that are hot-dip galvanized to the requirements of either ASTM A123 (fabricated products) or ASTM A 153 (hardware items).</p>
<b>Subsection:</b>	834.16 ANCHOR BOLTS.
<b>Revision:</b>	Insert the following sentence at the beginning of the paragraph: The anchor bolt design shall follow the NCHRP Report 494 Section 2.4 and NCHRP 469 Appendix A Specifications.



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<b>Subsection:</b>	834.17.01 Conventional.
<b>Revision:</b>	Add the following sentence after the second sentence: Provide a waterproof sticker mounted on the bottom of the housing that is legible from the ground and indicates the wattage of the fixture by providing the first two numbers of the wattage.
<b>Subsection:</b>	834.21.01 Waterproof Enclosures.
<b>Revision:</b>	Replace the last five sentences in the second paragraph with the following sentences: Provide a cabinet door with a louvered air vent, filter-retaining brackets and an easy to clean metal filter. Provide a cabinet door that is keyed with a factory installed standard no. 2 corbin traffic control key. Provide a light fixture with switch and bulb. Use a 120-volt fixture and utilize a L.E.D. bulb (equivalent to 60 watts minimum). Fixture shall be situated at or near the top of the cabinet and illuminate the contents of the cabinet. Provide a 120 VAC GFI duplex receptacle in the enclosure with a separate 20 amp breaker.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	Replace the first sentence of the first paragraph with the following: Pole diameter and wall thickness shall be calculated in accordance with the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	*Replace the first sentence of the fourth paragraph with the following: Ensure transverse plates have a thickness $\geq 2$ inches. *Add the following sentence to the end of the fourth paragraph: The bottom pole diameter shall not be less than 16.25 inches.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	Replace the third sentence of the fifth paragraph with the following: For anchor bolt design, pole forces shall be positioned in such a manner to maximize the force on any individual anchor bolt regardless of the actual anchor bolt orientation with the pole.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	Replace the first and second sentence of the sixth paragraph with the following: The pole handhole shall be 25 inches by 6.5 inches. The handhole cover shall be removable from the handhole frame. On the frame side opposite the hinge, provide a mechanism on the handhole cover/frame to place the Department's standard padlock as specified in Section 834.25. The handhole frame shall have two stainless studs installed opposite the hinge to secure the handhole cover to the frame which includes providing stainless steel wing nuts and washers. The handhole cover shall be manufactured from 0.25 inch thick galvanized steel (ASTM 153) and have a neoprene rubber gasket that is permanently secured to the handhole frame to insure weather-tight protection. The hinge shall be manufactured from 7 gauge stainless steel to provide adjustability to insure a weather-tight fit for the cover. The minimum clear distance between the transverse plate and the bottom opening of the handhole shall not be less than the diameter of the bottom tube but needs to be at least 12 inches.

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<b>Subsection:</b>	835.07 Traffic Poles.		
<b>Revision:</b>	*Replace the first sentence of the last paragraph with the following: Provide calculations and drawings that are stamped by a Professional Engineer licensed in the Commonwealth of Kentucky. *Replace the third sentence of the last paragraph with the following: All tables referenced in 835.07 are found in the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.		
<b>Subsection:</b>	835.07.01 Steel Strain Poles.		
<b>Revision:</b>	Replace the second sentence of the second paragraph with the following: The detailed analysis shall be certified by a Professional Engineer licensed in the Commonwealth of Kentucky.		
<b>Subsection:</b>	835.07.01 Steel Strain Poles.		
<b>Revision:</b>	Replace number 7. after the second paragraph with the following: 7. Fatigue calculations should be shown for all fatigue related connections. Provide the corresponding detail, stress category and example from table 11.9.3.1-1.		
<b>Subsection:</b>	835.07.02 Mast Arm Poles.		
<b>Revision:</b>	Replace the second sentence of the fourth paragraph with the following: The detailed analysis shall be certified by a Professional Engineer licensed in the Commonwealth of Kentucky.		
<b>Subsection:</b>	835.07.02 Mast Arm Poles.		
<b>Revision:</b>	Replace number 7) after the fourth paragraph with the following: 7) Fatigue calculations should be shown for all fatigue related connections. Provide the corresponding detail, stress category and example from table 11.9.3.1-1.		
<b>Subsection:</b>	835.07.03 Anchor Bolts.		
<b>Revision:</b>	Add the following to the end of the paragraph: There shall be two steel templates (one can be used for the headed part of the anchor bolt when designed in this manner) provided per pole. Templates shall be contained within a 26.5 inch diameter. All templates shall be fully galvanized (ASTM A 153).		
<b>Subsection:</b>	835.16.05 Optical Units.		
<b>Revision:</b>	Replace the 3rd paragraph with the following: The list of certified products can be found on the following website: <a href="http://www.intertek.com">http://www.intertek.com</a> .		
<b>Subsection:</b>	835.19.01 Pedestrian Detector Body.		
<b>Revision:</b>	Replace the first sentence with the following: Provide a four holed pole mounted aluminum rectangular housing that is compatible with the pedestrian detector.		
<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE I FABRIC GEOTEXTILES FOR SLOPE PROTECTION AND CHANNEL LINING		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	494	ASTM D6241
	Permittivity (1/s)	0.7	ASTM D4491

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<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE II FABRIC GEOTEXTILES FOR UNDERDRAINS		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	210	ASTM D6241
	Permittivity (1/s)	0.5	ASTM D4491

<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE III FABRIC GEOTEXTILES FOR SUBGRADE OR EMBANKMENT STABILIZATION		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	370	ASTM D6241
	Permittivity (1/s)	0.05	ASTM D4491

<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE IV FABRIC GEOTEXTILES FOR EMBANKMENT DRAINAGE BLANKETS AND PAVEMENT EDGE DRAINS		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	309	ASTM D6241
	Permittivity (1/s)	0.5	ASTM D4491

<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE V HIGH STRENGTH GEOTEXTILE FABRIC		
<b>Revision:</b>	Make the following changes to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	618	ASTM D6241
	Grab Strength (lbs)	700	ASTM D4632
	Apparent Opening Size	U.S. #40 <sup>(3)</sup>	ASTM D4751
	<sup>(3)</sup> Maximum average roll value.		



## **SPECIAL NOTE FOR PORTABLE CHANGEABLE MESSAGE SIGNS**

This Special Note will apply when indicated on the plans or in the proposal.

**1.0 DESCRIPTION.** Furnish, install, operate, and maintain variable message signs at the locations shown on the plans or designated by the Engineer. Remove and retain possession of variable message signs when they are no longer needed on the project.

## **2.0 MATERIALS.**

**2.1 General.** Use LED Variable Message Signs Class I, II, or III, as appropriate, from the Department's List of Approved Materials.

Unclassified signs may be submitted for approval by the Engineer. The Engineer may require a daytime and nighttime demonstration. The Engineer will make a final decision within 30 days after all required information is received.

**2.2 Sign and Controls.** All signs must:

- 1) Provide 3-line messages with each line being 8 characters long and at least 18 inches tall. Each character comprises 35 pixels.
- 2) Provide at least 40 preprogrammed messages available for use at any time. Provide for quick and easy change of the displayed message; editing of the message; and additions of new messages.
- 3) Provide a controller consisting of:
  - a) Keyboard or keypad.
  - b) Readout that mimics the actual sign display. (When LCD or LCD type readout is used, include backlighting and heating or otherwise arrange for viewing in cold temperatures.)
  - c) Non-volatile memory or suitable memory with battery backup for storing pre-programmed messages.
  - d) Logic circuitry to control the sequence of messages and flash rate.
- 4) Provide a serial interface that is capable of supporting complete remote control ability through land line and cellular telephone operation. Include communication software capable of immediately updating the message, providing complete sign status, and allowing message library queries and updates.
- 5) Allow a single person easily to raise the sign to a satisfactory height above the pavement during use, and lower the sign during travel.
- 6) Be Highway Orange on all exterior surfaces of the trailer, supports, and controller cabinet.
- 7) Provide operation in ambient temperatures from -30 to + 120 degrees Fahrenheit during snow, rain and other inclement weather.
- 8) Provide the driver board as part of a module. All modules are interchangeable, and have plug and socket arrangements for disconnection and reconnection. Printed circuit boards associated with driver boards have a conformable coating to protect against moisture.
- 9) Provide a sign case sealed against rain, snow, dust, insects, etc. The lens is UV stabilized clear plastic (polycarbonate, acrylic, or other approved material) angled to prevent glare.
- 10) Provide a flat black UV protected coating on the sign hardware, character PCB, and appropriate lens areas.
- 11) Provide a photocell control to provide automatic dimming.

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- 12) Allow an on-off flashing sequence at an adjustable rate.
- 13) Provide a sight to aim the message.
- 14) Provide a LED display color of approximately 590 nm amber.
- 15) Provide a controller that is password protected.
- 16) Provide a security device that prevents unauthorized individuals from accessing the controller.
- 17) Provide the following 3-line messages preprogrammed and available for use when the sign unit begins operation:

/KEEP/RIGHT/⇒⇒⇒/	/MIN/SPEED/**MPH/
/KEEP/LEFT/⇐⇐⇐/	/ICY/BRIDGE/AHEAD/ /ONE
/LOOSE/GRAVEL/AHEAD/	LANE/BRIDGE/AHEAD/
/RD WORK/NEXT/**MILES/	/ROUGH/ROAD/AHEAD/
/TWO WAY/TRAFFIC/AHEAD/	/MERGING/TRAFFIC/AHEAD/
/PAINT/CREW/AHEAD/	/NEXT/***/MILES/
/REDUCE/SPEED/**MPH/	/HEAVY/TRAFFIC/AHEAD/
/BRIDGE/WORK/***() FT/	/SPEED/LIMIT/**MPH/
/MAX/SPEED/**MPH/	/BUMP/AHEAD/
/SURVEY/PARTY/AHEAD/	/TWO/WAY/TRAFFIC/

\*Insert numerals as directed by the Engineer.

Add other messages during the project when required by the Engineer.

### 2.3 Power.

- 1) Design solar panels to yield 10 percent or greater additional charge than sign consumption. Provide direct wiring for operation of the sign or arrow board from an external power source to provide energy backup for 21 days without sunlight and an on-board system charger with the ability to recharge completely discharged batteries in 24 hours.

**3.0 CONSTRUCTION.** Furnish and operate the variable message signs as designated on the plans or by the Engineer. Ensure the bottom of the message panel is a minimum of 7 feet above the roadway in urban areas and 5 feet above in rural areas when operating. Use Class I, II, or III signs on roads with a speed limit less than 55 mph. Use Class I or II signs on roads with speed limits 55 mph or greater.

Maintain the sign in proper working order, including repair of any damage done by others, until completion of the project. When the sign becomes inoperative, immediately repair or replace the sign. Repetitive problems with the same unit will be cause for rejection and replacement.

Use only project related messages and messages directed by the Engineer, unnecessary messages lessen the impact of the sign. Ensure the message is displayed in either one or 2 phases with each phase having no more than 3 lines of text. When no message is needed, but it is necessary to know if the sign is operable, flash only a pixel.

When the sign is not needed, move it outside the clear zone or where the Engineer directs. Variable Message Signs are the property of the Contractor and shall be removed from the project when no longer needed. The Department will not assume ownership of these signs.

**4.0 MEASUREMENT.** The final quantity of Variable Message Sign will be

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the actual number of individual signs acceptably furnished and operated during the project. The Department will not measure signs replaced due to damage or rejection.

**5.0 PAYMENT.** The Department will pay for the Variable Message Signs at the unit price each. The Department will not pay for signs replaced due to damage or rejection. Payment is full compensation for furnishing all materials, labor, equipment, and service necessary to, operate, move, repair, and maintain or replace the variable message signs. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02671	Portable Changeable Message Sign	Each

Effective June 15, 2012

## **PART III**

### **EMPLOYMENT, WAGE AND RECORD REQUIREMENTS**

FHWA-1273 -- Revised May 1, 2012

## **REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

### **ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

### **I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

### **II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar

with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

**10. Assurance Required by 49 CFR 26.13(b):**

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or



will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## 3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

##### a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

##### b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

**3. Withholding for unpaid wages and liquidated damages.** The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

**VI. SUBLETTING OR ASSIGNING THE CONTRACT**

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

**VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

**VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

#### **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

##### **1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

## **2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## **2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.



**KENTUCKY TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS  
RELATING TO  
NONDISCRIMINATION OF EMPLOYEES  
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY  
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344  
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to

provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

REVISED: 12-3-92

## EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.

General Decision Number: KY140100 08/22/2014 KY100

Superseded General Decision Number: KY20130100

State: Kentucky

Construction Type: Highway

Counties: Anderson, Bath, Bourbon, Boyd, Boyle, Bracken, Breckinridge, Bullitt, Carroll, Carter, Clark, Elliott, Fayette, Fleming, Franklin, Gallatin, Grant, Grayson, Greenup, Hardin, Harrison, Henry, Jefferson, Jessamine, Larue, Lewis, Madison, Marion, Mason, Meade, Mercer, Montgomery, Nelson, Nicholas, Oldham, Owen, Robertson, Rowan, Scott, Shelby, Spencer, Trimble, Washington and Woodford Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Modification Number	Publication Date
0	01/03/2014
1	02/14/2014
2	04/18/2014
3	05/09/2014
4	05/23/2014
5	06/06/2014
6	06/27/2014
7	07/04/2014
8	07/18/2014
9	07/25/2014
10	08/22/2014

BRIN0004-003 06/01/2011

BRECKENRIDGE COUNTY

	Rates	Fringes
BRICKLAYER.....	\$ 24.11	10.07
-----		
BRKY0001-005 06/01/2013		

BULLITT, CARROLL, GRAYSON, HARDIN, HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, & TRIMBLE COUNTIES:

	Rates	Fringes
BRICKLAYER.....	\$ 24.82	10.71
-----		
BRKY0002-006 06/01/2011		

BRACKEN, GALLATIN, GRANT, MASON & ROBERTSON COUNTIES:

Rates	Fringes
BRICKLAYER.....\$ 26.57	10.26
-----	
BRKY0007-004 06/01/2011	

BOYD, CARTER, ELLIOT, FLEMING, GREENUP, LEWIS & ROWAN COUNTIES:

Rates	Fringes
BRICKLAYER.....\$ 28.29	16.80
-----	
BRKY0017-004 06/01/2009	

ANDERSON, BATH, BOURBON, BOYLE, CLARK, FAYETTE, FRANKLIN,  
HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS,  
OWEN, SCOTT, WASHINGTON & WOODFORD COUNTIES:

Rates	Fringes
BRICKLAYER.....\$ 24.11	9.97
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CARP0064-001 04/01/2014	

Rates	Fringes
CARPENTER.....\$ 27.50	14.96
Diver.....\$ 41.63	14.96
PILEDRIVERMAN.....\$ 27.75	14.96
-----	
ELEC0212-008 06/02/2014	

BRACKEN, GALLATIN and GRANT COUNTIES

Rates	Fringes
ELECTRICIAN.....\$ 26.74	16.45
-----	
ELEC0212-014 07/01/2013	

BRACKEN, GALLATIN & GRANT COUNTIES:

Rates	Fringes
Sound & Communication Technician.....\$ 22.50	9.51
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* ELEC0317-012 05/28/2014	

BOYD, CARTER, ELLIOT & ROWAN COUNTIES:

Rates	Fringes
ELECTRICIAN	
Cable Splicer.....\$ 32.68	18.13
Electrician.....\$ 32.62	21.45
-----	
ELEC0369-007 05/29/2013	

ANDERSON, BATH, BOURBON, BOYLE, BRECKINRIDGE, BULLITT, CARROLL,  
CLARK, FAYETTE, FRAONKLIN, GRAYSON, HARDIN, HARRISON, HENRY,  
JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER,  
MONTGOMERY, NELSON, NICHOLAS, OLDHAM, OWEN, ROBERTSON, SCOTT,  
SHELBY, SPENCER, TRIMBLE, WASHINGTON, & WOODFORD COUNTIES:

	Rates	Fringes
ELECTRICIAN.....	\$ 29.48	14.37
-----		
ELEC0575-002 06/02/2014		

FLEMING, GREENUP, LEWIS & MASON COUNTIES:

	Rates	Fringes
ELECTRICIAN.....	\$ 31.70	14.21
-----		
ENGI0181-018 07/01/2014		

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
GROUP 1.....	\$ 28.85	14.15
GROUP 2.....	\$ 26.24	14.15
GROUP 3.....	\$ 26.65	14.15
GROUP 4.....	\$ 25.95	14.15

#### OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - A-Frame Winch Truck; Auto Patrol; Backfiller;  
Batcher Plant; Bituminous Paver; Bituminous Transfer  
Machine; Boom Cat; Bulldozer; Mechanic; Cableway; Carry-All  
Scoop; Carry Deck Crane; Central Compressor Plant; Cherry  
Picker; Clamshell; Concrete Mixer (21 cu. ft. or Over);  
Concrete Paver; Truck-Mounted Concrete Pump; Core Drill;  
Crane; Crusher Plant; Derrick; Derrick Boat; Ditching &  
Trenching Machine; Dragline; Dredge Operator; Dredge  
Engineer; Elevating Grader & Loaders; Grade-All; Gurries;  
Heavy Equipment Robotics Operator/Mechanic; High Lift;  
Hoe-Type Machine; Hoist (Two or More Drums); Hoisting  
Engine (Two or More Drums); Horizontal Directional Drill  
Operator; Hydrocrane; Hyster; KeCal Loader; LeTourneau;  
Locomotive; Mechanic; Mechanically Operated Laser Screed;  
Mechanic Welder; Mucking Machine; Motor Scraper; Orangepeel  
Bucket; Overhead Crane; Piledriver; Power Blade; Pumpcrete;  
Push Dozer; Rock Spreader, attached to equipment; Rotary  
Drill; Roller (Bituminous); Rough Terrain Crane; Scarifier;  
Scoopmobile; Shovel; Side Boom; Subgrader; Tailboom;  
Telescoping Type Forklift; Tow or Push Boat; Tower Crane  
(French, German & other types); Tractor Shovel; Truck  
Crane; Tunnel Mining Machines, including Moles, Shields or  
similar types of Tunnel Mining Equipment

GROUP 2 - Air Compressor (Over 900 cu. ft. per min.);  
Bituminous Mixer; Boom Type Tamping Machine; Bull Float;  
Concrete Mixer (Under 21 cu. ft.); Dredge Engineer;  
Electric Vibrator; Compactor/Self-Propelled Compactor;

Elevator (One Drum or Buck Hoist); Elevator (When used to Hoist Building Material); Finish Machine; Firemen & Hoist (One Drum); Flexplane; Forklift (Regardless of Lift Height); Form Grader; Joint Sealing Machine; Outboard Motor Boat; Power Sweeper (Riding Type); Roller (Rock); Ross Carrier; Skid Mounted or Trailer Mounted Concrete Pump; Skid Steer Machine with all Attachments; Switchman or Brakeman; Throttle Valve Person; Tractair & Road Widening Trencher; Tractor (50 H.P. or Over); Truck Crane Oiler; Tugger; Welding Machine; Well Points; & Whirley Oiler

GROUP 3 - All Off Road Material Handling Equipment, including Articulating Dump Trucks; Greaser on Grease Facilities servicing Heavy Equipment

GROUP 4 - Bituminous Distributor; Burlap & Curing Machine; Cement Gun; Concrete Saw; Conveyor; Deckhand Oiler; Grout Pump; Hydraulic Post Driver; Hydro Seeder; Mud Jack; Oiler; Paving Joint Machine; Power Form Handling Equipment; Pump; Roller (Earth); Steerman; Tamping Machine; Tractor (Under 50 H.P.); & Vibrator

CRANES - with booms 150 ft. & Over (Including JIB), and where the length of the boom in combination with the length of the piling leads equals or exceeds 150 ft. - \$1.00 over Group 1 rate

EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE TO BE PAID 10%

ABOVE BASIC WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK.

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IRON0044-009 06/01/2013

BRACKEN, GALLATIN, GRANT, HARRISON, ROBERTSON,  
BOURBON (Northern third, including Townships of Jackson, Millersburg, Ruddel Mills & Shawhan);  
CARROLL (Eastern third, including the Township of Ghent);  
FLEMING (Western part, excluding Townships of Beechburg, Colfax, Elizaville, Flemingsburg, Flemingsburg Junction, Foxport, Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains, Ringos Mills, Tilton & Wallingford);  
MASON (Western two-thirds, including Townships of Dover, Lewisburg, Mays Lick, Maysville, Minerva, Moranburg, Murphysville, Ripley, Sardis, Shannon, South Ripley & Washington);  
NICHOLAS (Townships of Barefoot, Barterville, Carlisle, Ellisville, Headquarters, Henryville, Morningglory, Myers & Oakland Mills);  
OWEN (Townships of Beechwood, Bromley, Fairbanks, Holbrook, Jonesville, Long Ridge, Lusby's Mill, New, New Columbus, New Liberty, Owenton, Poplar Grove, Rockdale, Sanders, Teresita & Wheatley);  
SCOTT (Northern two-thirds, including Townships of Biddle, Davis, Delaplain, Elmville, Longlick, Muddy Ford, Oxford, Rogers Gap, Sadieville, Skinnersburg & Stonewall)

Rates

Fringes

IRONWORKER		
Fence Erector.....	\$ 22.50	18.40
Structural.....	\$ 24.80	18.40

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IRON0070-006 06/01/2014

ANDERSON, BOYLE, BRECKINRIDGE, BULLITT, FAYETTE, FRANKLIN,  
GRAYSON, HARDIN, HENRY, JEFFERSON, JESSAMINE, LARUE, MADISON,  
MARION, MEADE, MERCER, NELSON, OLDHAM, SHELBY, SPENCER,  
TRIMBLE, WASHINGTON & WOODFORD  
BOURBON (Southern two-thirds, including Townships of Austerlity,  
Centerville, Clintonville, Elizabeth, Hutchison, Littlerock,  
North Middletown & Paris);  
CARROLL (Western two-thirds, including Townships of Carrollton,  
Easterday, English, Locust, Louis, Prestonville & Worthville);  
CLARK (Western two-thirds, including Townships of Becknerville,  
Flanagan, Ford, Pine Grove, Winchester & Wyandotte);  
OWEN (Eastern eighth, including Townships of Glenmary, Gratz,  
Monterey, Perry Park & Tacketts Mill);  
SCOTT (Southern third, including Townships of Georgetown, Great  
Crossing, Newtown, Stamplng Ground & Woodlake);

	Rates	Fringes
IRONWORKER.....	\$ 26.97	19.75

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IRON0372-006 06/01/2013

BRACKEN, GALLATIN, GRANT, HARRISON and ROBERTSON  
BOURBON (Northern third, including Townships of Jackson,  
Millersburg, Ruddel Mills & Shawhan);  
CARROLL (Eastern third, including the Township of Ghent);  
FLEMING (Western part, Excluding Townships of Beechburg, Colfax,  
Elizaville, Flemingsburg, Flemingsburg Junction, Foxport,  
Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills,  
Nepton, Pecksrige, Plummrs Landing, Plummrs Mill, Poplar  
Plains,  
Ringos Mills, Tilton & Wallingford);  
MASON (Western two-thirds, including Townships of Dover,  
Lewisburg, Mays Lick, Maysville, Minerva, Moranburg,  
Murphysville, Ripley, Sardis, Shannon, South Ripley &  
Washington);  
NICHOLAS (Townships of Barefoot, Barterville, Carlisle,  
Ellisville, Headquarters, Henryville, Morningglory, Myers &  
Oakland Mills);  
OWEN (Townships of Beechwood, Bromley, Fairbanks, Holbrook,  
Jonesville, Long Ridge, Lusby's Mill, New, New Columbus, New  
Liberty, Owenton, Poplar Grove, Rockdale, Sanders, Teresita &  
Wheatley);  
SCOTT (Northern two-thirds, including Townships of Biddle,  
Davis, Delaplain, Elmville, Longlick, Muddy Ford, Oxford, Rogers  
Gap, Sadieville, Skinnersburg & Stonewall) COUNTIES

	Rates	Fringes
IRONWORKER, REINFORCING.....	\$ 26.47	19.30

IRON0769-007 12/01/2012

BATH, BOYD, CARTER, ELLIOTT, GREENUP, LEWIS, MONTGOMERY & ROWAN  
CLARK (Eastern third, including townships of Bloomingdale,  
Hunt, Indian Fields, Kiddville, Loglick, Rightangele & Thomson);  
FLEMING (Townships of Beechburg, Colfax, Elizaville,  
Flemingsburg, Flemingsburg Junction, Foxport, Grange City,  
Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton,  
Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains,  
Ringos Mills, Tilton & Wallingford);  
MASON (Eastern third, including Townships of Helena, Marshall,  
Orangeburg, Plumville & Springdale);  
NICHOLAS (Eastern eighth, including the Township of Moorefield  
Sprout)

	Rates	Fringes
IRONWORKER.....	\$ 32.54	20.18

LABO0189-003 07/01/2014

BATH, BOURBON, BOYD, BOYLE, BRACKEN, CARTER, CLARK, ELLIOTT,  
FAYETTE, FLEMING, FRANKLIN, GALLATIN, GRANT, GREENUP, HARRISON,  
JESSAMINE, LEWIS, MADISON, MASON, MERCER, MONTGOMERY, NICHOLAS,  
OWEN, ROBERTSON, ROWAN, SCOTT, & WOOLFORD COUNTIES

	Rates	Fringes
Laborers:		
GROUP 1.....	\$ 21.80	11.96
GROUP 2.....	\$ 22.05	11.96
GROUP 3.....	\$ 22.10	11.96
GROUP 4.....	\$ 22.70	11.96

#### LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement  
Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter  
Tender; Cement Mason Tender; Cleaning of Machines;  
Concrete; Demolition; Dredging; Environmental - Nuclear,  
Radiation, Toxic & Hazardous Waste - Level D; Flagperson;  
Grade Checker; Hand Digging & Hand Back Filling; Highway  
Marker Placer; Landscaping, Mesh Handler & Placer; Puddler;  
Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail  
& Fence Installer; Signal Person; Sound Barrier Installer;  
Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper;  
Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer);  
Brickmason Tender; Mortar Mixer Operator; Scaffold Builder;  
Burner & Welder; Bushhammer; Chain Saw Operator; Concrete  
Saw Operator; Deckhand Scow Man; Dry Cement Handler;  
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste  
- Level C; Forklift Operator for Masonary; Form Setter;  
Green Concrete Cutting; Hand Operated Grouter & Grinder  
Machine Operator; Jackhammer; Pavement Breaker; Paving  
Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven  
Georgia Buggy & Wheel Barrow; Power Post Hole Digger;



Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind  
Trencher; Sand Blaster; Concrete Chipper; Surface Grinder;  
Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman;  
Gunnite Operator & Mixer; Grout Pump Operator; Side Rail  
Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free  
Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher;  
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste  
- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;  
& Tunnel Mucker (Free Air); Directional & Horizontal  
Boring; Air Track Drillers (All Types); Powdermen &  
Blasters; Troxler & Concrete Tester if Laborer is Utilized

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LABO0189-008 07/01/2014

ANDERSON, BULLITT, CARROLL, HARDIN, HENRY, JEFFERSON, LARUE,  
MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE &  
WASHINGTON COUNTIES

	Rates	Fringes
Laborers:		
GROUP 1.....\$	22.71	11.05
GROUP 2.....\$	22.96	11.05
GROUP 3.....\$	23.01	11.05
GROUP 4.....\$	23.61	11.05

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement  
Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter  
Tender; Cement Mason Tender; Cleaning of Machines;  
Concrete; Demolition; Dredging; Environmental - Nuclear,  
Radiation, Toxic & Hazardous Waste - Level D; Flagperson;  
Grade Checker; Hand Digging & Hand Back Filling; Highway  
Marker Placer; Landscaping, Mesh Handler & Placer; Puddler;  
Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail  
& Fence Installer; Signal Person; Sound Barrier Installer;  
Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper;  
Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer);  
Brickmason Tender; Mortar Mixer Operator; Scaffold Builder;  
Burner & Welder; Bushhammer; Chain Saw Operator; Concrete  
Saw Operator; Deckhand Scow Man; Dry Cement Handler;  
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste  
- Level C; Forklift Operator for Masonary; Form Setter;  
Green Concrete Cutting; Hand Operated Grouter & Grinder  
Machine Operator; Jackhammer; Pavement Breaker; Paving  
Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven  
Georgia Buggy & Wheel Barrow; Power Post Hole Digger;  
Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind  
Trencher; Sand Blaster; Concrete Chipper; Surface Grinder;  
Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Drillers (All Types); Powdermen & Blasters; Troxler & Concrete Tester if Laborer is Utilized

LABO0189-009 07/01/2014

BRECKINRIDGE & GRAYSON COUNTIES

	Rates	Fringes
Laborers:		
GROUP 1.....	\$ 22.66	11.10
GROUP 2.....	\$ 22.91	11.10
GROUP 3.....	\$ 22.96	11.10
GROUP 4.....	\$ 23.56	11.10

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushhammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste

- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;  
& Tunnel Mucker (Free Air); Directional & Horizontal  
Boring; Air Track Drillers (All Types); Powdermen &  
Blasters; Troxler & Concrete Tester if Laborer is Utilized

PAIN0012-005 06/11/2005

BATH, BOURBON, BOYLE, CLARK, FAYETTE, FLEMING, FRANKLIN,  
HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS,  
ROBERTSON, SCOTT & WOODFORD COUNTIES:

	Rates	Fringes
PAINTER		
Bridge/Equipment Tender and/or Containment Builder..\$ 18.90		5.90
Brush & Roller.....\$ 21.30		5.90
Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....\$ 22.30		5.90
Sandblasting & Waterblasting.....\$ 22.05		5.90
Spray.....\$ 21.80		5.90

PAIN0012-017 05/01/2014

BRACKEN, GALLATIN, GRANT, MASON & OWEN COUNTIES:

	Rates	Fringes
PAINTER (Heavy & Highway Bridges - Guardrails - Lightpoles - Striping)		
Bridge Equipment Tender and Containment Builder.....\$ 20.73		8.71
Brush & Roller.....\$ 23.39		8.71
Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....\$ 24.39		8.71
Sandblasting & Water Blasting.....\$ 24.14		8.71
Spray.....\$ 23.89		8.71

PAIN0118-004 06/01/2014

ANDERSON, BRECKINRIDGE, BULLITT, CARROLL, GRAYSON, HARDIN,  
HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY,  
SPENCER, TRIMBLE & WASHINGTON COUNTIES:

	Rates	Fringes
PAINTER		
Brush & Roller.....\$ 18.50		12.02
Spray, Sandblast, Power Tools, Waterblast & Steam Cleaning.....\$ 19.00		12.02

PAIN1072-003 12/01/2013

BOYD, CARTER, ELLIOTT, GREENUP, LEWIS and ROWAN COUNTIES

	Rates	Fringes
Painters:		
Bridges; Locks; Dams;		
Tension Towers & Energized		
Substations.....	\$ 31.03	15.10
Power Generating Facilities.	\$ 27.79	15.10

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PLUM0248-003 06/01/2014

BOYD, CARTER, ELLIOTT, GREENUP, LEWIS & ROWAN COUNTIES:

	Rates	Fringes
Plumber and Steamfitter.....	\$ 33.00	18.95

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PLUM0392-007 06/01/2014

BRACKEN, CARROLL (Eastern Half), GALLATIN, GRANT, MASON, OWEN &  
ROBERTSON COUNTIES:

	Rates	Fringes
Plumbers and Pipefitters.....	\$ 29.80	17.79

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PLUM0502-003 08/01/2013

BRECKINRIDGE, BULLITT, CARROLL (Western Half), FRANKLIN  
(Western three-fourths), GRAYSON, HARDIN, HENRY, JEFFERSON,  
LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE &  
WASHINGTON COUNTIES

	Rates	Fringes
PLUMBER.....	\$ 32.00	17.17

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SUKY2010-160 10/08/2001

	Rates	Fringes
Truck drivers:		
GROUP 1.....	\$ 16.57	7.34
GROUP 2.....	\$ 16.68	7.34
GROUP 3.....	\$ 16.86	7.34
GROUP 4.....	\$ 16.96	7.34

TRUCK DRIVER CLASSIFICATIONS

GROUP 1 - Mobile Batch Truck Tender

GROUP 2 - Greaser; Tire Changer; & Mechanic Tender

GROUP 3 - Single Axle Dump; Flatbed; Semi-trailer or Pole  
Trailer when used to pull building materials and equipment;

Tandem Axle Dump; Distributor; Mixer; & Truck Mechanic

GROUP 4 - Euclid & Other Heavy Earthmoving Equipment &  
Lowboy; Articulator Cat; 5-Axle Vehicle; Winch & A-Frame  
when used in transporting materials; Ross Carrier; Forklift  
when used to transport building materials; & Pavement  
Breaker

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WELDERS - Receive rate prescribed for craft performing  
operation to which welding is incidental.

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Unlisted classifications needed for work not included within  
the scope of the classifications listed may be added after  
award only as provided in the labor standards contract clauses  
(29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification  
and wage rates that have been found to be prevailing for the  
cited type(s) of construction in the area covered by the wage  
determination. The classifications are listed in alphabetical  
order of "identifiers" that indicate whether the particular  
rate is union or non-union.

#### Union Identifiers

An identifier enclosed in dotted lines beginning with  
characters other than "SU" denotes that the union  
classification and rate have found to be prevailing for that  
classification. Example: PLUM0198-005 07/01/2011. The first  
four letters , PLUM, indicate the international union and the  
four-digit number, 0198, that follows indicates the local union  
number or district council number where applicable , i.e.,  
Plumbers Local 0198. The next number, 005 in the example, is  
an internal number used in processing the wage determination.  
The date, 07/01/2011, following these characters is the  
effective date of the most current negotiated rate/collective  
bargaining agreement which would be July 1, 2011 in the above  
example.

Union prevailing wage rates will be updated to reflect any  
changes in the collective bargaining agreements governing the  
rates.

0000/9999: weighted union wage rates will be published annually  
each January.

#### Non-Union Identifiers

Classifications listed under an "SU" identifier were derived from survey data by computing average rates and are not union rates; however, the data used in computing these rates may include both union and non-union data. Example: SULA2004-007 5/13/2010. SU indicates the rates are not union majority rates, LA indicates the State of Louisiana; 2004 is the year of the survey; and 007 is an internal number used in producing the wage determination. A 1993 or later date, 5/13/2010, indicates the classifications and rates under that identifier were issued as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

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WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=====

END OF GENERAL DECISION

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-14-III- HWY dated July 14, 2014.

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

**TO: EMPLOYERS/EMPLOYEES**

**PREVAILING WAGE SCHEDULE:**

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

**OVERTIME:**

Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Diana Castle Radcliffe, P.E.  
Director, Division of Construction Procurement  
Frankfort, Kentucky 40622



General Decision Number: KY140101 07/04/2014 KY101

Superseded General Decision Number: KY20130101

State: Kentucky

Construction Type: Highway

Counties: Boone, Campbell, Kenton and Pendleton Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Modification Number	Publication Date
0	01/03/2014
1	04/25/2014
2	05/02/2014
3	05/09/2014
4	06/27/2014
5	07/04/2014

BRKY0002-005 06/01/2009

	Rates	Fringes
BRICKLAYER.....	\$ 26.12	9.73

BROH0001-005 06/01/2008

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER...	\$ 25.75	8.60

CARP0698-001 05/01/2009

BOONE, CAMPBELL, KENTON & PENDLETON COUNTIES:

	Rates	Fringes
Carpenter & Piledrivermen.....	\$ 27.05	9.69
Diver.....	\$ 40.58	9.69

ELEC0212-007 06/02/2014

	Rates	Fringes
ELECTRICIAN.....	\$ 26.74	16.45

ELEC0212-013 07/01/2013

	Rates	Fringes
Sound & Communication Technician.....	\$ 22.50	9.51

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ENGI0018-013 05/01/2014

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
GROUP 1.....	\$ 32.44	13.90
GROUP 2.....	\$ 32.32	13.90
GROUP 3.....	\$ 31.28	13.90
GROUP 4.....	\$ 30.10	13.90
GROUP 5.....	\$ 24.64	13.90
GROUP 6.....	\$ 32.69	13.90
GROUP 7.....	\$ 32.94	13.90

# OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - Air Compressor on Steel Erection; Barrier Moving Machine; Boiler Operator on Compressor or Generator when mounted on a Rig; Cableway; Combination Concrete Mixer & Tower; Concrete Plant (over 4 yd. Capacity); Concrete Pump; Crane (All Types, Including Boom Truck, Cherry Picker); Crane-Compact, Track or Rubber over 4,000 lbs. capacity; Cranes-Self Erecting, Stationary, Track or Truck (All Configurations); Derrick; Dragline; Dredge (Dipper, Clam or Suction); Elevating Grader or Euclid Loader; Floating Equipment (All Types); Gradall; Helicopter Crew (Operator-Hoist or Winch); Hoe (all types); Hoisting Engine on Shaft or Tunnel Work; Hydraulic Gantry (Lifting System); Industrial-Type Tractor; Jet Engine Dryer (D8 or D9) Diesel Tractor; Locomotive (Standard Gauge); Maintenance Operator Class A; Mixer, Paving (Single or Double Drum); Mucking Machine; Multiple Scraper; Piledriving Machine (All Types); Power Shovel; Prentice Loader; Quad 9 (Double Pusher); Rail Tamper (with auto lifting & aligning device); Refrigerating Machine (Freezer Operation); Rotary Drill, on Caisson work; Rough Terrain Fork Lift with Winch/Hoist; Side-Boom; Slip-Form Paver; Tower Derrick; Tree Shredder; Trench Machine (Over 24" wide); Truck Mounted Concrete Pump; Tug Boat; Tunnel Machine and/or Mining Machine; & Wheel Excavator

GROUP 2 - Asphalt Paver; Automatic Subgrader Machine, Self-Propelled (CMI Type); Bobcat Type and/or Skid Steer Loader with Hoe Attachment Greater than 7,000 lbs.; Boring Machine More than 48"; Bulldozer; Endloader; Hydro Milling Machine; Horizontal Directional Drill (over 500,000 ft. lbs. thrust); Kolman-type Loader (production type-Dirt); Lead Greaseman; Lighting & Traffic Signal Installation Equipment (includes all groups or classifications); Material Transfer Equipment (Shuttle Buggy) Asphalt; Pettibone-Rail Equipment; Power Grader; Power Scraper; Push Cat; Rotomill (all), Grinders & Planers of All types; Trench Machine (24" wide & under); & Vermeer type Concrete Saw

GROUP 3 - A-Frame; Air Compressor on Tunnel Work (low pressure); Asphalt Plant Engineer; Bobcat-type and/or Skid Steer Loader with or without Attachments; Highway Drills (all types); Locomotive (narrow gauge); Material Hoist/Elevator; Mixer, Concrete (more than one bag

capacity); Mixer, one bag capacity (Side Loader); Power Boiler (Over 15 lbs. Pressure) Pump Operator installing & operating Well Points; Pump (4" & over discharge); Roller, Asphalt; Rotovator (lime soil stabilizer); Switch & Tie Tampers (without lifting & aligning device); Utility Operator (Small equipment); & Welding Machines

GROUP 4 - Backfiller; Ballast Re-locator; Bars, Joint & Mesh Installing Machine; Batch Plant; Boring Machine Operator (48" or less); Bull Floats; Burlap & Curing Machine; Concrete Plant (capacity 4 yd. & under); Concrete Saw (Multiple); Conveyor (Highway); Crusher; Deckhand; Farm-type Tractor with attachments (highway) except Masonry; Finishing Machine; Fireperson, Floating Equipment (all types); Fork Lift (highway); Form Trencher; Hydro Hammer; Hydro Seeder; Pavement Breaker; Plant Mixer; Post Driver; Post Hole Digger (Power Auger); Power Brush Burner; Power Form Handling Equipment; Road Widening Trencher; Roller (Brick, Grade & Macadam); Self-Propelled Power Spreader; Self-Propelled Power Subgrader; Steam Fireperson; Tractor (Pulling Sheepfoot, Roller or Grader); & Vibratory Compactor with Integral Power

GROUP 5 - Compressor (Portable, Sewer, Heavy & Highway); Drum Fireperson (Asphalt); Generator; Masonry Fork Lift; Inboard-Outboard Motor Boat Launch; Masonry Fork Lift; Oil Heater (asphalt plant); Oiler; Power Driven Heater; Power Sweeper & Scrubber; Pump (under 4" discharge); Signalperson; Tire Repairperson; & VAC/ALLS

GROUP 6 - Master Mechanic & Boom from 150 to 180

GROUP 7 - Boom from 180 and over

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IRON0044-008 06/01/2013		
	Rates	Fringes
Ironworkers:		
Fence Erector.....	\$ 22.70	18.40
Structural.....	\$ 25.00	18.40

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IRON0372-004 06/01/2013		
	Rates	Fringes
IRONWORKER, REINFORCING.....	\$ 26.47	19.30

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\* LABO0189-004 07/01/2014

PENDLETON COUNTY:

	Rates	Fringes
LABORER		
GROUP 1.....	\$ 21.80	11.96
GROUP 2.....	\$ 22.05	11.96
GROUP 3.....	\$ 22.10	11.96
GROUP 4.....	\$ 22.70	11.96

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushhammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Driller (All Types); Powderman & Blaster; Troxler & Concrete Tester if Laborer is Utilized

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LABO0265-009 05/01/2014

BOONE, CAMPBELL & KENTON COUNTIES:

	Rates	Fringes
LABORER		
GROUP 1.....	\$ 27.72	9.80
GROUP 2.....	\$ 27.89	9.80
GROUP 3.....	\$ 28.22	9.80
GROUP 4.....	\$ 28.67	9.80

LABORER CLASSIFICATIONS

GROUP 1 - Asphalt Laborer; Carpenter Tender; Concrete Curing Applicator; Dump Man (Batch Truck); Guardrail and Fence Installer; Joint Setter; Laborer (Construction); Landscape Laborer; Highway Lighting Worker; Signalization Worker;

Mesh Handlers & Placer; Right-of-way Laborer; Riprap Laborer & Grouter; Scaffold Erector; Seal Coating; Surface Treatment or Road Mix Laborer; Sign Installer; Slurry Seal; Utility Man; Bridge Man; Handyman; Waterproofing Laborer; Flagperson; Hazardous Waste (level D); Diver Tender; Zone Person & Traffic Control

GROUP 2 - Skid Steer; Asphalt Raker; Concrete Puddler; Kettle Man (Pipeline); Machine Driven Tools (Gas, Electric, Air); Mason Tender; Brick Paver; Mortar Mixer; Power Buggy or Power Wheelbarrow; Sheeting & Shoring Man; Surface Grinder Man; Plastic Fusing Machine Operator; Pug Mill Operator; & Vacuum Devices (wet or dry); Rodding Machine Operator; Diver; Screwman or Paver; Screed Person; Water Blast, Hand Held Wand; Pumps 4" & Under (Gas, Air or Electric) & Hazardous Waste (level C); Air Track and Wagon Drill; Bottom Person; Cofferdam (below 25 ft. deep); Concrete Saw Person; Cutting with Burning Torch; Form Setter; Hand Spiker (Railroad); Pipelayer; Tunnel Laborer (without air) & Caisson; Underground Person (working in Sewer and Waterline, Cleaning, Repairing & Reconditioning); Sandblaster Nozzle Person; & Hazardous Waste (level B)

GROUP 3 - Blaster; Mucker; Powder Person; Top Lander; Wrencher (Mechanical Joints & Utility Pipeline); Yarner; Hazardous Waste (level A); Concrete Specialist; Concrete Crew in Tunnels (With Air-pressurized - \$1.00 premium); Curb Setter & Cutter; Grade Checker; Utility Pipeline Tapper; Waterline; and Caulker

GROUP 4 - Miner; & Gunitite Nozzle Person

TUNNEL LABORER WITH AIR-PRESSURIZED ADD \$1.00 TO BASE RATE

SIGNAL PERSON WILL RECEIVE THE RATE EQUAL TO THE RATE PAID THE LABORER CLASSIFICATION FOR WHICH HE OR SHE IS SIGNALING.

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PAIN0012-016 05/01/2014

	Rates	Fringes
PAINTER		
Bridge.....	\$ 24.39	8.71
Bridge Equipment Tender and Containment Builder.....	\$ 20.73	8.71
Brush & Roller.....	\$ 23.39	8.71
Sandblasting & Water Blasting.....	\$ 24.14	8.71
Spray.....	\$ 23.89	8.71

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\* PLUM0392-008 06/01/2014

	Rates	Fringes
PLUMBER.....	\$ 29.80	17.79

-----  
SUKY2010-161 02/05/1996

	Rates	Fringes
Truck drivers:		
GROUP 1.....	\$ 15.85	4.60
GROUP 2.....	\$ 16.29	4.60

TRUCK DRIVER CLASSIFICATIONS

- GROUP 1 - Driver
- GROUP 2 - Euclid Wagon; End Dump; Lowboy; Heavy Duty Equipment; Tractor-Trailer Combination; & Drag

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is union or non-union.

Union Identifiers

An identifier enclosed in dotted lines beginning with characters other than "SU" denotes that the union classification and rate have found to be prevailing for that classification. Example: PLUM0198-005 07/01/2011. The first four letters , PLUM, indicate the international union and the four-digit number, 0198, that follows indicates the local union number or district council number where applicable , i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2011, following these characters is the effective date of the most current negotiated rate/collective bargaining agreement which would be July 1, 2011 in the above example.

Union prevailing wage rates will be updated to reflect any changes in the collective bargaining agreements governing the rates.

0000/9999: weighted union wage rates will be published annually each January.

## Non-Union Identifiers

Classifications listed under an "SU" identifier were derived from survey data by computing average rates and are not union rates; however, the data used in computing these rates may include both union and non-union data. Example: SULA2004-007 5/13/2010. SU indicates the rates are not union majority rates, LA indicates the State of Louisiana; 2004 is the year of the survey; and 007 is an internal number used in producing the wage determination. A 1993 or later date, 5/13/2010, indicates the classifications and rates under that identifier were issued as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

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## WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=====

END OF GENERAL DECISION



Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-14-IV-HWY dated July 14, 2014.

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

**TO: EMPLOYERS/EMPLOYEES**

**PREVAILING WAGE SCHEDULE:**

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

**OVERTIME:**

Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Diana Castle Radcliffe, P.E.  
Director, Division of Construction Procurement  
Frankfort, Kentucky 40622

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION  
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY  
(Executive Order 11246)**

- 1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

<b>GOALS FOR MINORITY PARTICIPATION IN EACH TRADE</b>		<b>GOALS FOR FEMALE PARTICIPATION IN EACH TRADE</b>	
Carroll	- 9.2%		
Henry	- 9.6%		
Boone	- 11.0%		
Oldham	- 11.2%		

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

- 3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

**Evelyn Teague, Regional Director  
Office of Federal Contract Compliance Programs  
61 Forsyth Street, SW, Suite 7B75  
Atlanta, Georgia 30303-8609**

- 4. As used in this Notice, and in the contract resulting from this solicitation, the "**covered area**" is Various Counties.

## **PART IV**

## **INSURANCE**

## INSURANCE

The Contractor shall procure and maintain the following insurance in addition to the insurance required by law:

- 1) Commercial General Liability-Occurrence form – not less than \$2,000,000 General aggregate, \$2,000,000 Products & Completed Aggregate, \$1,000,000 Personal & Advertising, \$1,000,000 each occurrence.
- 2) Automobile Liability- \$1,000,000 per accident
- 3) Employers Liability:
  - a) \$100,000 Each Accident Bodily Injury
  - b) \$500,000 Policy limit Bodily Injury by Disease
  - c) \$100,000 Each Employee Bodily Injury by Disease
- 4) The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
  - a) "policy contains no deductible clauses."
  - b) "policy contains \_\_\_\_\_ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
- 5) KENTUCKY WORKMEN'S COMPENSATION INSURANCE. The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.

The cost of insurance is incidental to all contract items. All subcontractors must meet the same minimum insurance requirements.

**PART V**

**BID ITEMS**

Section: 0001 - PAVING

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0010	00001		DGA BASE	8,440.20	TON		\$	
0020	00100		ASPHALT SEAL AGGREGATE	979.30	TON		\$	
0030	00103		ASPHALT SEAL COAT	119.00	TON		\$	

Section: 0002 - ROADWAY

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0040	02562		TEMPORARY SIGNS	1,500.00	SQFT		\$	
0050	02650		MAINTAIN & CONTROL TRAFFIC	1.00	LS		\$	
0060	02671		PORTABLE CHANGEABLE MESSAGE SIGN	12.00	EACH		\$	
0070	02705		SILT TRAP TYPE C	169.00	EACH		\$	
0080	02726		STAKING	1.00	LS		\$	
0090	02775		ARROW PANEL	6.00	EACH		\$	
0100	06427		TRENCHING	110,088.00	LF		\$	
0110	20411ED		LAW ENFORCEMENT OFFICER	1,380.00	HOUR		\$	
0120	22415EN		CONCRETE CLASS A FOR PAD	48,878.50	SQYD		\$	
0130	23147EN		HIGH TENSION CABLE-ROPE BARRIER	110,088.00	LF		\$	
0140	23148EN		END ANCHORS	34.00	EACH		\$	
0150	24560EN		EROSION CONTROL BLANKET-SHORT TERM	146,763.40	SQYD		\$	

Section: 0003 - DEMOBILIZATION &/OR MOBILIZATION

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0160	02569		DEMOBILIZATION	1.00	LS		\$	